

Black Hole Rigidity

in

General Dimensions

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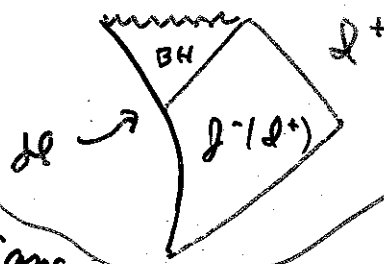
Model Black Hole Rigidity Thm

- let (M^{n+1}, g) be a stationary (not static) Black Hole

There exists a killing field τ
which is

- timelike asymptotically
- not surface forming

(M^{n+1}, g) is asympt flat with \mathcal{I}^+
& $M^{n+1} \setminus \mathcal{I}^-(\mathcal{I}^+) \neq \text{empty}$



satisfying the Einstein-(?) Eqns

together with the conditions {

- The isometry group $\mathcal{G}(M^{n+1}, g)$

includes a 2 Dim Abelian subgroup

which {

Importance of BH Rigidity

- Step towards uniqueness

(ex) 3+1

BH Rigidity \rightarrow Axisym

\Rightarrow Kerr

- Surface Gravity:

If $\mathcal{G}(M^{n+1}, g)$ includes generators of \mathcal{H}
then

$$(\nabla_Y Y - K \cdot Y) \Big|_{\mathcal{H}} = 0$$

defines surface Gravity

\Rightarrow BH Thermo

a real theorem:

3+1 Black Hole Rigidity (Hawking-Ellis) (Chrusciel)

- Let (M^{3+1}, g) be a stationary (not static) BH satisfying the vacuum Einstein eqns or the Einstein-Maxwell eqns

together with the conditions

- analytic

- $\mathcal{H} = \Sigma^2 \times \mathbb{R}$

compact

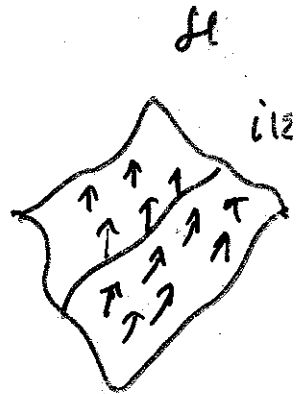
connected component

- there exists

$$i: \Sigma^2 \rightarrow \mathcal{H}$$

such that $i(\Sigma^2)$ spacelike

\uparrow transverse to $i(\Sigma^2)$

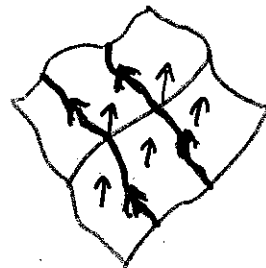


- The isometry group $\mathcal{G}(M^{3+1}, g)$

includes $S^1 \times \mathbb{R}$ subgroup

which acts freely on the generators of \mathcal{H}

So there is a Killing field tangent to generators

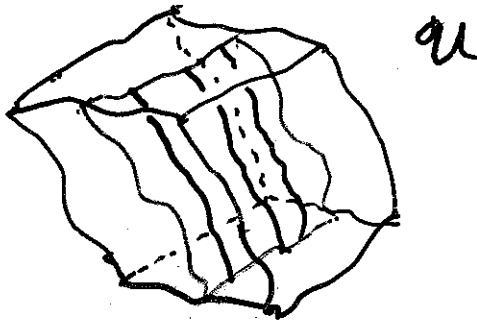


Proof Sketch of 3+1 BH Rigidity Thm

following ideas of Chrusciel

A] Transformation into Compact Horizon Problem

- Consider neighborhood \mathcal{U} of BH Horizon \mathcal{H}



- Recall

Thm (Hawking-Ellis)

$\mathcal{H} = \mathcal{I}^-(\mathcal{I}^+)$ is

- 3 dim null hypersurface
- ruled by congruence of null generators
- $\Sigma^2 \times \mathbb{R} = S^2 \times \mathbb{R}$

presuming that
 Σ^2 is compact

- Note that

\mathcal{T} (Killing field of stationarity) is

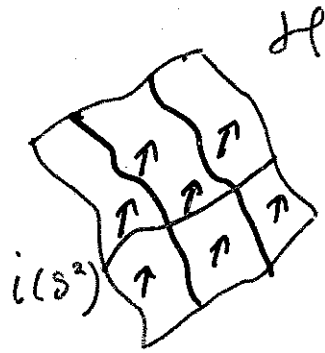
- tangent to \mathcal{H}
(since \mathcal{T} preserves geometry)

- not tangent to generators of \mathcal{H}

(Thm of Sudansky-Wald

relies on • stationary not static
• asympt timelike

• transverse to $i(S^2)$



- Compactification

Claim

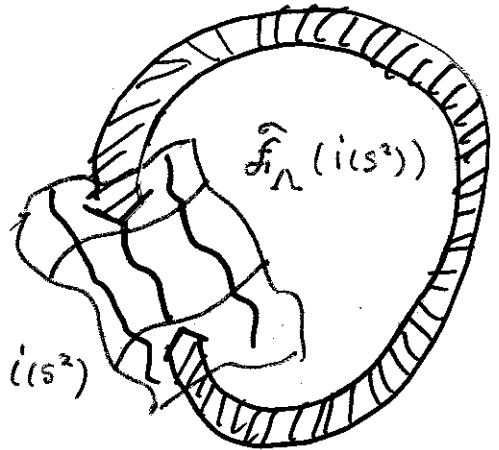
Let \hat{f}_λ be flow of τ

$\exists \Lambda$ s.t

if identify

$$\hat{f}_\Lambda(i(S^2)) \leftrightarrow i(S^2)$$

then generators close



Pf of Claim

Since flow on S^2 has fixed points

\hat{f}_λ is λ -angle rotation

so

$$\lambda = \Lambda \leftrightarrow \text{rotate by } 2\pi !$$

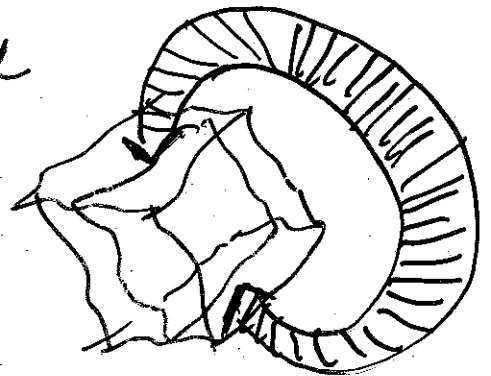
- Extend identification into \mathcal{U}

\Rightarrow New spacetime

$$(\hat{M}^{3+1}, \hat{g})$$

with compact

null hypersurface $\hat{H} \simeq S^2 \times S^1$



ready to

B] Apply Compact Null Hypersurface Thm

- Thm (Moncrief-I)

Let (M^{3+1}, g) be

- soln of vac Einstein or Einstein-Maxwell
- analytic

Assume \exists

$$N^3 \hookrightarrow M^{3+1}$$

- analytic embedded 3 submfd
- null
- compact
- closed generators

The isometry group $\mathcal{G}(M^{3+1}, g)$ contains

S^1 subgroup acting tangent to generators of \mathcal{G}

- Idea of Proof

- Set up Gaussian Null coords

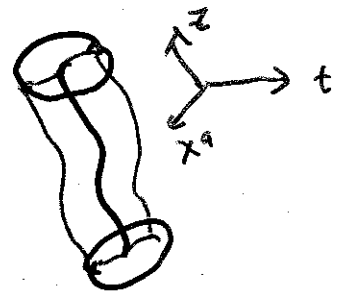
in tubes

$$g = 2 dt dz + \phi dz^2 + 2 \beta_a dx^a dz + \mu_{ab} dx^a dx^b$$

with

$$\phi|_N = 0$$

$$\beta|_N = 0$$



- Show

$\mu_{ab}|_N$ independent of z

pf

Hawking-Ellis argument based on Raychaudhuri Shear Eq

$\Rightarrow g|_{\mathcal{H}}$ independent of z .

- Show \exists new set of Gaussian Null Coords with $\partial_t \phi|_{\mathcal{H}} = \kappa$ constant

pf
Solving Riccati Eqn

Note:

This is where (t, \mathbb{R}, χ^a) are adjusted so that $\frac{\partial}{\partial z}$ is KV

Verify:

$$\frac{d^2 z}{d\lambda^2} - \frac{\kappa}{z} \left(\frac{dz}{d\lambda} \right)^2 = 0 \quad \text{geodesic eqn for generators}$$

$\Rightarrow \kappa$ is surface gravity

$= 0$ generators complete to future \mathcal{I}^+
 $\neq 0$ generators complete only to future

- Show

$$\partial_t^{(m)} \phi, \partial_t^{(m)} B_a, (\partial_t)^m \mu_{ab}$$

all indep of z

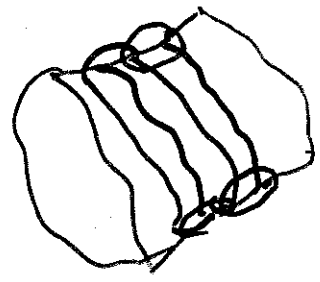
pf

Induction using Einstein Eqs

- Extend $\frac{\partial}{\partial z}$ as Killing field into Tube (off \mathcal{H})

Use Cauchy-Kowaleskaya \leftarrow Analyticity needed

• Patch Killing Vector Field from Tubes



CJ UnWrap Back to Black Hole

‡ What Changes from 3+1 B H Rigidity Proof to n+1 B H Rigidity Proof

- Can still compactify \mathcal{H}
- But cannot compactify \leftarrow generally with closed generators

\Rightarrow n+1 version of Compact Null Hypersurface Thm does not do the job

\Rightarrow Need Compact Null Hypersurface Thm with Non Closed Generators

~~~~~  
Just developed by Moncrief-I  
if have  $\mathcal{T}$  symmetry

# $n+1$ Black Hole Rigidity (Moncrief-I Hollands-Ishibashi-Wald)

Let  $(M^{n+1}, g)$   $n \geq 3$  be a stationary (not static) BH  
satisfying vacuum Einstein  
together with the conditions

- analytic

- $\mathcal{H} = \Sigma^{n-1} \times \mathbb{R}$

↖ compact

- there exists

$i: \Sigma^{n-1} \rightarrow \mathcal{H}$

such that  $i(\Sigma^{n-1})$  spacelike

↑ transverse to  $i(\Sigma^{n-1})$

- generators complete to past  
incomplete to future

← New

The isometry group  $\mathcal{G}(M^{n+1}, g)$

includes 2 dim subgroup

acting freely on the generators

# Proof Sketch of $n+1$ BH Rigidity Thm

AJ Transformation into Compact Horizon Problem

Same, except

$\Sigma^{n-1}$  generally not  $S^2$

→ generally no fixed pts for  $\tilde{F}_\alpha$

⇒ generally non closed generators

rely on

BJ Non Closed Generator Compact Null Hypersurface  
(with KV ↑) Thm

-Thm

let  $(M^{n+1}, g)$  be

- soln of vac Einstein
- analytic

Assume

•  $\exists N^n \hookrightarrow M^{n+1}$

\* analytic embedding

\* null

\* compact  $Z^{n-1} \times S^1$

\* non closed generators

generically

\* generators complete to past  
incomplete to future.

- $\exists$  Killing Vector field  $\mathcal{T}$

transverse to some compact section  $i(\Sigma^{n-1}) \subset \mathcal{N}$

The isometry group  $\mathcal{G}(M^{n+1}, g)$  contains

a  $T^2$  subgroup acting tangent to generators of  $\mathcal{N}^n$

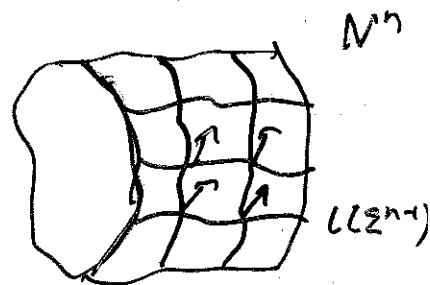
- Idea of Proof

- Foliate  $\mathcal{N}^n$

using  $i(\Sigma^{n-1})$

& flow of  $\mathcal{T}$

$\rightarrow$  spacelike slices of  $\mathcal{N}^n$



- Set up Gaussian Null Coordinates

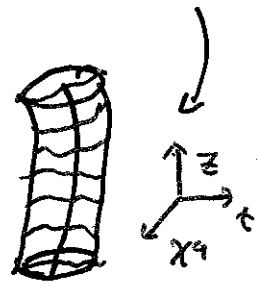
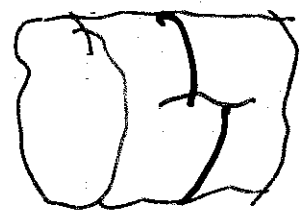
$\Rightarrow$  Same as for closed generators  
except at transition

$$g = 2 dt dz + \phi dz^2 + 2 \beta_a dx^a dz + \mu_{ab} dx^a dx^b$$

$$a, b \in \{1, \dots, n-1\}$$

with  $\phi|_{\mathcal{N}} = 0$

$$\beta|_{\mathcal{N}} = 0$$



- Show  $\mu_{ab}|_N$  indep of  $z$

PF

As for 3+1

- Control Generator Orbits via

Poincaré Recurrence

~ Let  $\{\psi_\lambda : M \rightarrow M \mid \lambda \in \mathbb{R}\}$

be 1-param family

of volume-preserving  
diffeos of compact  $M$

~ For any  $p \in M$  & any  $\epsilon > 0$  & any nbhd  $U$  of  $p$   
 $\exists j \in \mathbb{N}$  s.t.

$$\psi_{j\epsilon}(p) \in U$$

$\Rightarrow$  The orbit returns  
arbitrarily close (eventually)

Note:

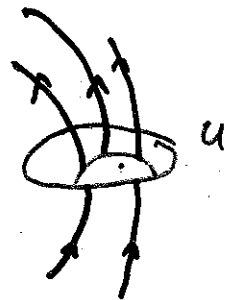
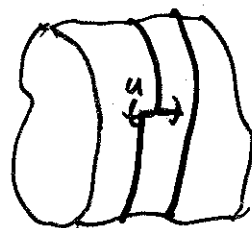
Preservation of

$$\mu_{ab} dx^a dx^b$$

geometry along

flow

$\Rightarrow T^k \times \mathbb{R}$  tubes  $\approx$  closure of flow



## • Construct Candidate Killing Field

Let  $\partial_z$  be tangent to generators

Set  $Y = U \partial_z$  on  $N$  in tube

$$U(z, x^a) = \frac{k}{2} \int_z^\infty dp \exp \left[ - \int_z^p \frac{1}{2} \partial_t \phi(\xi, x^a) d\xi \right]$$

Integration along  
generator starting  
at  $(z, x^a)$

## \* Claim

• For the null generator starting at  $(z, x^a)$   
with tangent vector  $Y$

the future affine length is  $\frac{k}{2}$

regardless of  $(z, x^a)$

• The function  $U$  is analytic

• The vector field  $Y$  extends to a Killing field

## Pf of Claim

• uniform affine length  $\rightarrow$  calc'n

• analyticity is difficult

• Killing field verification is

just like closed generator case

once analyticity is verified

# ★ Verifying Analyticity of $U$

2 stages

\* Continuity & Differentiability via

Ribbon Arguments:

⇒ Compare integrals

$$\int -\frac{1}{2} d\phi$$

along neighboring gens

starting at nearby points

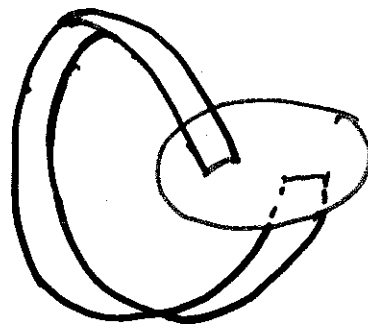
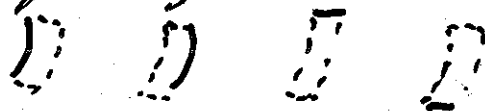
using Stokes on the ribbon

with 1-form

$$\eta = -\frac{1}{2} d\phi$$

$$d\eta = 0$$

$$\Rightarrow \int w - \int w = \int w - \int w$$



\* Analyticity via

- complexify

"Grauert Tubes"

- construct sequence

$$U_j(z, X^a) = \frac{1}{2} \int_z^j \text{tr recurrence} dp \exp[\text{etc}]$$

in complex

- show seq is Cauchy via Ribbons

- Use Banachness of  $\mathcal{C}$  analytic

⇒  $U = \lim U_j$  is analytic

↑ folly  
useful  
here

• Verify  $Y$  is Killing field

\* Show

$$\mathcal{L}_Y (d\epsilon^{(m)} \phi)$$

$$\mathcal{L}_Y (d\epsilon^{(m)} \beta_a)$$

$$\mathcal{L}_Y (d\epsilon^{(m)} \mu_{ab})$$

all vanish

- \* Extend off  $N$  into tube  
via Cauchy-Kowalskaya
- \* Patch tubes

C] Unwrap Back to Black Hole

## To Do:

- Complete write up
- Remove condition on generator completeness
- Add nonvacuum fields
- Remove analyticity
- Prove  
Non Closed Generator Compact Null Horizon Thm  
with no assumed Killing Field