

# Resonant Relaxation of Stars around a supermassive BH

Jean-Baptiste Fouvry, IAP  
fouvry@iap.fr

Obs. Meudon  
January 2020

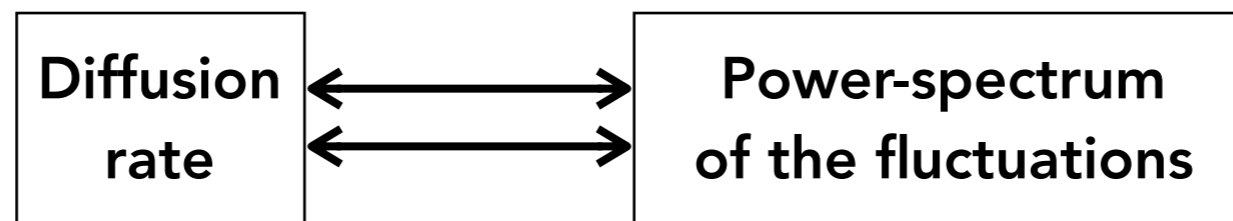
*In collaboration with B. Bar-Or, P.-H. Chavanis, C. Pichon, J. Magorrian*

# Fluctuations and dissipations

Brownian movement theory and stochastic diffusion



## Fluctuation-Dissipation Theorem



Stars in galaxies undergo the same process.

But, gravity is a **long-range interacting force**

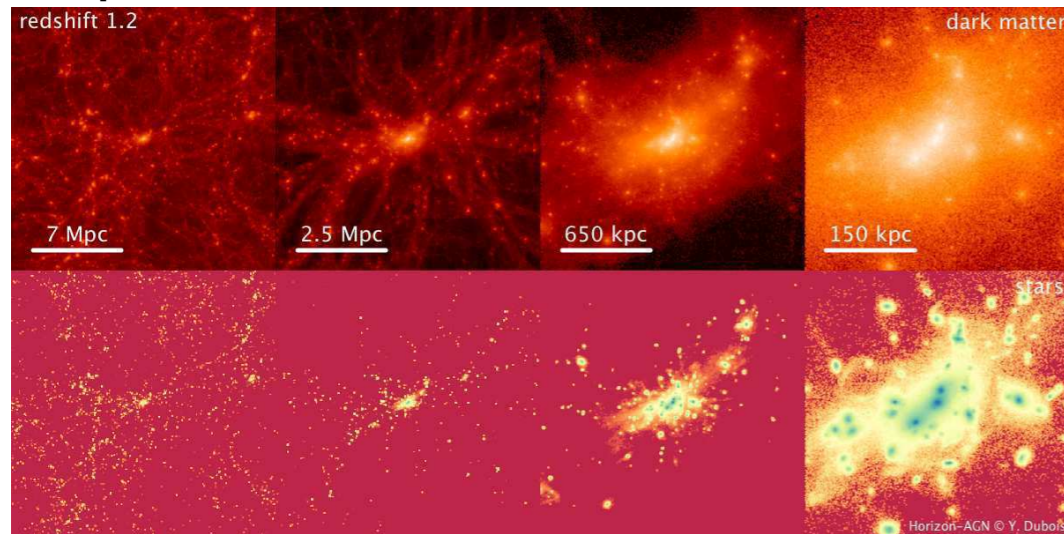
+ To diffuse, stars have to **resonate**, otherwise they follow the **mean field**.

+ All fluctuations are amplified by **collective effects**.

**How can one describe orbital distortions on cosmic timescales ?**

# Gravity structures matter on all scales

1 Mpc

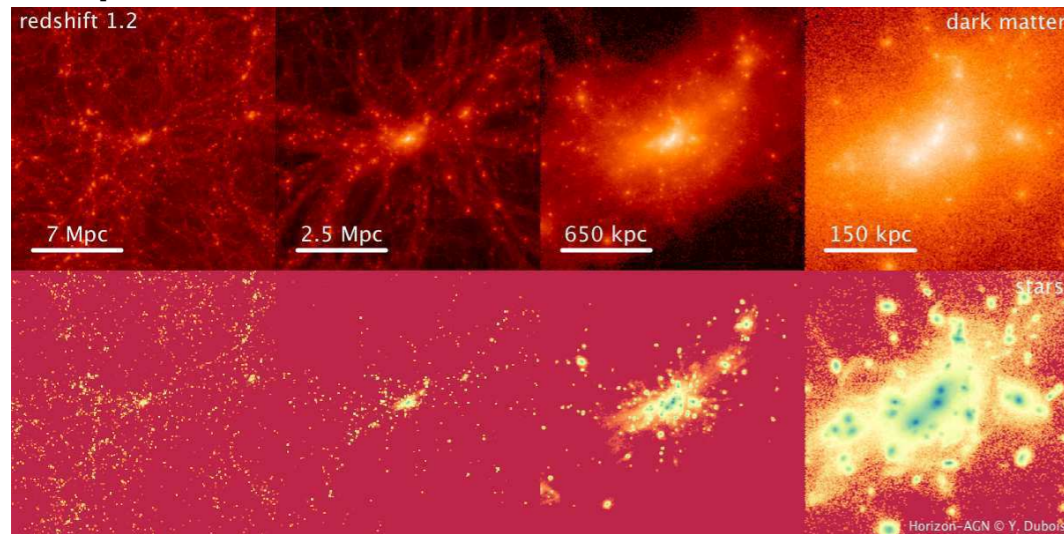


External perturbations and **cosmic environment**

- + Near-Field cosmology
- + Cusp-transition
- + Dynamical friction

# Gravity structures matter on all scales

1 Mpc



External perturbations and **cosmic environment**

- + Near-Field cosmology
- + Cusp-transition
- + Dynamical friction

10 kpc



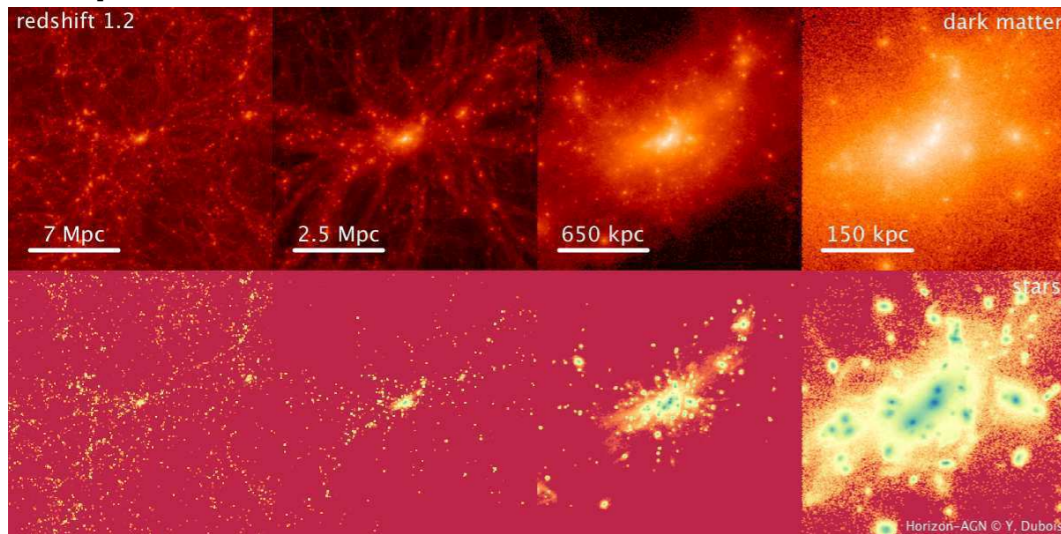
Evolution of **galactic discs** and the Milky Way

- + Galactic Archeology
- + Radial Migration
- + Metallicity gradients
- + Thickening

GAIA

# Gravity structures matter on all scales

1 Mpc



External perturbations and **cosmic environment**

- + Near-Field cosmology
- + Cusp-transition
- + Dynamical friction



10 kpc



Evolution of **galactic discs** and the Milky Way

- + Galactic Archeology
- + Radial Migration
- + Metallicity gradients
- + Thickening

GAIA



1 pc

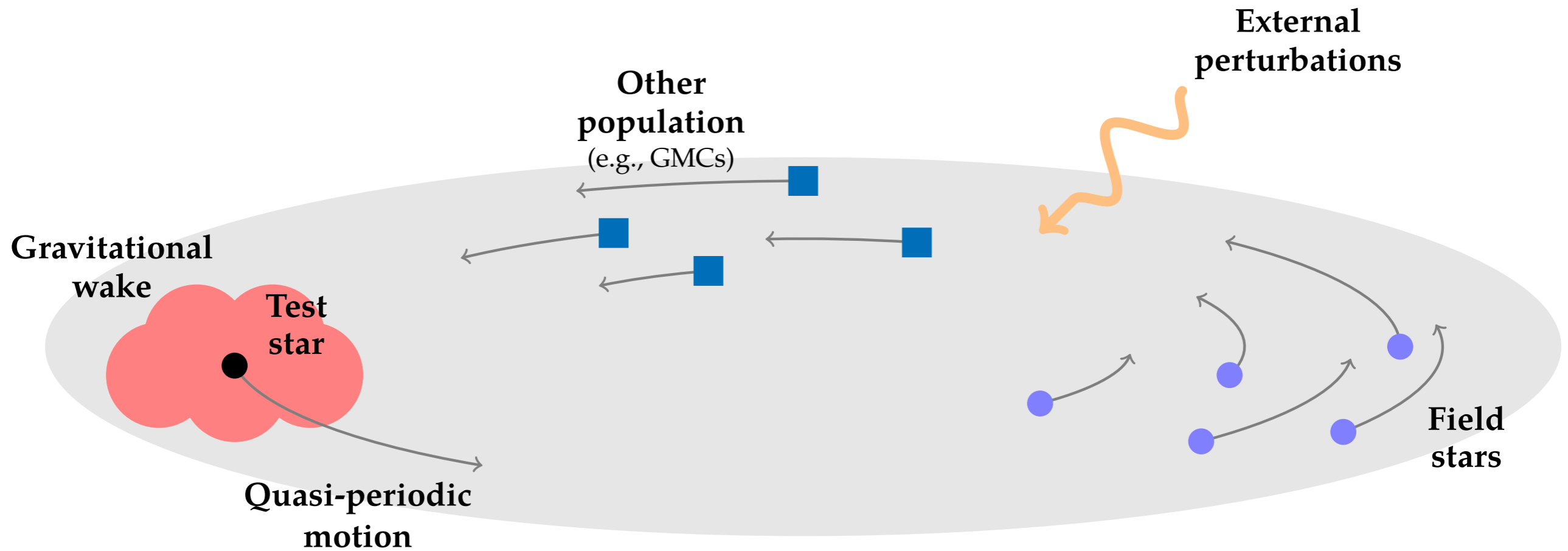


Evolution of **galactic centers** and SgrA\*

- + Stellar capture rates
- + Measure of the BH spin
- + Gravitational waves sources
- + Tests of general relativity

Gravity

# Evolution on cosmic timescales



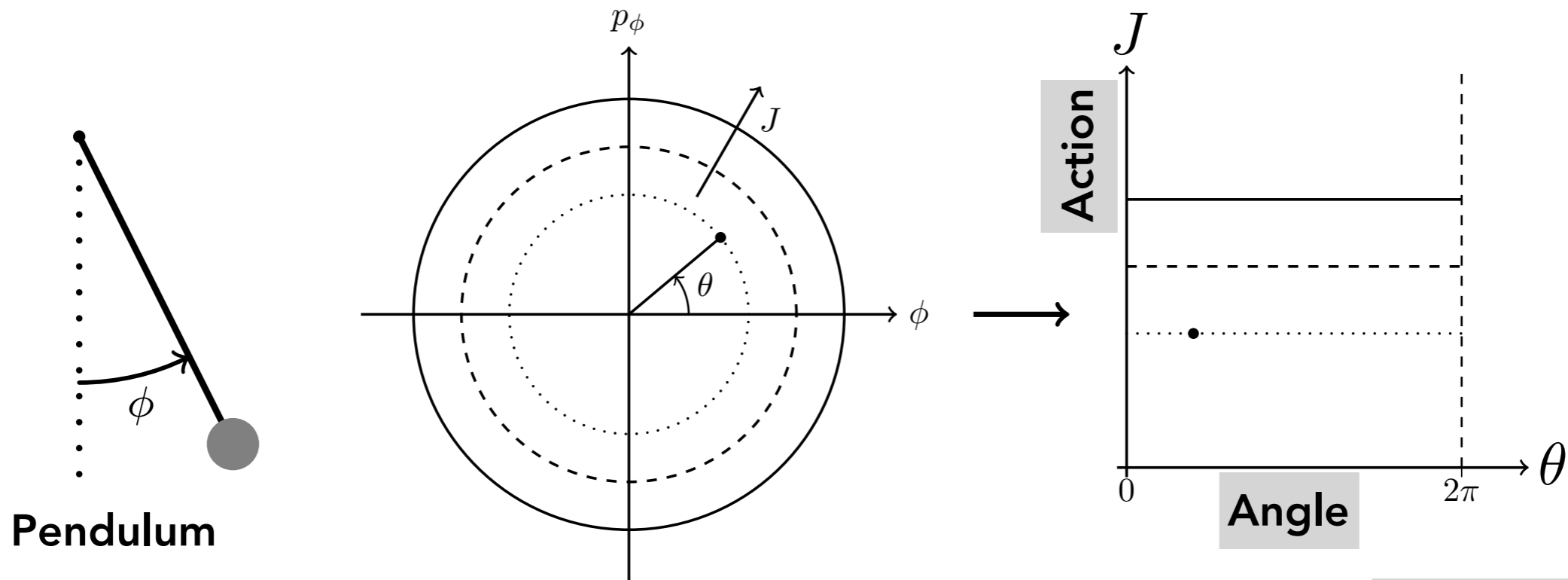
Galaxies are:

- + **Inhomogeneous** (complex trajectories)
- + **Relaxed** (equilibrium states)
- + **Resonant** (orbital frequencies)
- + **Degenerate** (in some regions)

- | Angle-action coordinates
- | Quasi-stationary states
- | Fast timescale vs. cosmic timescale
- | Frequency commensurability

# Inhomogeneous systems

+ Label orbits with **integrals of motion**



+ **Angle-Action coordinates**

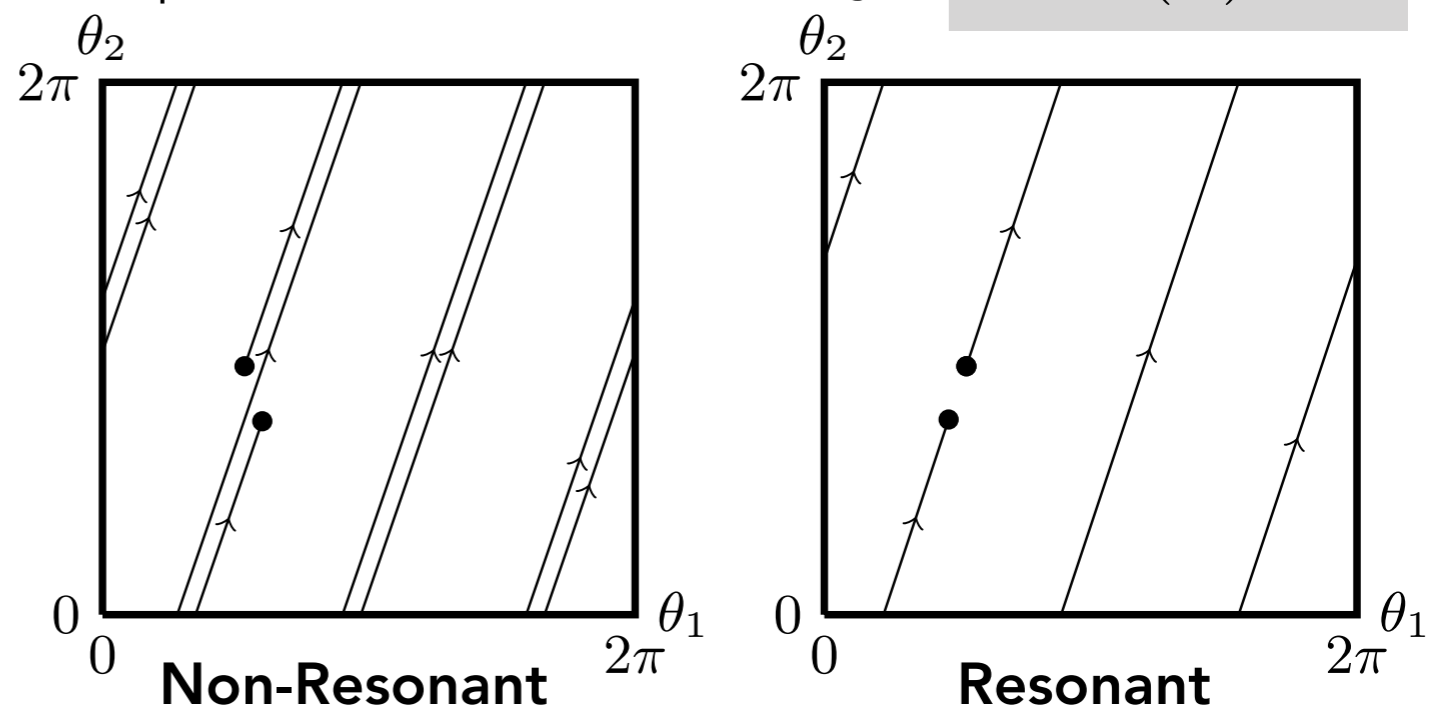
$$\begin{cases} \theta(t) = \theta_0 + t \Omega(\mathbf{J}) \\ \mathbf{J}(t) = \text{cst.} \end{cases}$$

Trajectories become **straight lines**

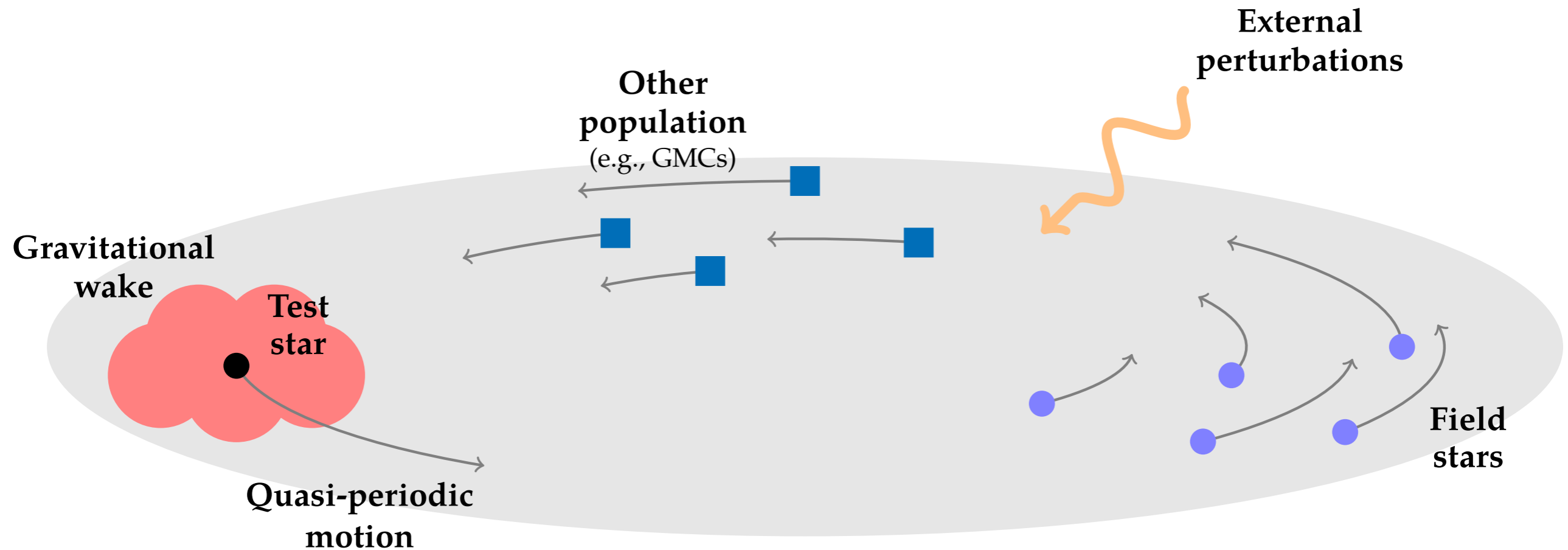
+ **Relaxation**

$$\xrightarrow{\text{(few) } t_{\text{cross}}} F = F(\mathbf{J}, t)$$

+ Frequencies' commensurability :  $\mathbf{n} \cdot \Omega(\mathbf{J}) = 0$



# Evolution on cosmic timescales



Galaxies are:

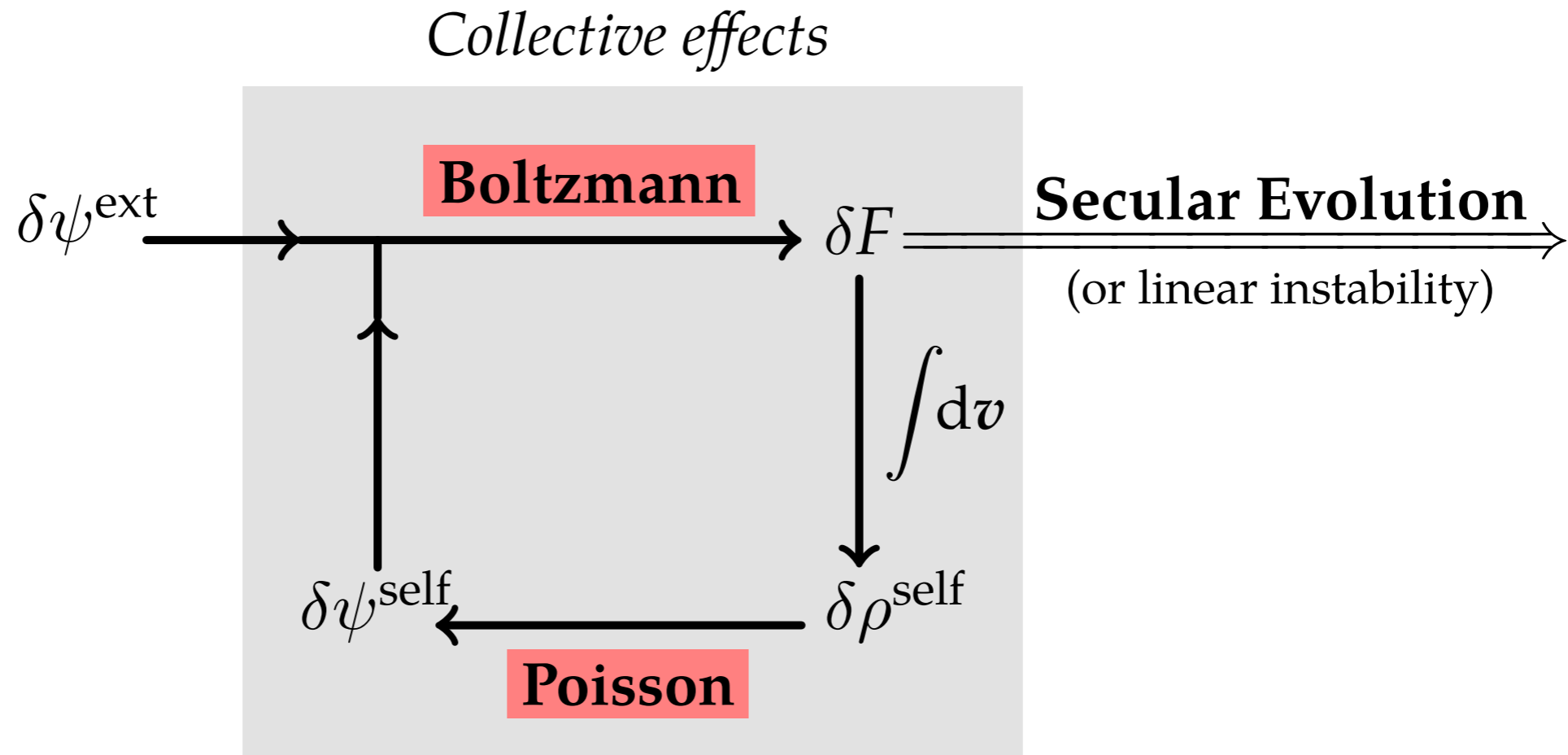
- + **Inhomogeneous** (complex trajectories)
- + **Relaxed** (equilibrium states)
- + **Resonant** (orbital frequencies)
- + **Degenerate** (in some regions)
- + **Self-gravitating** (amplification of perturbations)
- + **Discrete** (finite-N effects)
- + **Perturbed** (effects of the environment)

- | Angle-action coordinates
- | Quasi-stationary states
- | Fast timescale vs. cosmic timescale
- | Frequency commensurability
- | Linear response theory
- | Nature vs. Nurture



# Collective effects and perturbations

## Self-gravitating amplification

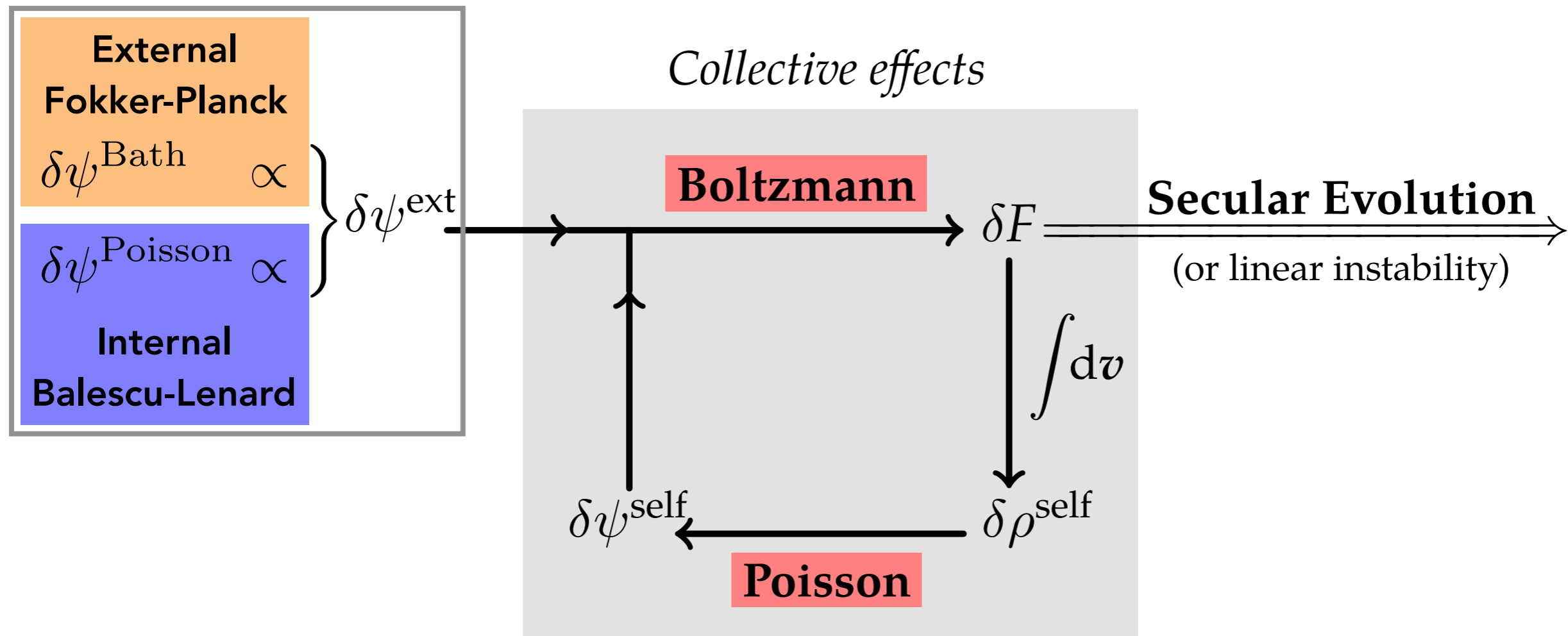


**Gravitational polarisation** essential to

- + Cause dynamical instabilities
- + Induce **dynamical friction** and **mass segregation**
- + **Accelerate/Slow down** secular evolution

# Collective effects and perturbations

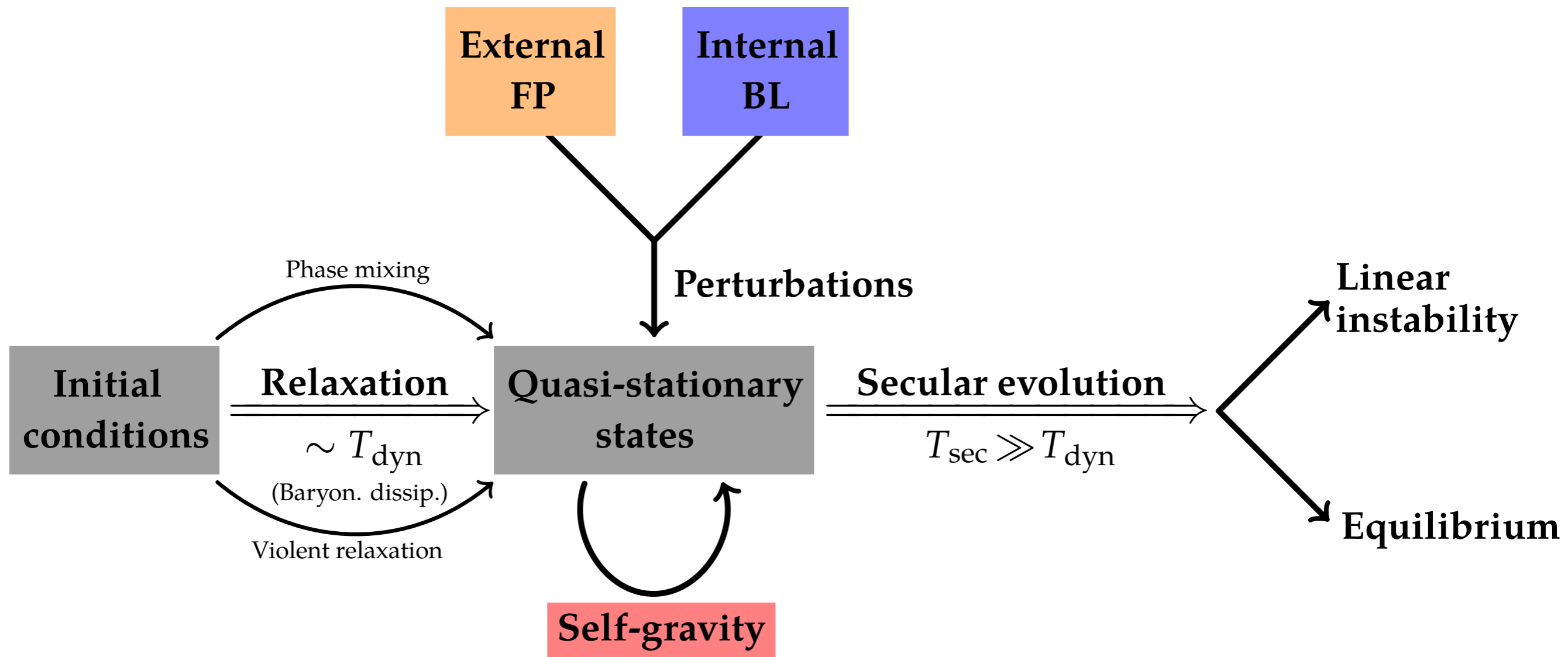
## Self-gravitating amplification



**Gravitational polarisation** essential to

- + Cause dynamical instabilities
- + Induce **dynamical friction** and **mass segregation**
- + **Accelerate/Slow down** secular evolution

# Typical fate of a self-gravitating system

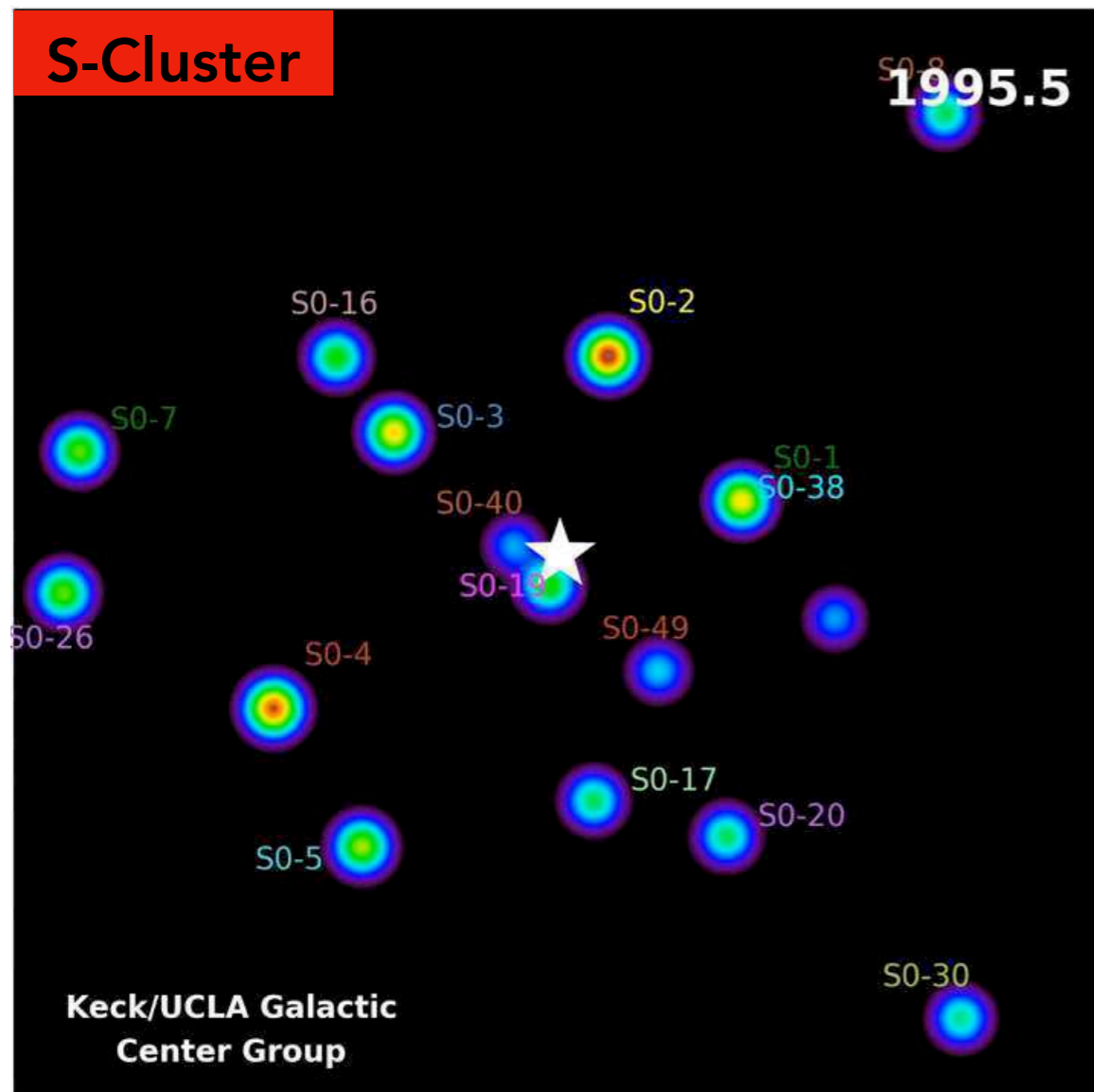


Objective : Describe the **long-term dynamics of self-gravitating systems** (e.g. galactic centers)

Method : New quasi-linear approaches, coming from **kinetic theory**

- + Self-consistent equation accounting for the roles of **self-gravity** and **resonances**
- + Offers new physical insights (**phase transitions, equilibrium states**)
- + **Complementary** to numerical simulations

# The case of galactic centers



*S-Cluster of SgrA\**

**Densest** stellar system of the galaxy  
Dynamics dominated by the **central black hole**

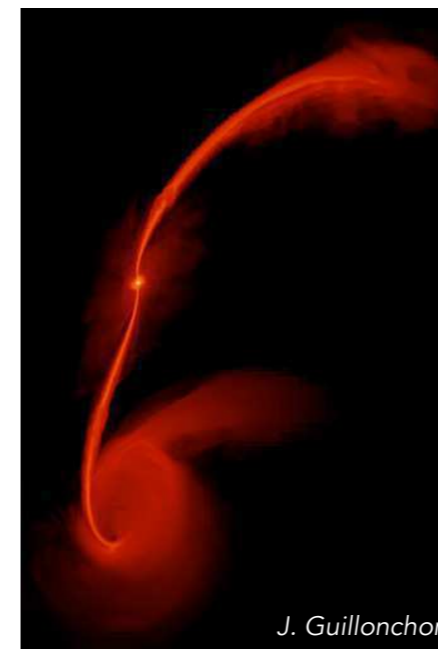
What is the diet of a **supermassive black hole**?

**Stellar diffusion** in galactic centers

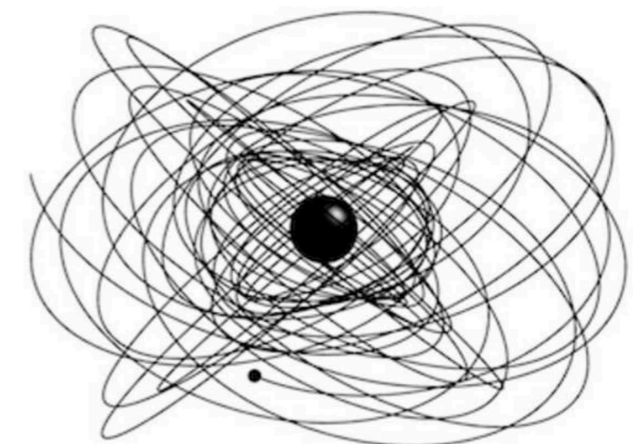
- + *Origin and structure of SgrA\**
- + *Relaxation in eccentricity, orientation*

Sources of **gravitational waves**

- + *BHs-binary mergers*
- + *TDE, EMRIs*



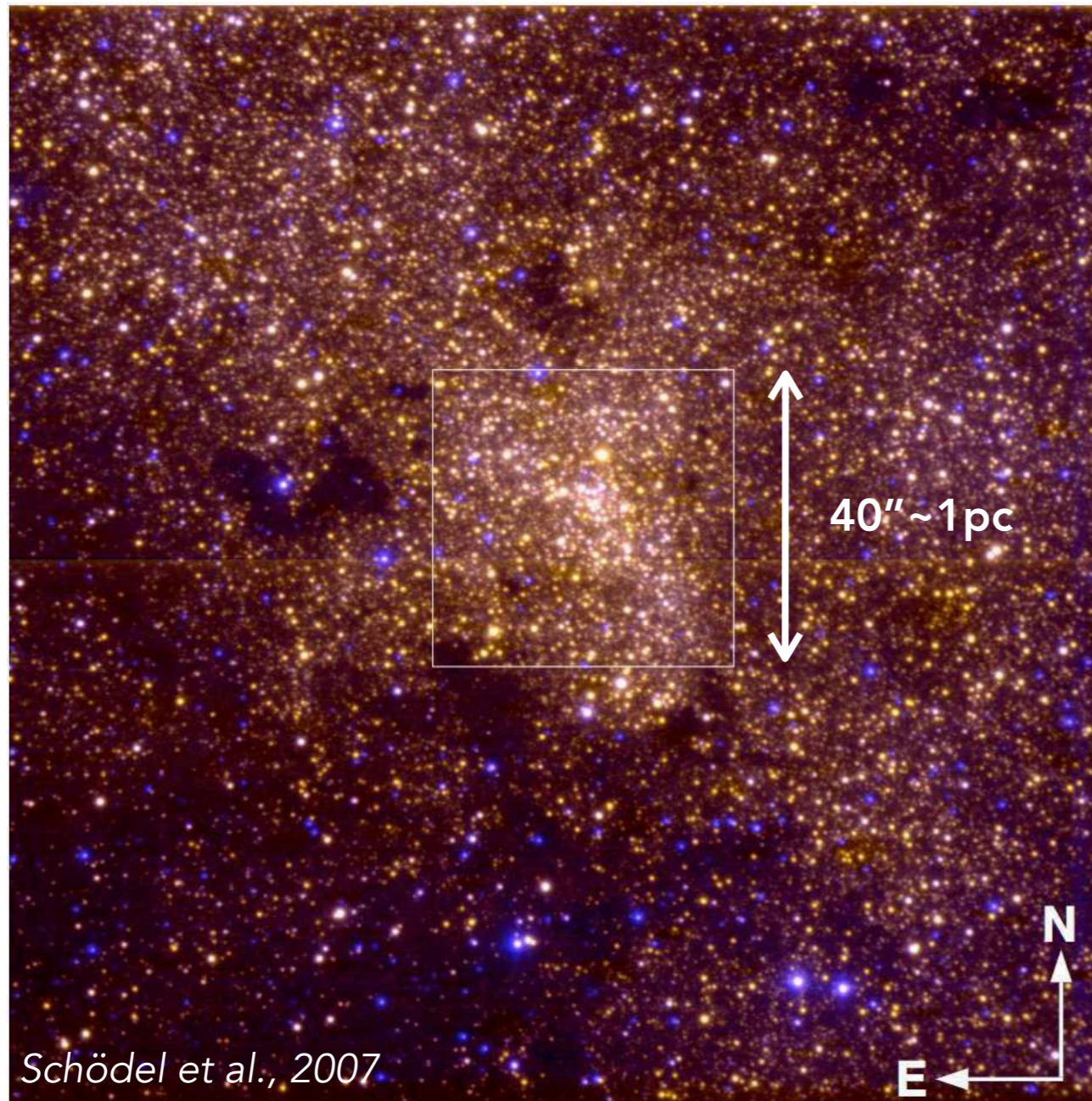
*Tidal Disruption Event*



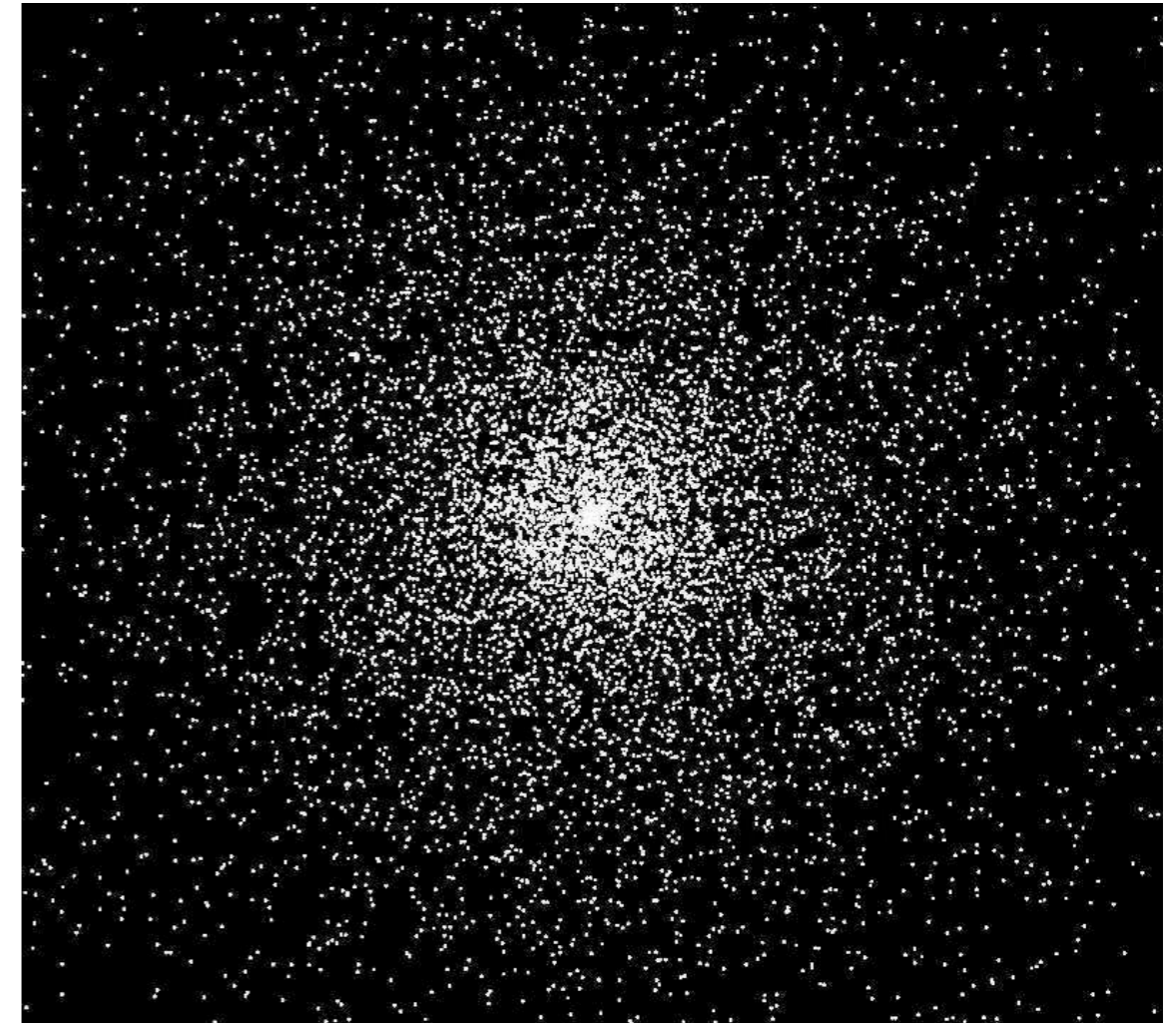
*Extreme Mass Ratio Inspiral*

**What is the long-term dynamics of stars in these very dense systems?**

# Galactic centers are extremely dense



VLT observations



N-body simulations (*B. Bar-Or*)

Perfect "lab" to investigate the **statistical physics** of a stellar system

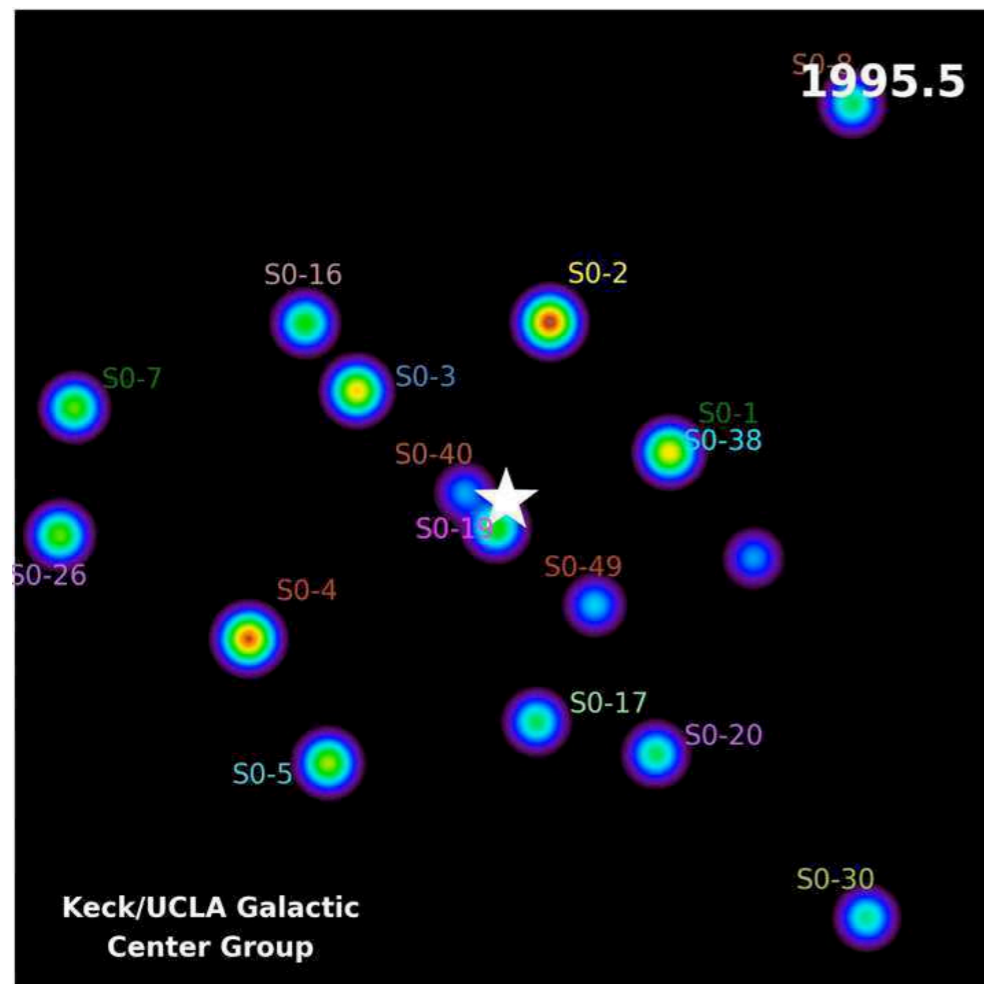
# Galactic centers are degenerate

Potential dominated by the SMBH:

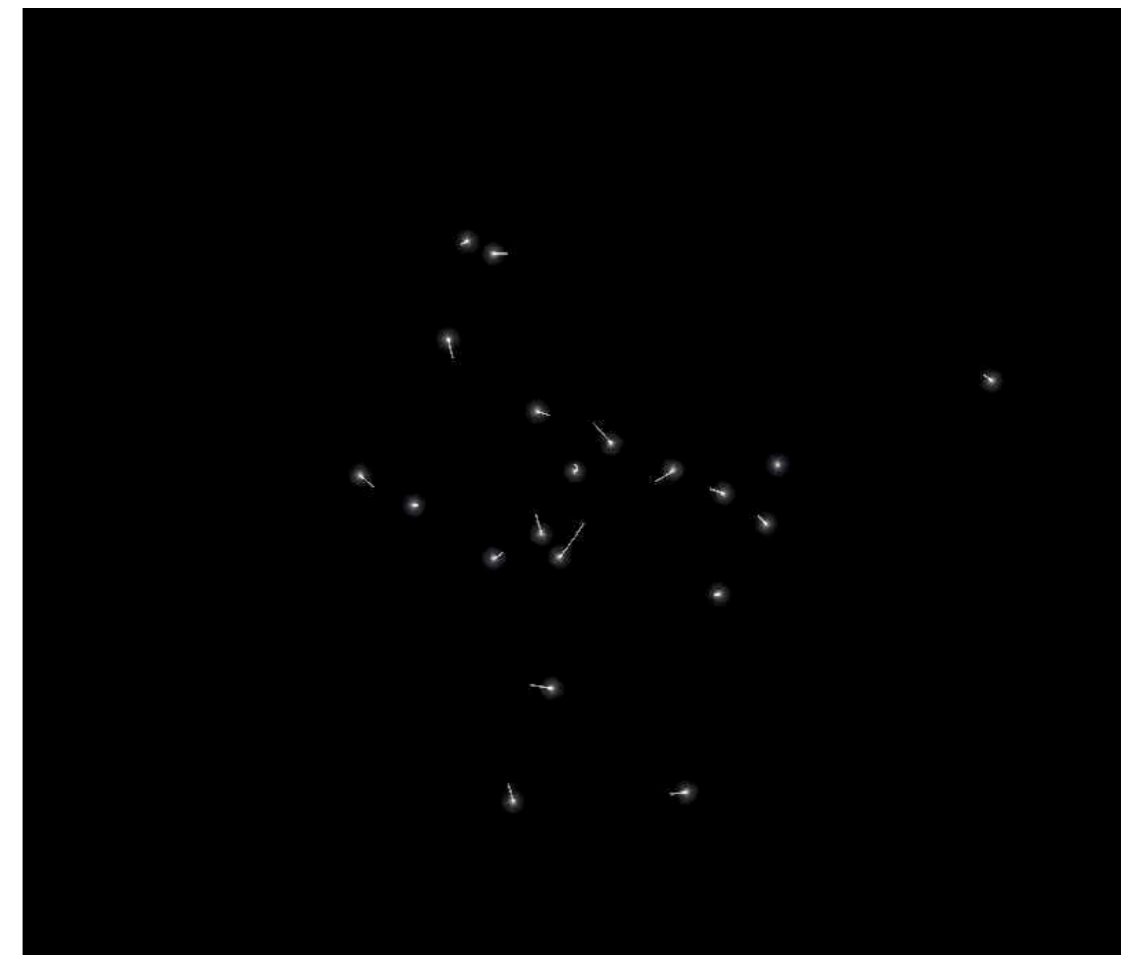
+ Keplerian orbits are **closed**

$$\varepsilon = M_{\star}/M_{\bullet} \ll 1$$

Dynamical degeneracy:  $\forall \mathbf{J}, \mathbf{n} \cdot \boldsymbol{\Omega}_{\text{Kep}}(\mathbf{J}) = 0$



KECK observations

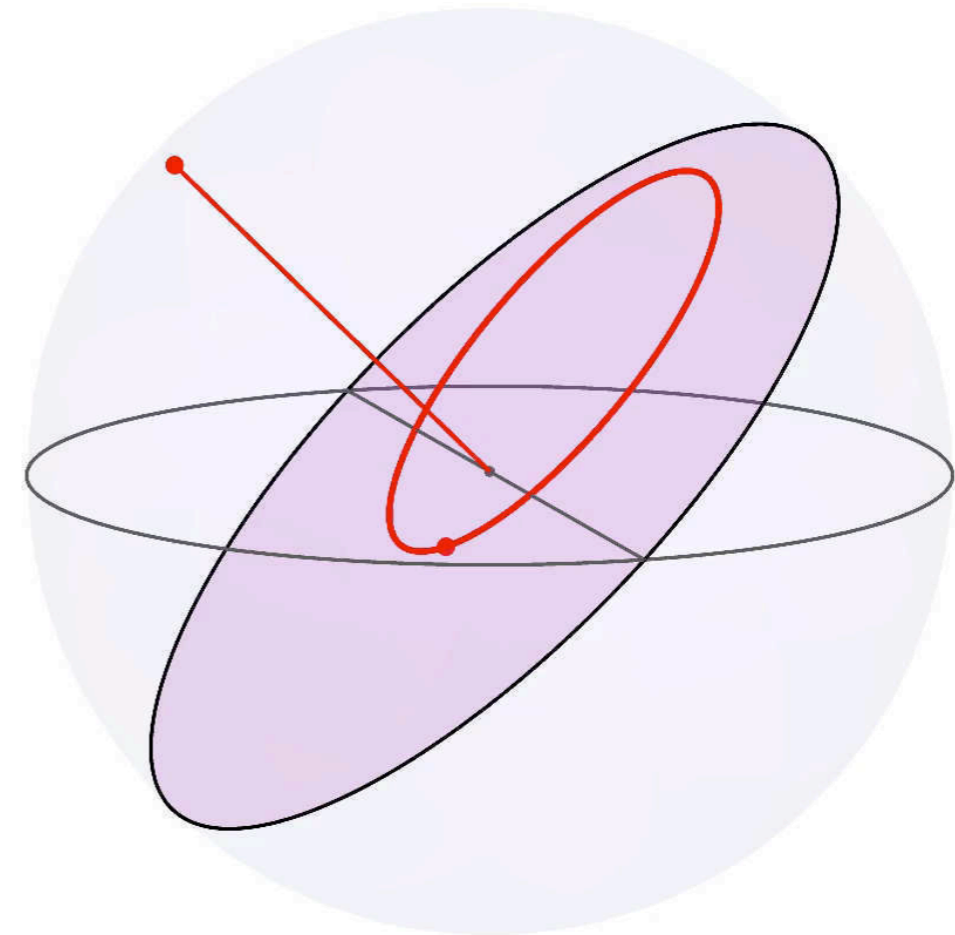
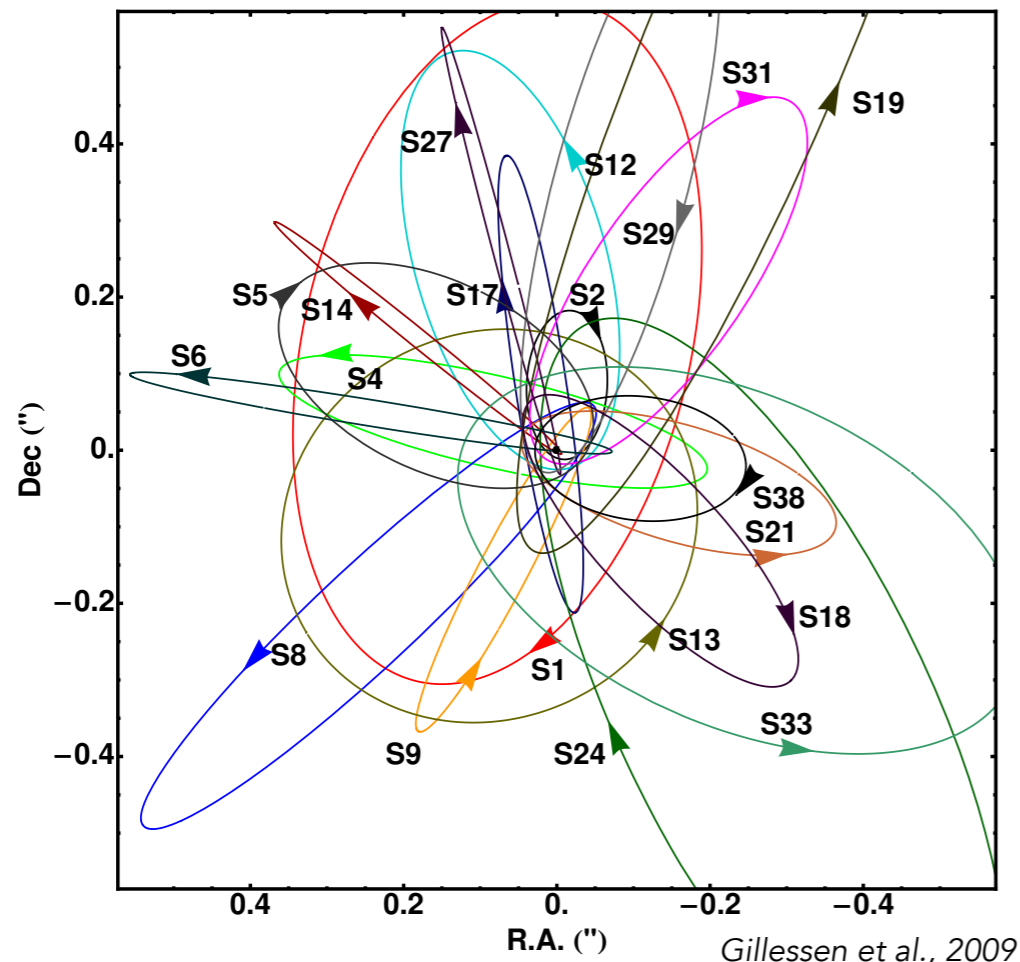


N-body simulations (B. Bar-Or)

Orbit-average: stars are replaced by Keplerian wires

# Describing Keplerian wires

Natural **Angle-Action** coordinates: **Delaunay Variables, i.e. orbital elements**



**Degenerate coordinates**

$$\mathbf{J} = (I, L, L_z)$$

$$\boldsymbol{\theta} = (M, \omega, \Omega)$$

$$\boldsymbol{\Omega} = (\Omega_{\text{Kep}}, 0, 0)$$

**Wires described by five numbers**

$$+ \text{ Shape} \quad (a, e)$$

$$+ \text{ Phase} \quad \omega$$

$$+ \text{ Orientation} \quad \hat{\mathbf{L}}$$

## Wires dynamics

### Orbit Average

$$J_{\text{fast}} = I(a) \quad \text{adiabatically conserved}$$

Wires may **precess constructively**:

#### + In-plane precessions

- Spherical cluster mass
- 1PN relativistic **Schwarzschild precession**

$$\dot{\omega} = \Omega_{\text{prec}} ; \quad \hat{\mathbf{L}} = \text{cst.}$$

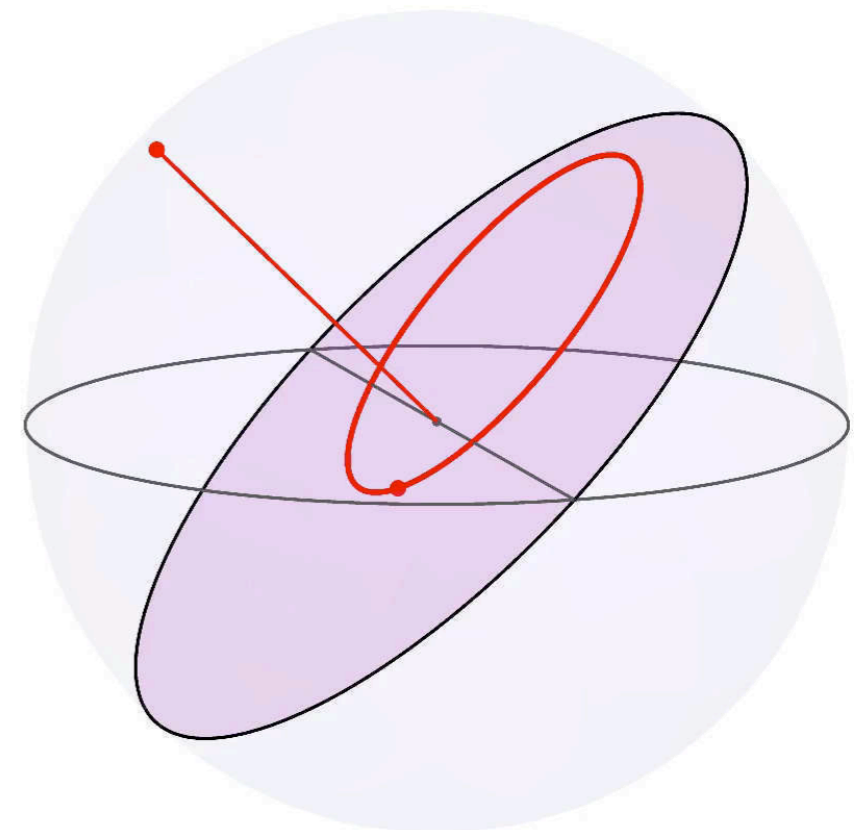
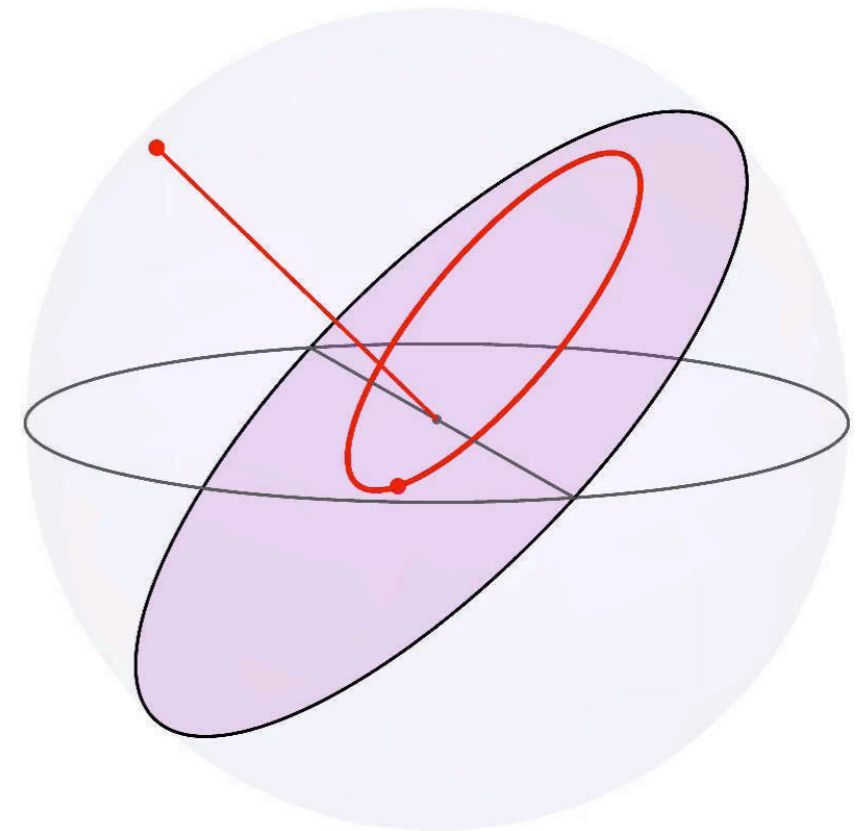
#### + Out-of-plane precessions

- Triaxial cluster mass
- 1.5PN relativistic **Lense-Thirring precession**

$$\dot{\hat{\mathbf{L}}} = \Omega_{\text{prec}} ; \quad L = \text{cst.}$$

Wires may also **jitter stochastically**

$$\text{- Finite-N effects} \quad \dot{\hat{\mathbf{L}}} = \eta(t)$$





## Long-term dynamics of wires

### In-plane precessions $(L, \omega)$

**Constructive** mean field motion

$$\Omega^{\text{prec}} = \Omega_{\text{self}}^{\text{prec}} + \Omega_{\text{rel}}^{\text{prec}} + \Omega_{\text{ext}}^{\text{prec}}$$

Long-term diffusion of  $L = L(e)$

### Scalar Resonant Relaxation

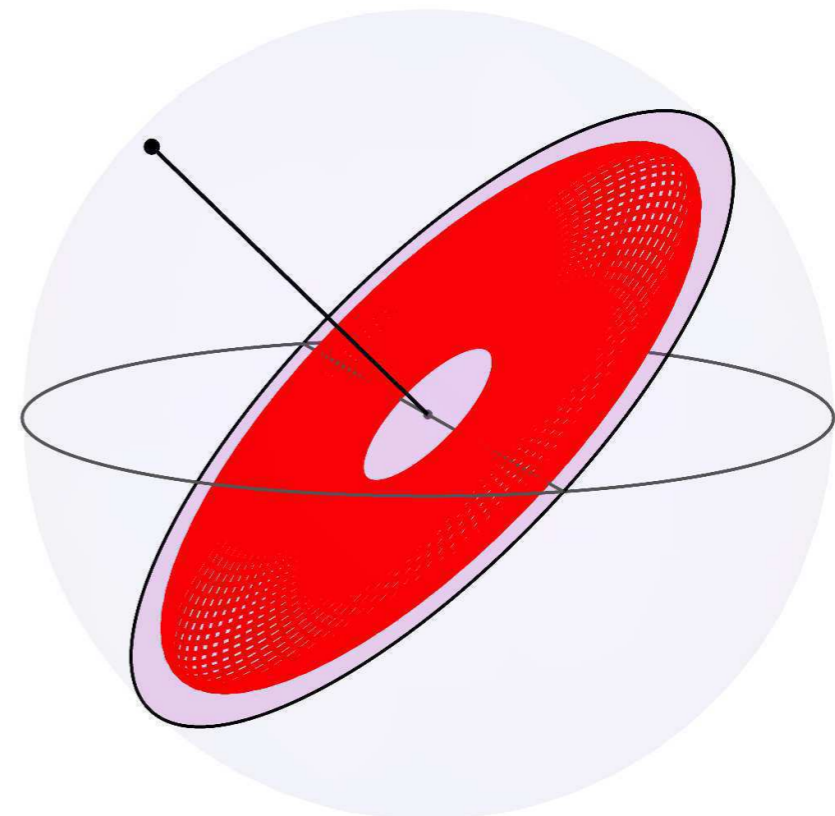
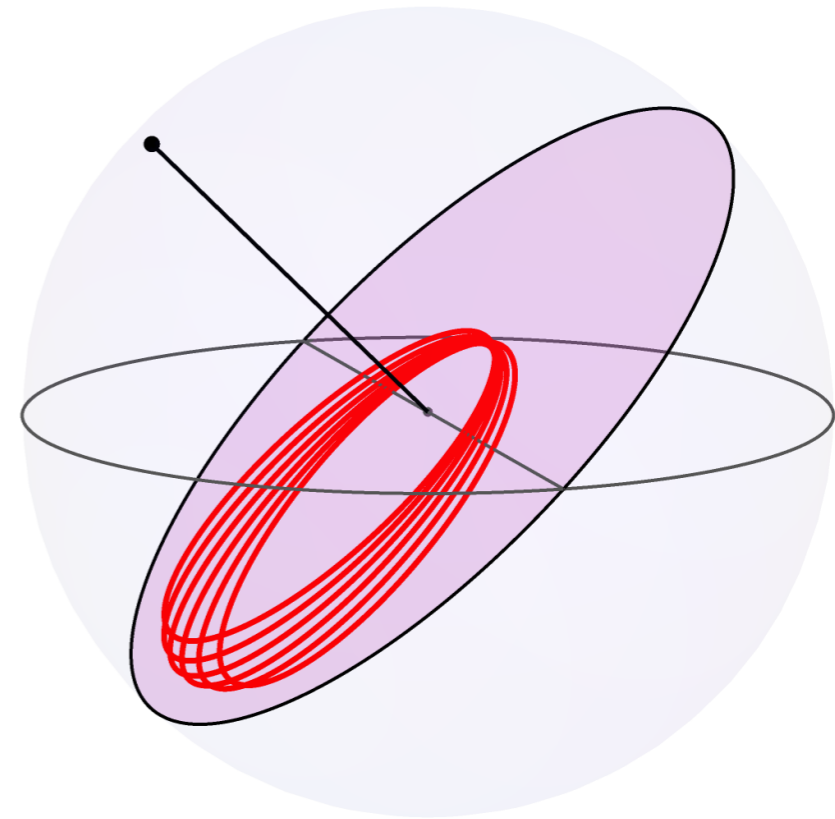
### Out-of-plane precessions $\hat{\mathbf{L}}$

No mean field motion

$$\langle \Omega^{\text{prec}} \rangle = 0$$

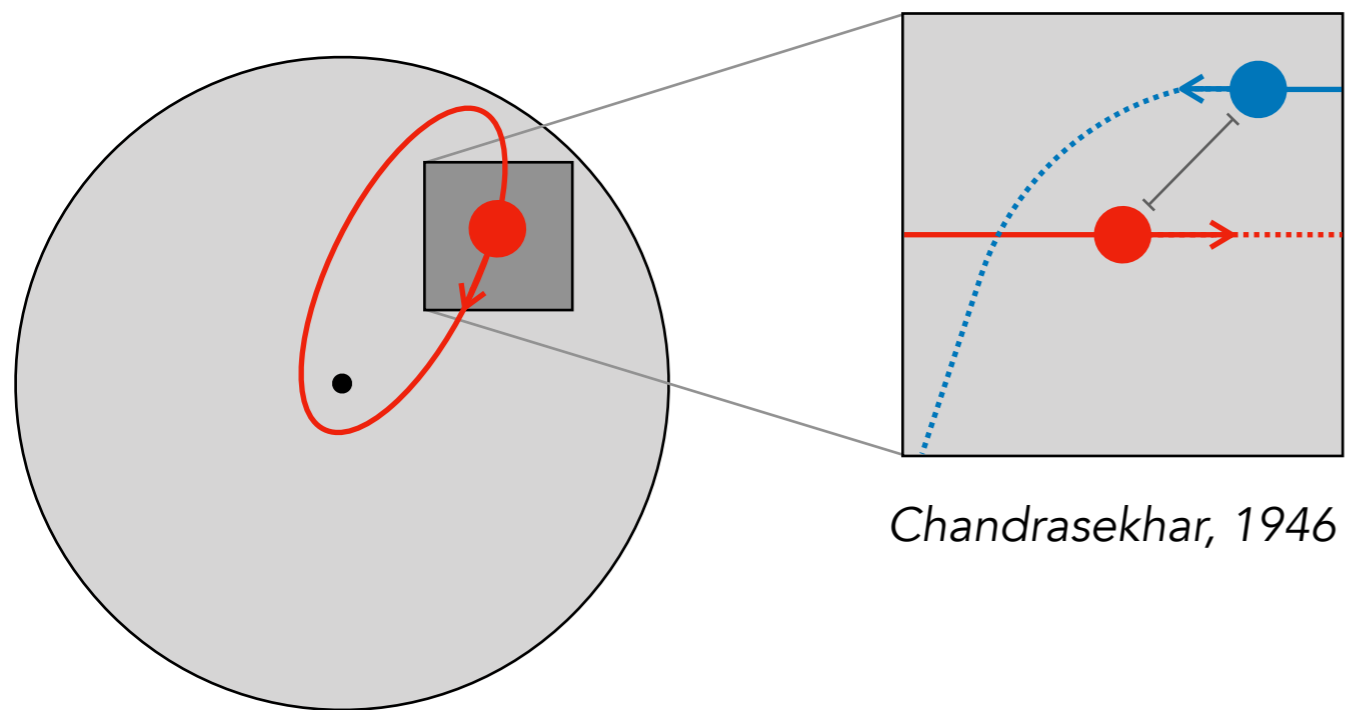
Random walk on the sphere of  $\hat{\mathbf{L}}$

### Vector Resonant Relaxation

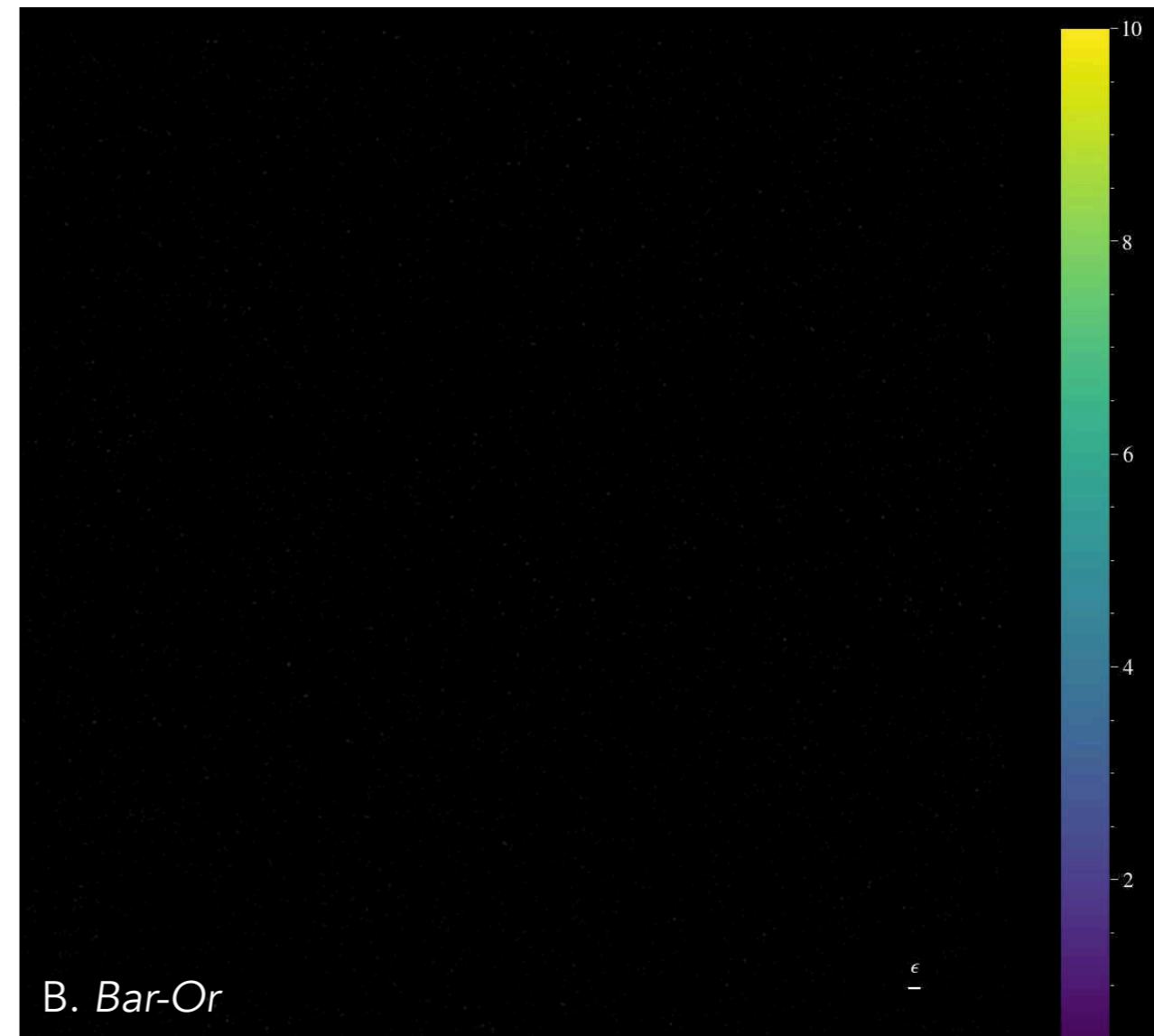


# Non-Resonant Relaxation

Orbital distortions sourced by instantaneous **kicks and deflections**



Chandrasekhar, 1946



B. Bar-Or

+ **Local, uncorrelated** and **non-resonant** encounters, i.e. slowest dynamics

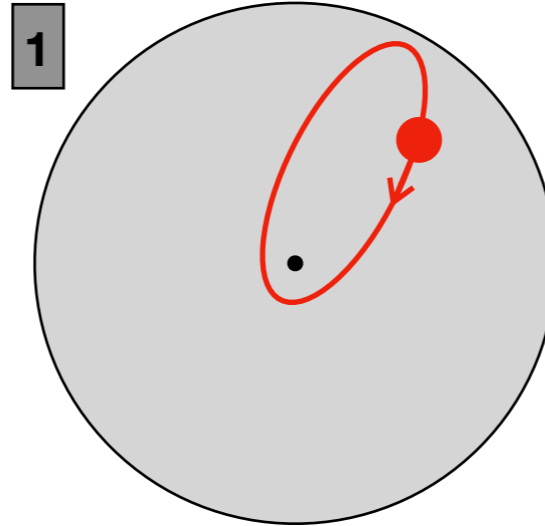
+ Immune to orbit-average and **adiabatic invariance**:  $\dot{a} = \eta(t)$

# Timescales are highly hierarchical

## 1. Dynamical time

*Fast orbital motion induced by the BH*

$$\frac{dM}{dt} = \Omega_{\text{Kep}}$$



# Timescales are highly hierarchical

## 1. Dynamical time

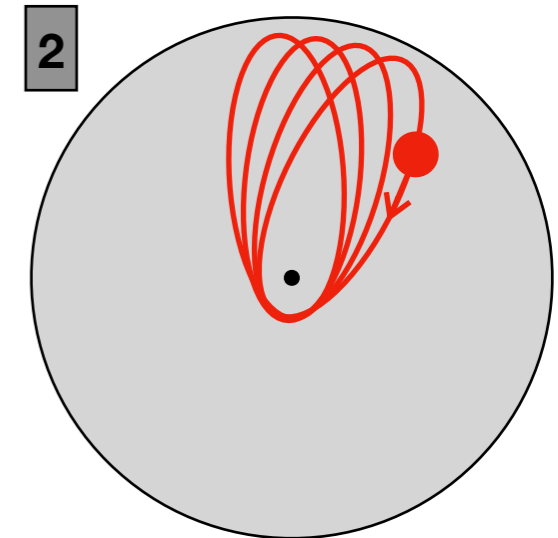
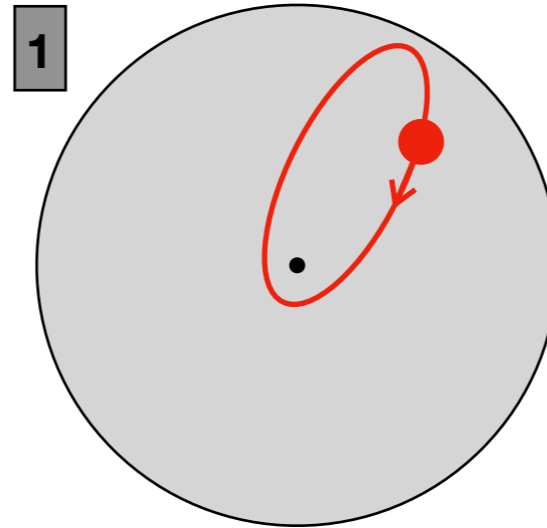
Fast orbital motion induced by the BH

$$\frac{dM}{dt} = \Omega_{\text{Kep}}$$

## 2. Precession time

In-plane precession (mass + relativity)

$$\frac{d\omega}{dt} = \Omega_{\text{prec}}$$



# Timescales are highly hierarchical

## 1. Dynamical time

Fast orbital motion induced by the BH

$$\frac{dM}{dt} = \Omega_{\text{Kep}}$$

## 2. Precession time

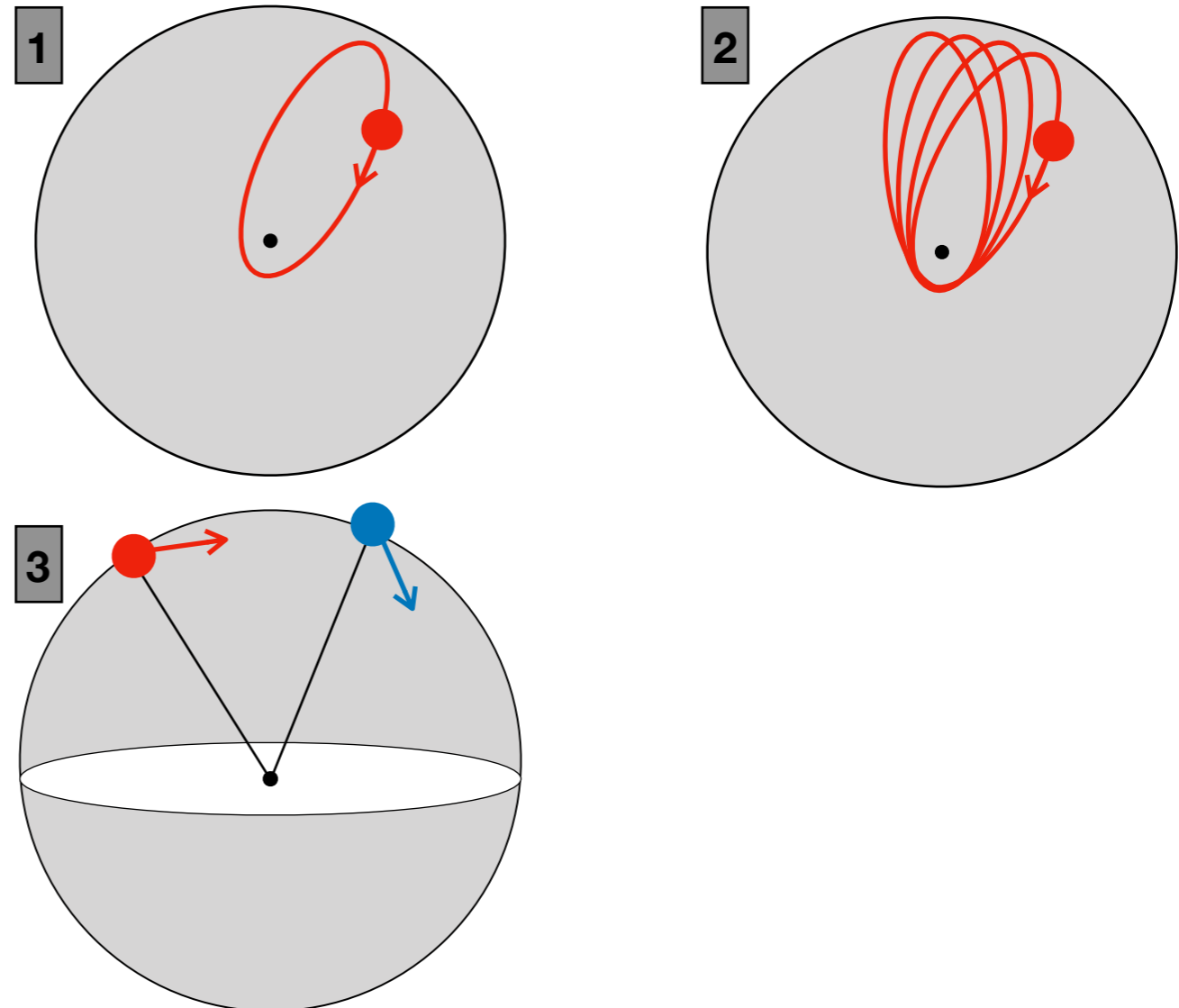
In-plane precession (mass + relativity)

$$\frac{d\omega}{dt} = \Omega_{\text{prec}}$$

## 3. Vector Resonant Relaxation

Non-spherical torque coupling

$$\frac{d\hat{\mathbf{L}}}{dt} = \eta(\hat{\mathbf{L}}, t)$$



# Timescales are highly hierarchical

## 1. Dynamical time

Fast orbital motion induced by the BH

$$\frac{dM}{dt} = \Omega_{\text{Kep}}$$

## 2. Precession time

In-plane precession (mass + relativity)

$$\frac{d\omega}{dt} = \Omega_{\text{prec}}$$

## 3. Vector Resonant Relaxation

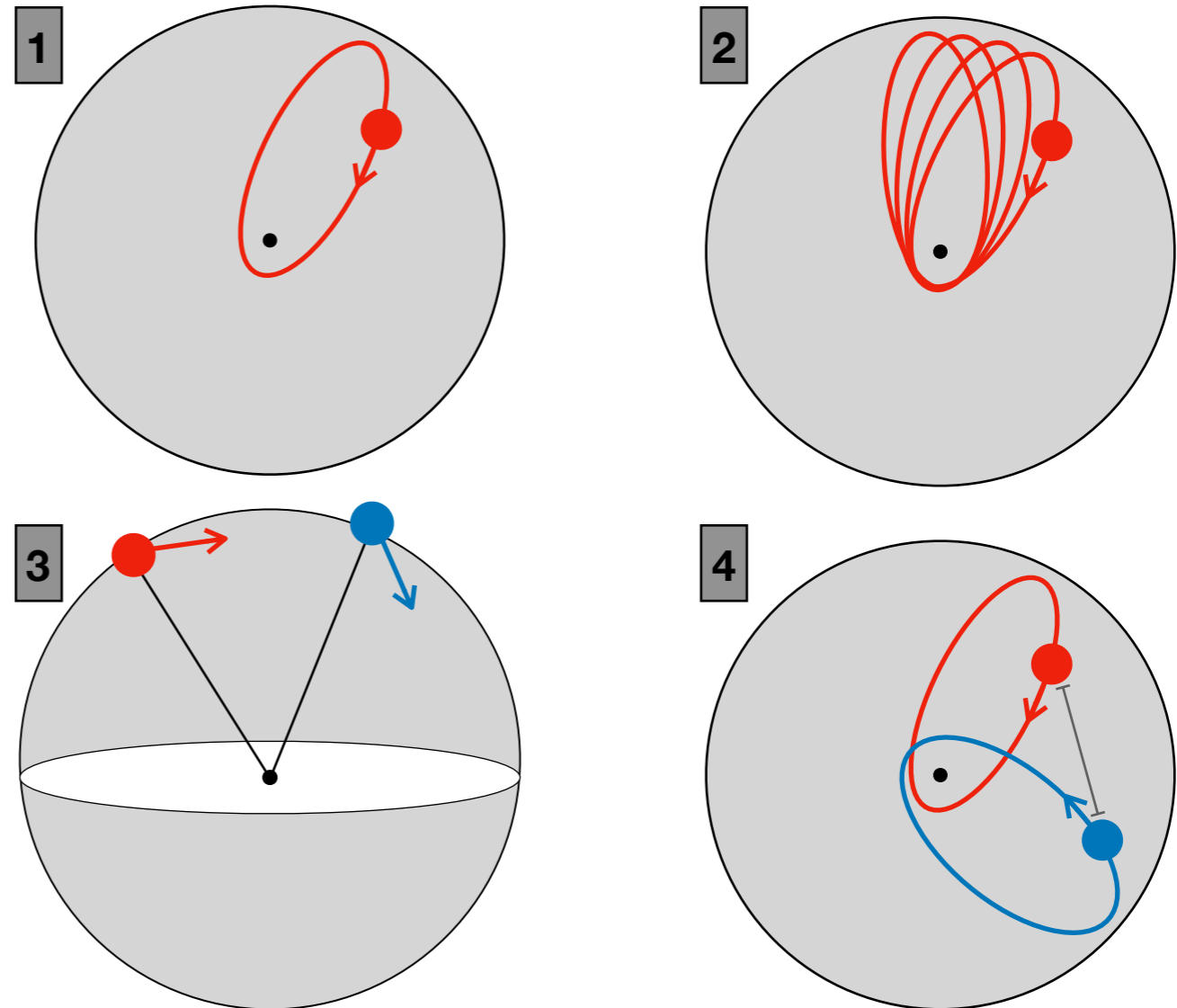
Non-spherical torque coupling

$$\frac{d\hat{\mathbf{L}}}{dt} = \eta(\hat{\mathbf{L}}, t)$$

## 4. Scalar Resonant Relaxation

Resonant coupling on precessions

$$\frac{d|\mathbf{L}|}{dt} = \eta(|\mathbf{L}|, t)$$



# Timescales are highly hierarchical

## 1. Dynamical time

Fast orbital motion induced by the BH

$$\frac{dM}{dt} = \Omega_{\text{Kep}}$$

## 2. Precession time

In-plane precession (mass + relativity)

$$\frac{d\omega}{dt} = \Omega_{\text{prec}}$$

## 3. Vector Resonant Relaxation

Non-spherical torque coupling

$$\frac{d\hat{\mathbf{L}}}{dt} = \eta(\hat{\mathbf{L}}, t)$$

## 4. Scalar Resonant Relaxation

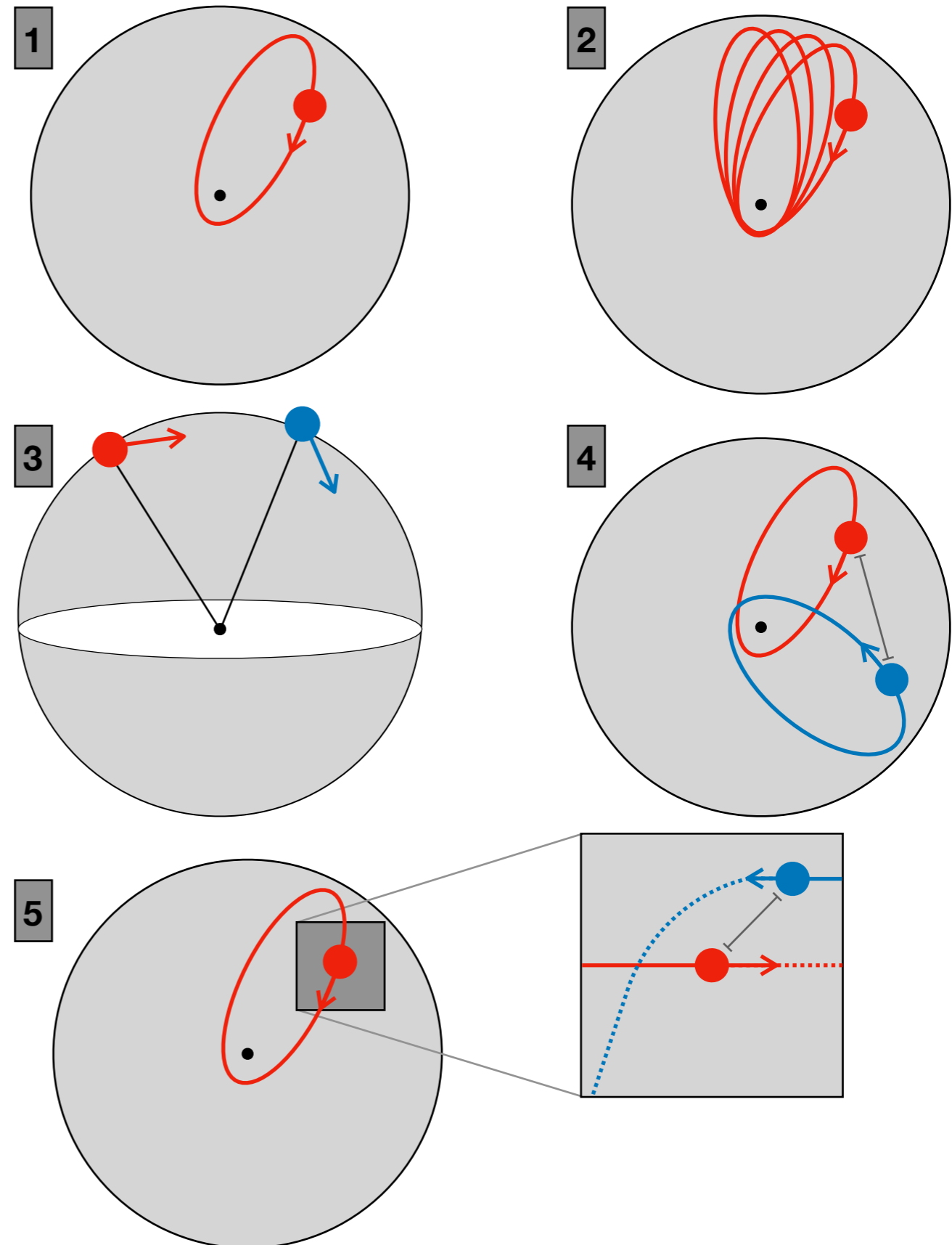
Resonant coupling on precessions

$$\frac{d|\mathbf{L}|}{dt} = \eta(|\mathbf{L}|, t)$$

## 5. Non-Resonant Relaxation

Local two-body encounters

$$\frac{da}{dt} = \eta(a, t)$$



# Timescales are highly hierarchical

## 1. Dynamical time

*Fast orbital motion induced by the BH*

$$\frac{dM}{dt} = \Omega_{\text{Kep}}$$

## 2. Precession time

*In-plane precession (mass + relativity)*

$$\frac{d\omega}{dt} = \Omega_{\text{prec}}$$

## 3. Vector Resonant Relaxation

*Non-spherical torque coupling*

$$\frac{d\hat{\mathbf{L}}}{dt} = \eta(\hat{\mathbf{L}}, t)$$

## 4. Scalar Resonant Relaxation

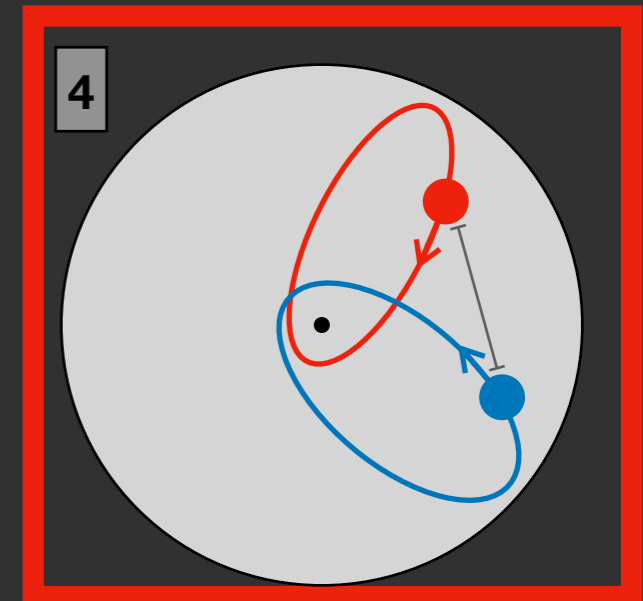
*Resonant coupling on precessions*

$$\frac{d|\mathbf{L}|}{dt} = \eta(|\mathbf{L}|, t)$$

## 5. Non-Resonant Relaxation

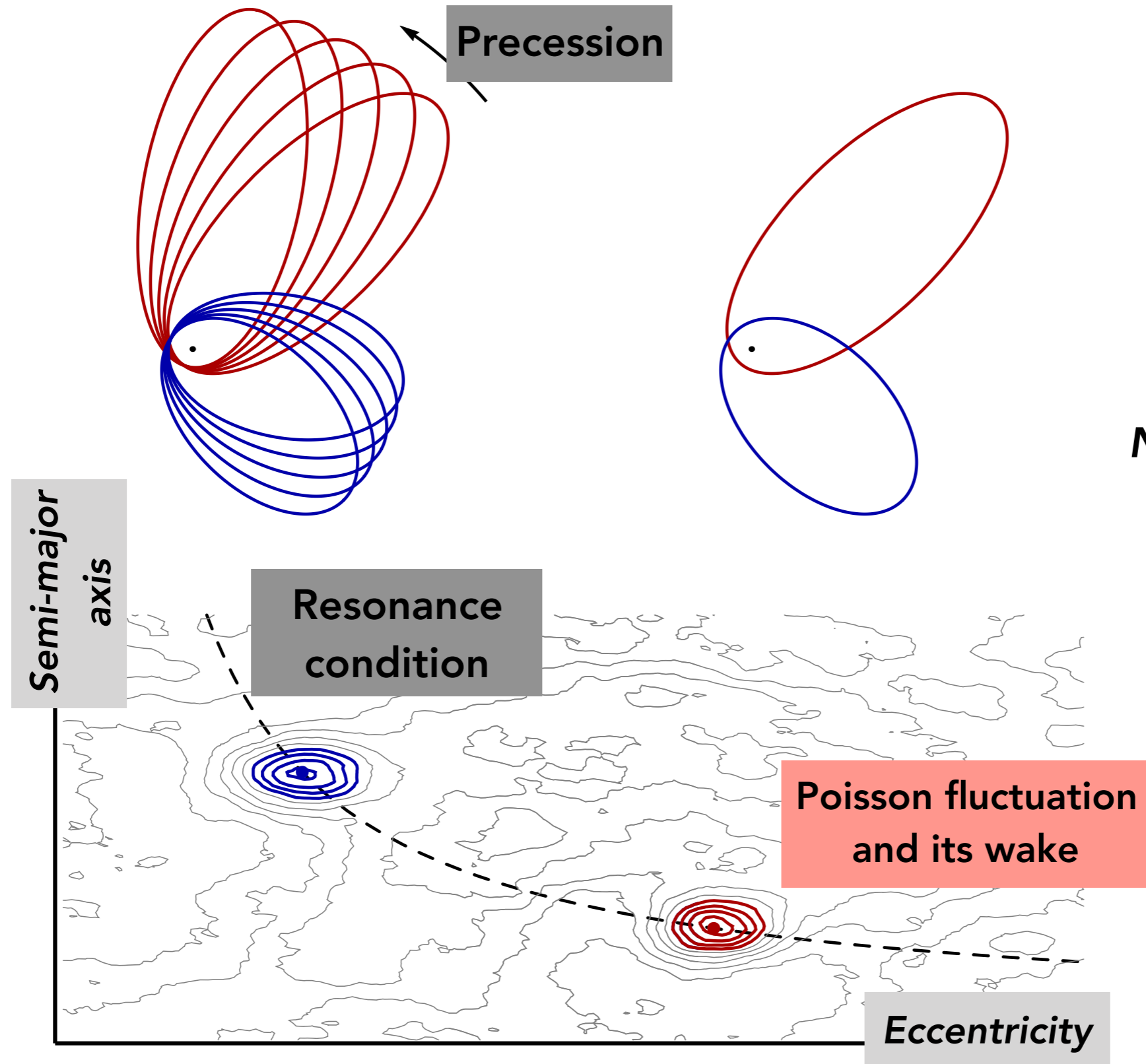
*Local two-body encounters*

$$\frac{da}{dt} = \eta(a, t)$$





# Non-local resonances



*Non-local resonances  
between wires*

# The (degenerate) Balescu-Lenard equation

The master equation of **scalar resonant relaxation**

$$\frac{\partial F(L, a, t)}{\partial t} = \frac{1}{2} \frac{\partial}{\partial L} \left[ L D_{LL}(L, a) \frac{\partial}{\partial L} \frac{F(L, a, t)}{L} \right]$$

**Anisotropic diffusion coefficients**

$$D_{LL}(L, a) \propto \frac{1}{N_{\star}} \sum_{n, n'} n^2 \int dL' da' \delta_{\text{D}}(n\Omega^{\text{prec}}(L, a) - n'\Omega^{\text{prec}}(L', a')) \times |A_{nn'}(L, a, L', a')|^2 F^{\text{Cluster}}(L', a', t)$$

Some properties

$F(L, a, t)$  Orbital distortion

$\partial/\partial L$  Adiabatic invariance

$D_{LL}(L, a)$  Anisotropic diffusion

$1/N_{\star}$  Finite-N effects

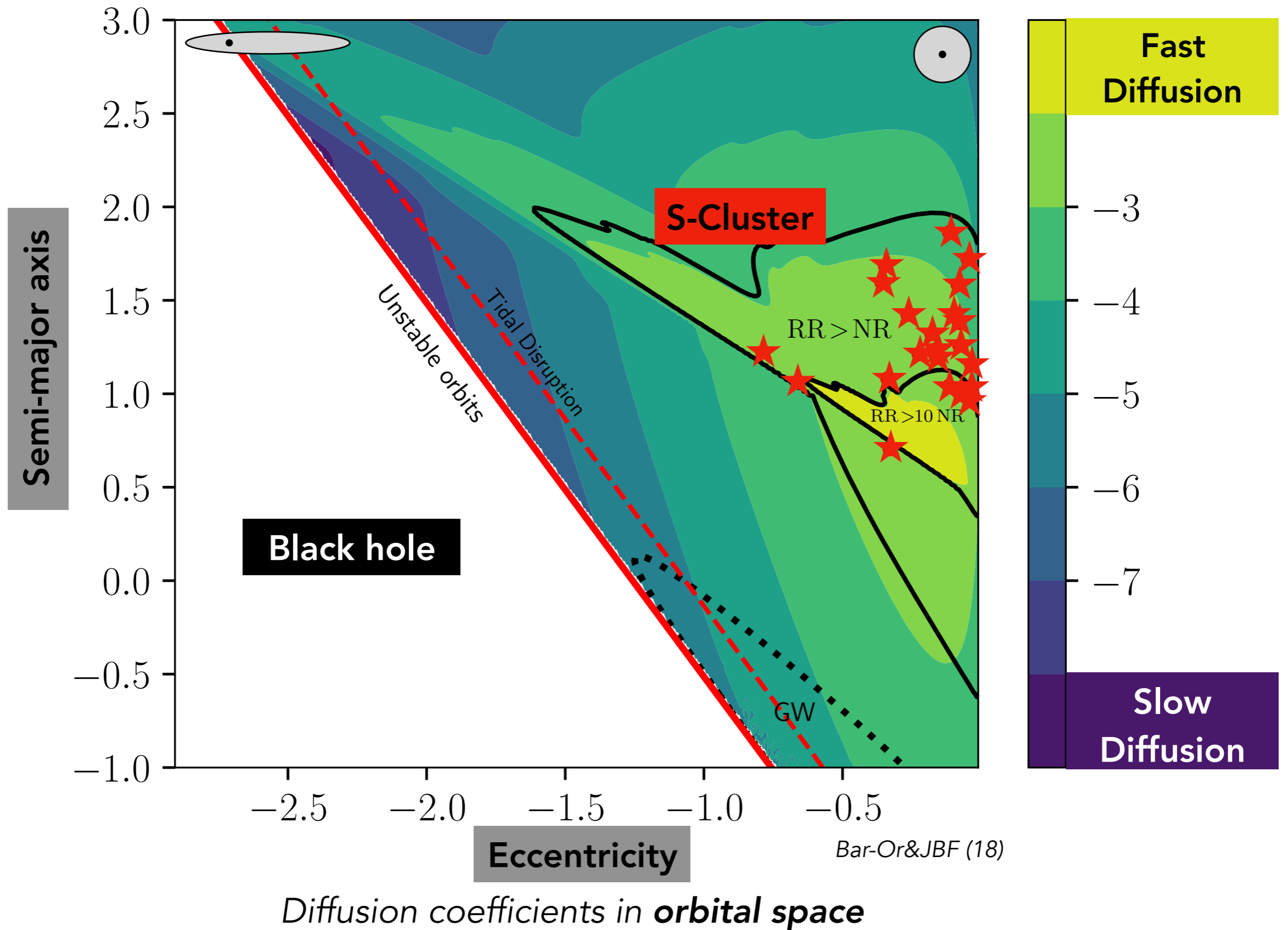
$n$  Resonance numbers

$\int dL' da'$  Scan of orbital space

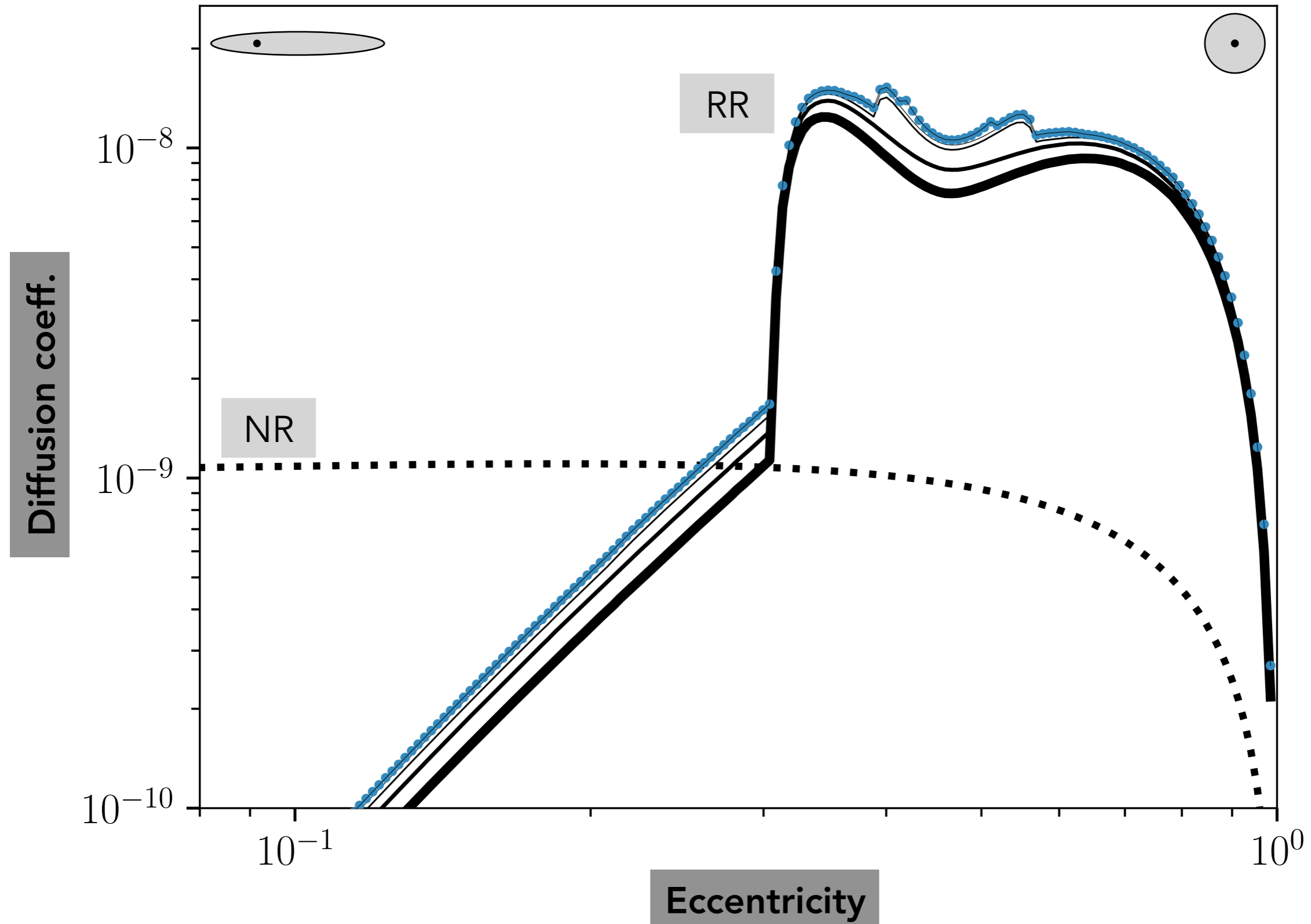
$\delta_{\text{D}}(n\Omega^{\text{prec}} - n'\Omega^{\text{prec}'})$  Resonance condition

$|A_{nn'}(L, a, L', a')|^2$  Coupling coefficients

# Scalar Resonant Relaxation in Galactic Nuclei

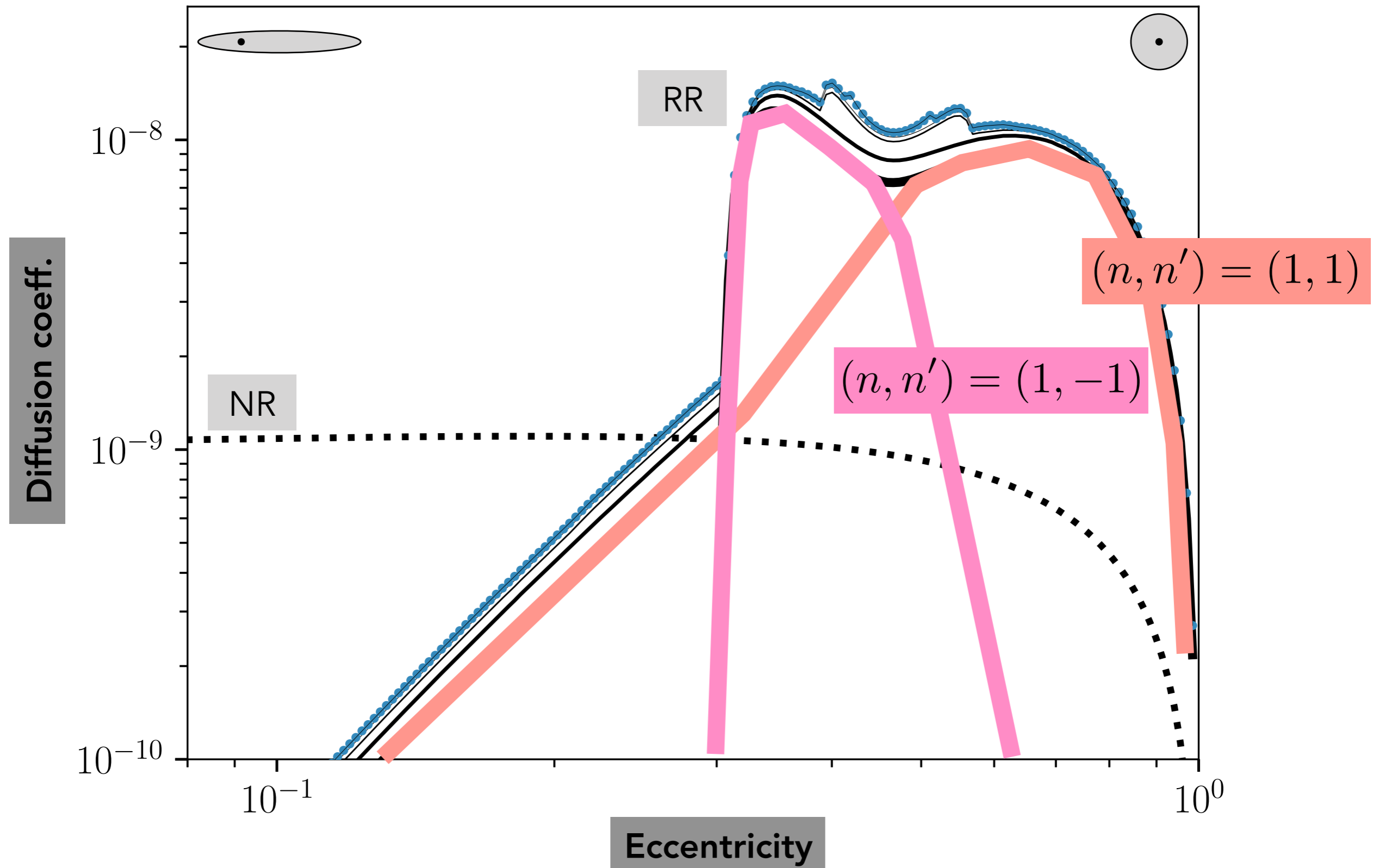


# The diffusion coefficients of eccentricity



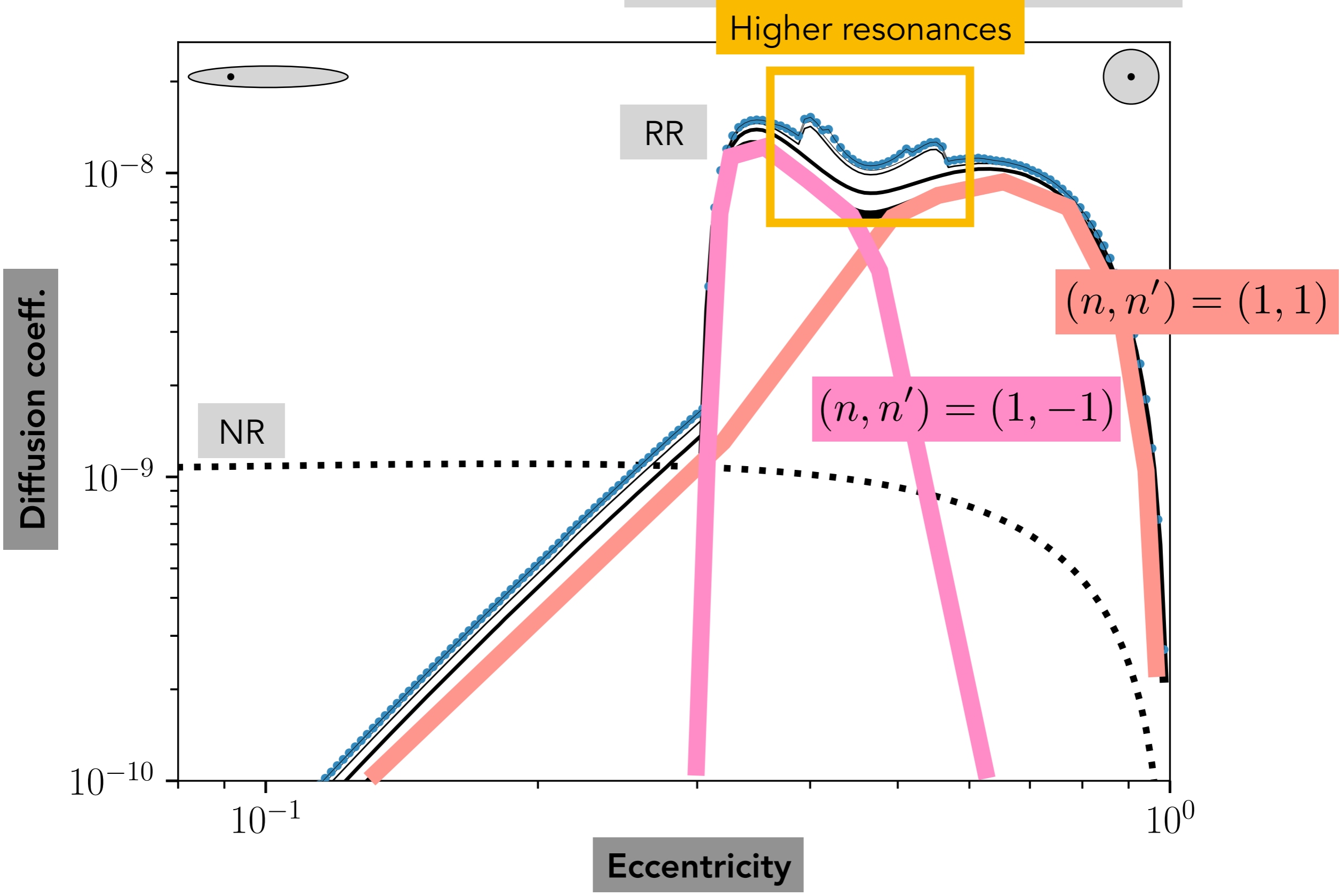
## Non-local resonances

$$\delta_D(n\Omega_{\text{prec}}(L) - n'\Omega_{\text{prec}}(L'))$$

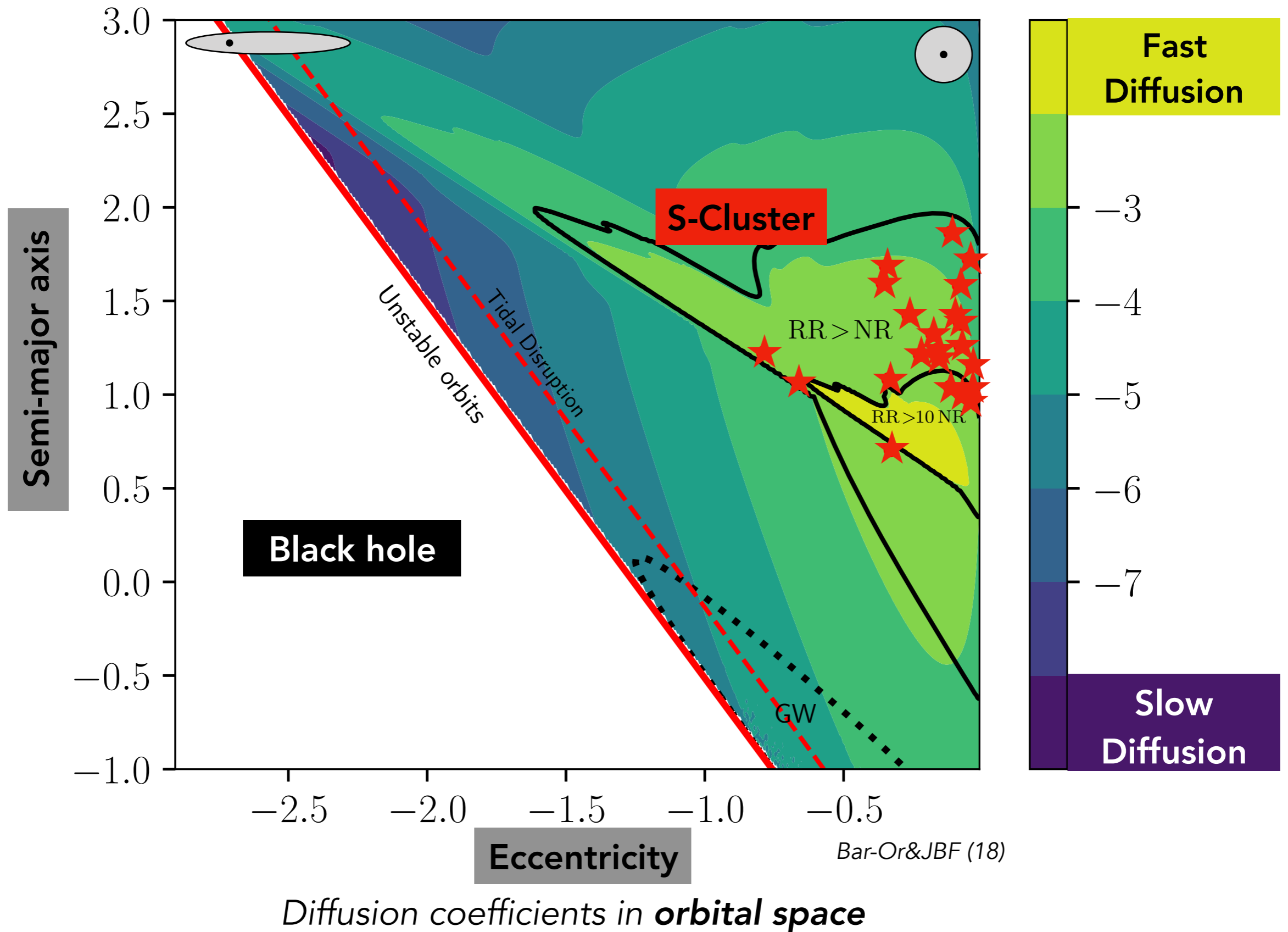


# Non-local resonances

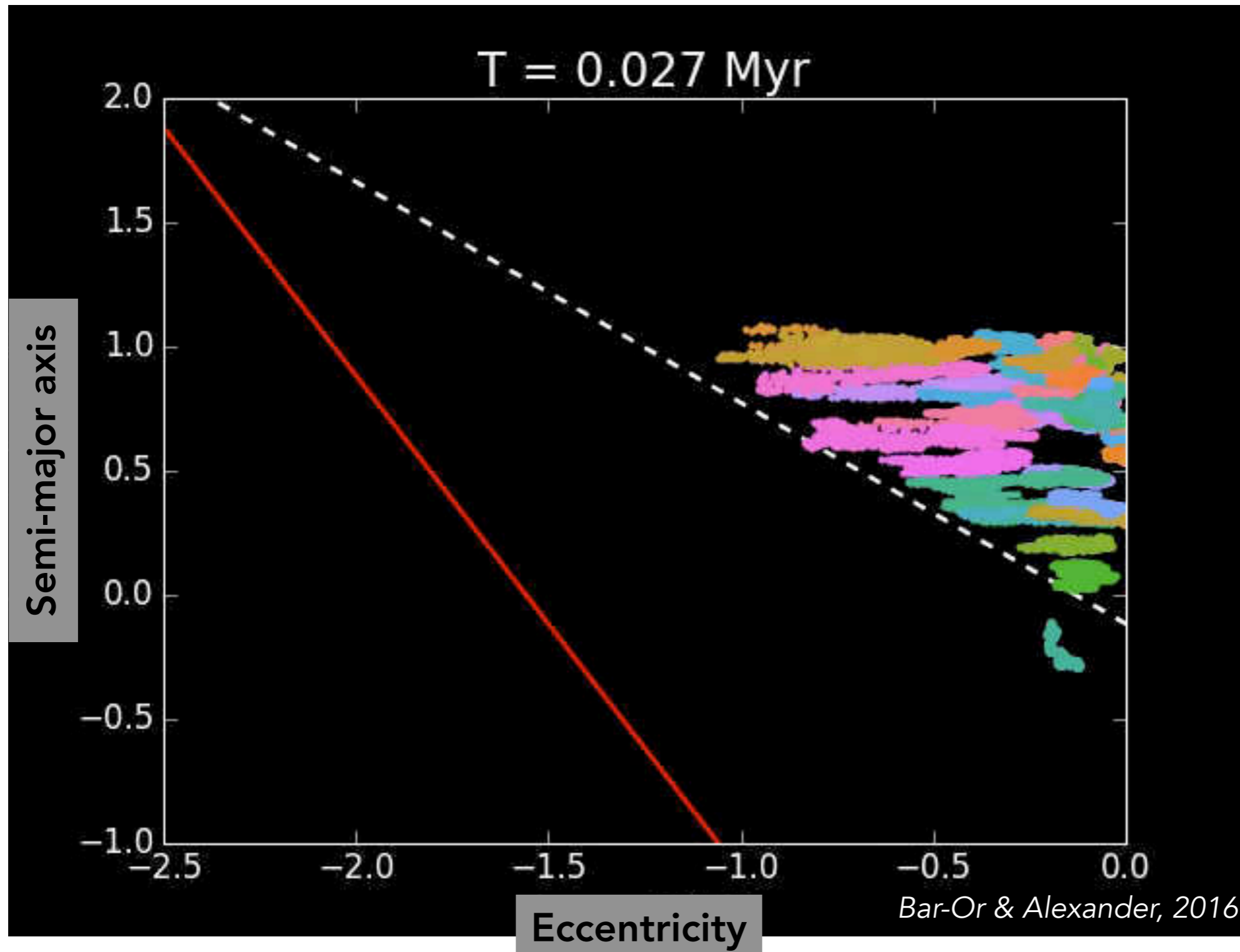
$$\delta_D(n\Omega_{\text{prec}}(L) - n'\Omega_{\text{prec}}(L'))$$



# Scalar Resonant Relaxation in Galactic Nuclei



# Scalar Resonant Relaxation in Galactic Nuclei

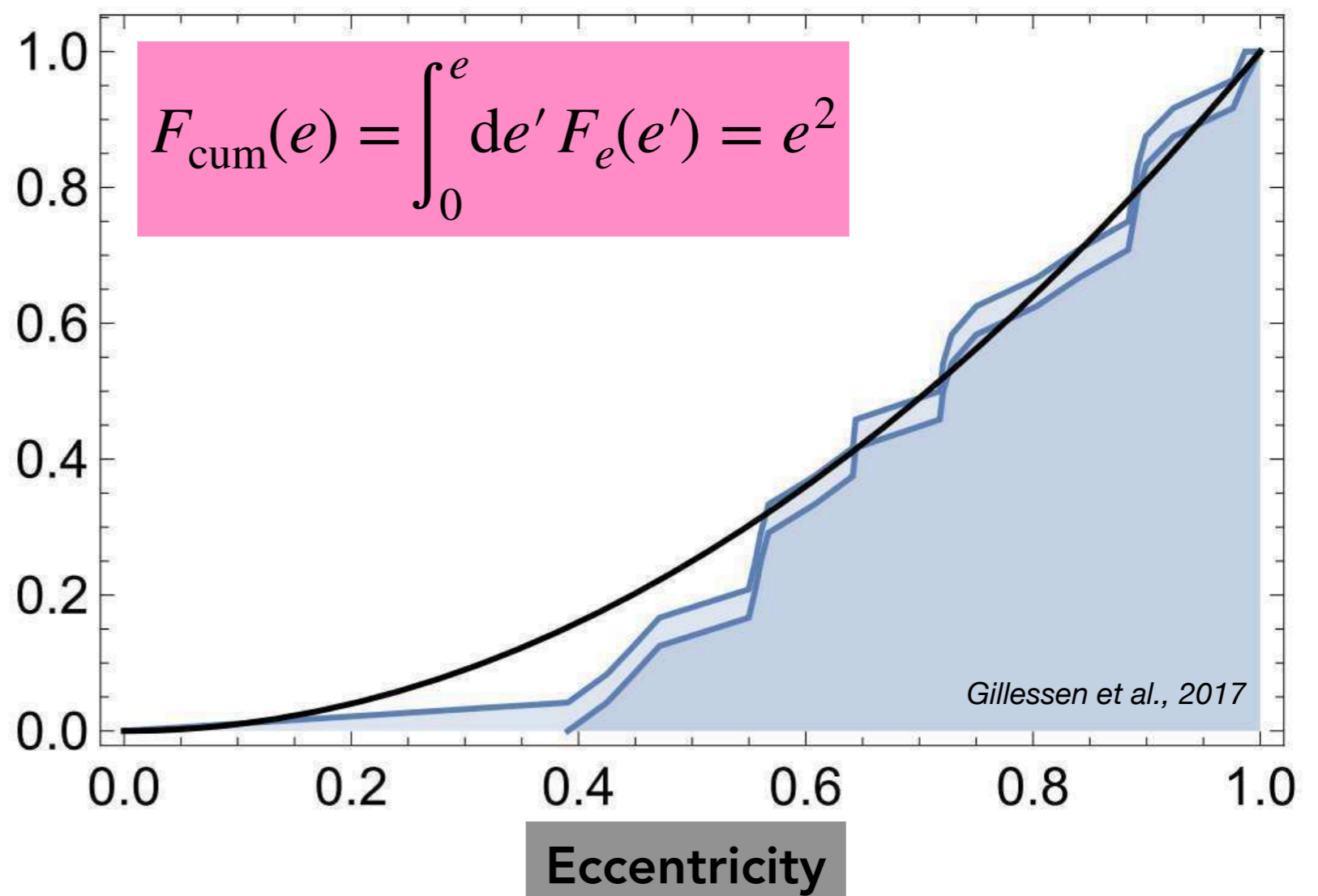
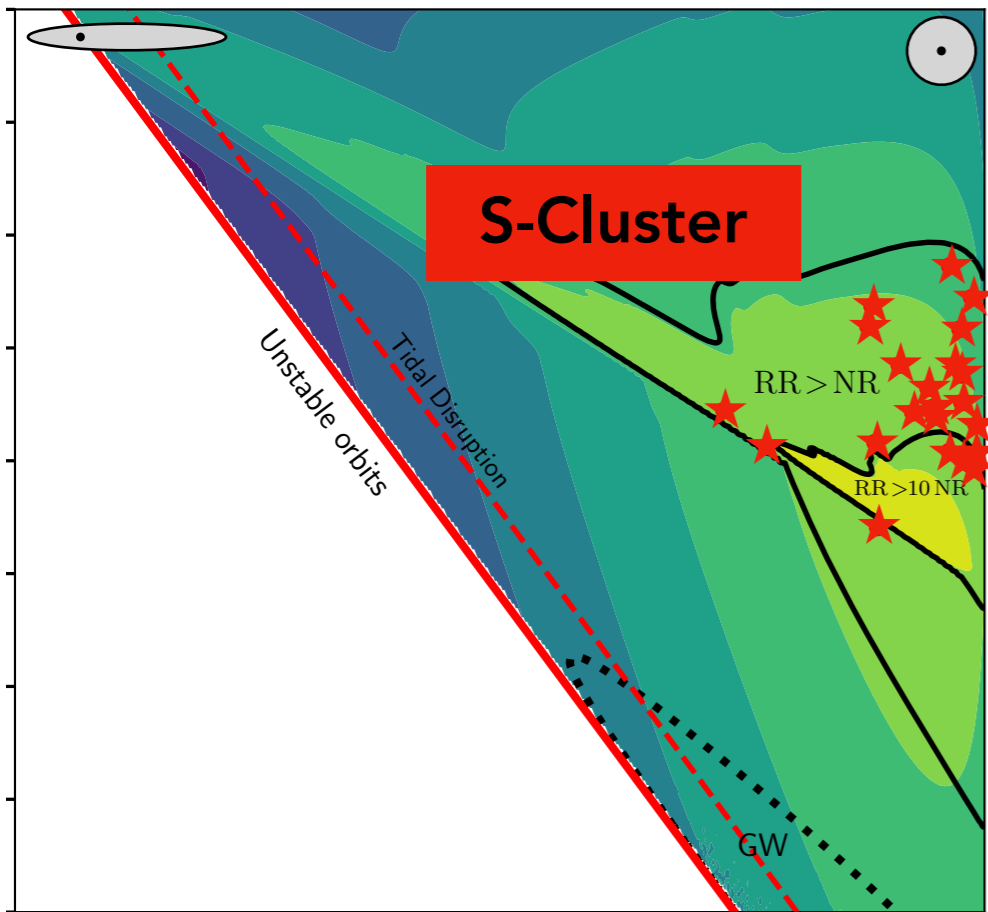


**Monte-Carlo** realisation of the diffusion coefficients



# Scalar Resonant Relaxation can affect the S-stars

24 early-type stars

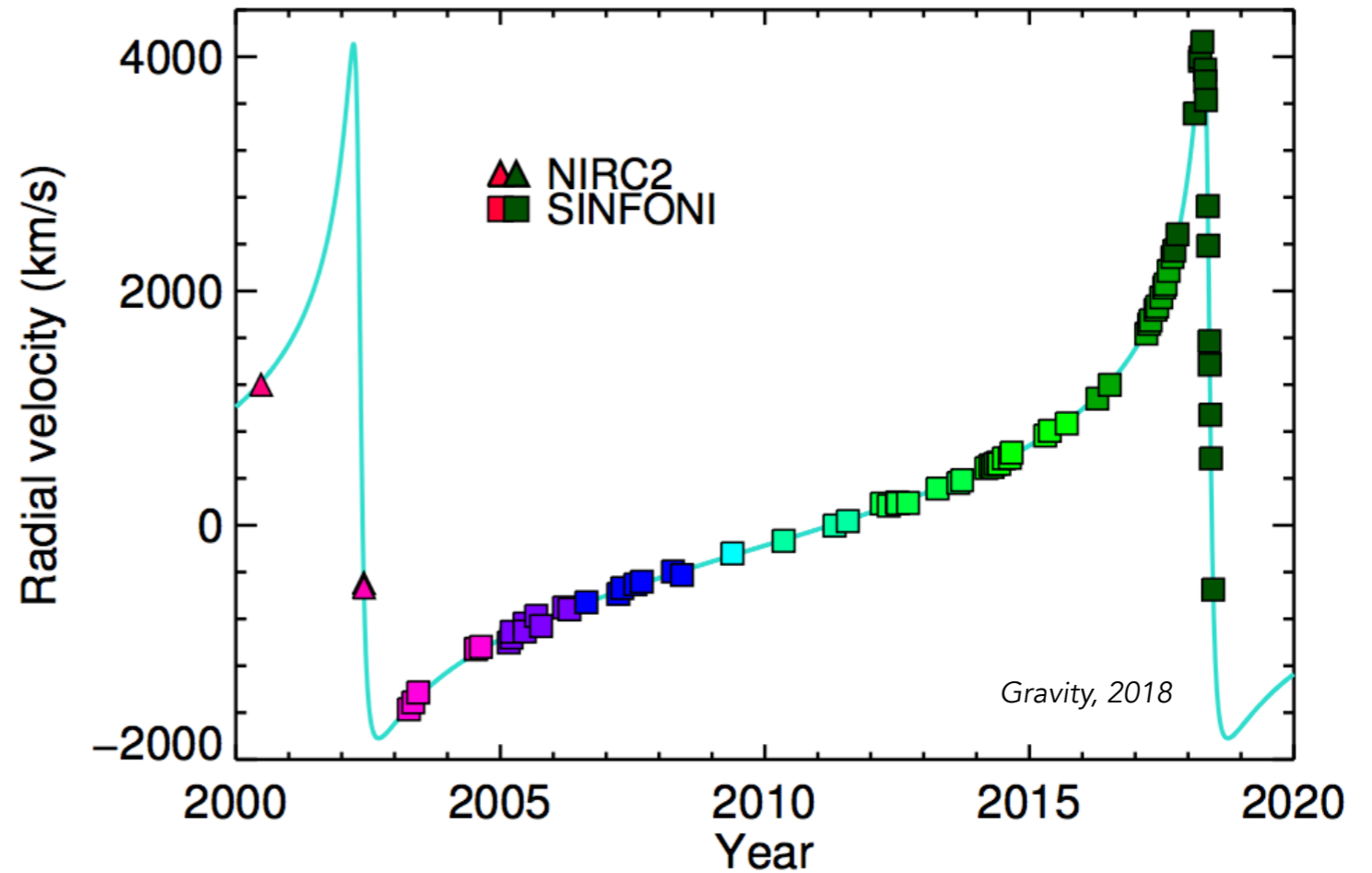
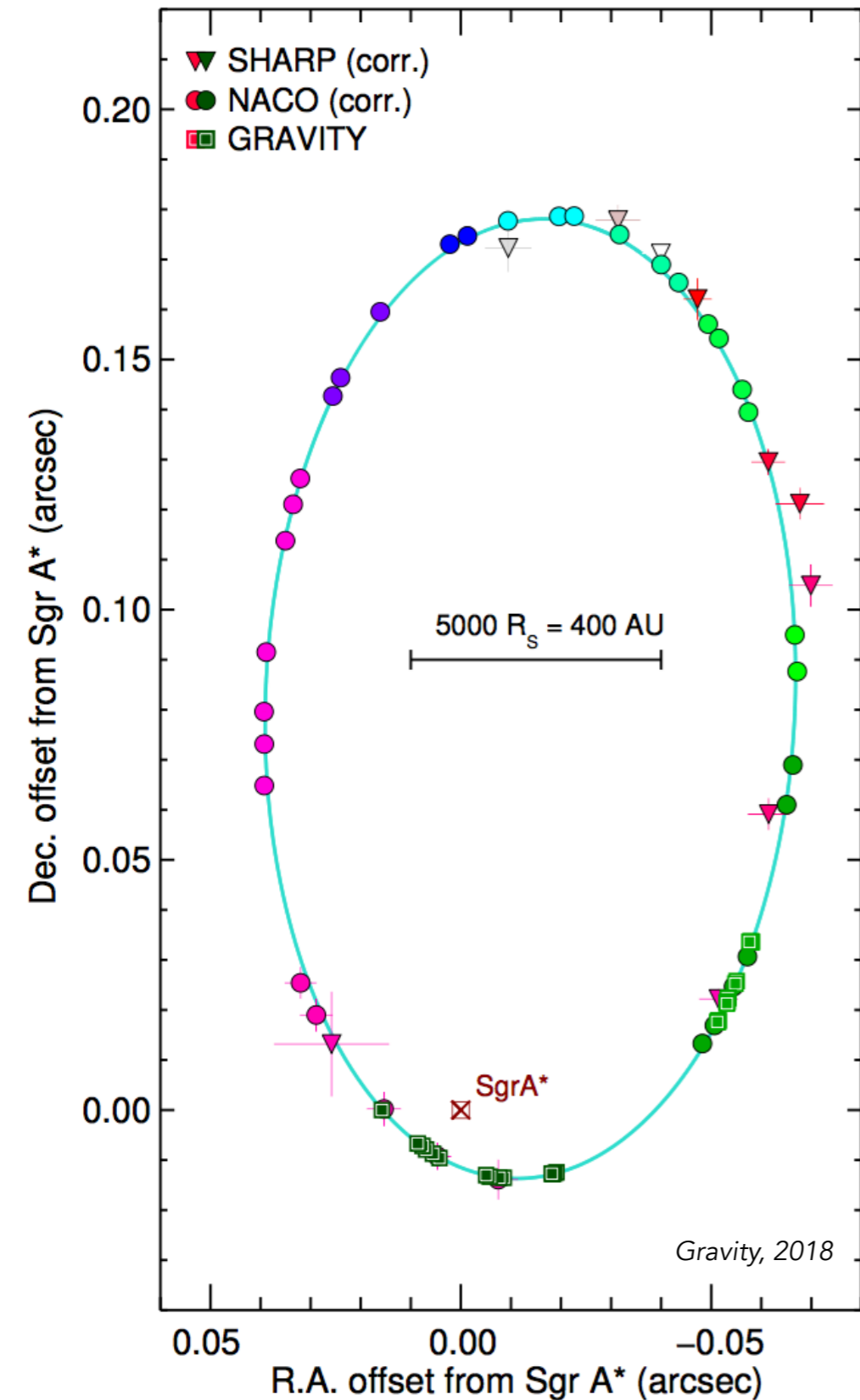


Thermal equilibrium

$$\frac{\partial F_L(L, t)}{\partial t} = \frac{1}{2} \frac{\partial}{\partial L} \left[ L D_{LL}(L) \frac{\partial}{\partial L} \left[ \frac{F_L(L)}{L} \right] \right] \implies F_L(L) \propto L \leftrightarrow F_{cum}(e) = e^2$$

Do these stars have had the time to relax in eccentricities?

# More constraints from S2



+ High-precision measurement of S2's orbit, from *Gravity*, in particular at pericentre passage

- + Possible **distortions** of the Keplerian orbits due to
  - **Relativistic** precessions
  - Precession from the **enclosed mass**

$$\rho(r) \propto r^{-\gamma}$$

Cusp profile

$$\frac{\langle m^2 \rangle}{\langle m \rangle^2}$$

Mass spectrum

# Timescales are highly hierarchical

## 1. Dynamical time

*Fast orbital motion induced by the BH*

$$\frac{dM}{dt} = \Omega_{\text{Kep}}$$

## 2. Precession time

*In-plane precession (mass + relativity)*

$$\frac{d\omega}{dt} = \Omega_{\text{prec}}$$

## 3. Vector Resonant Relaxation

*Non-spherical torque coupling*

$$\frac{d\hat{\mathbf{L}}}{dt} = \eta(\hat{\mathbf{L}}, t)$$

## 4. Scalar Resonant Relaxation

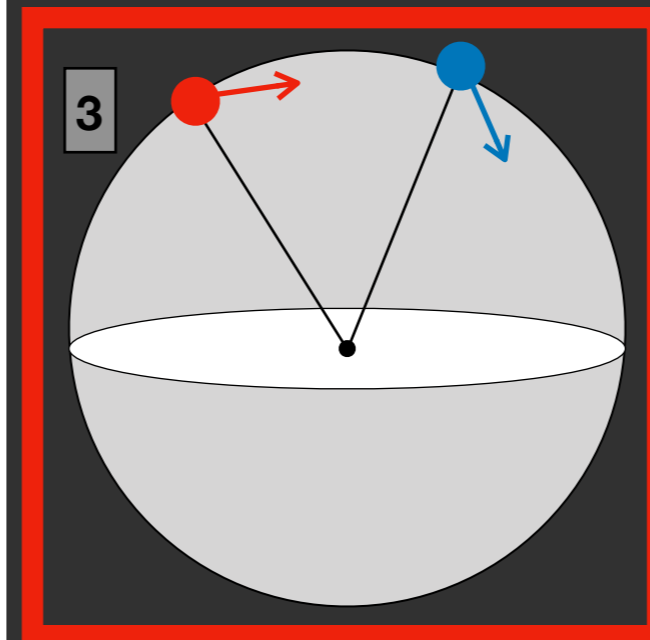
*Resonant coupling on precessions*

$$\frac{d|\mathbf{L}|}{dt} = \eta(|\mathbf{L}|, t)$$

## 5. Non-Resonant Relaxation

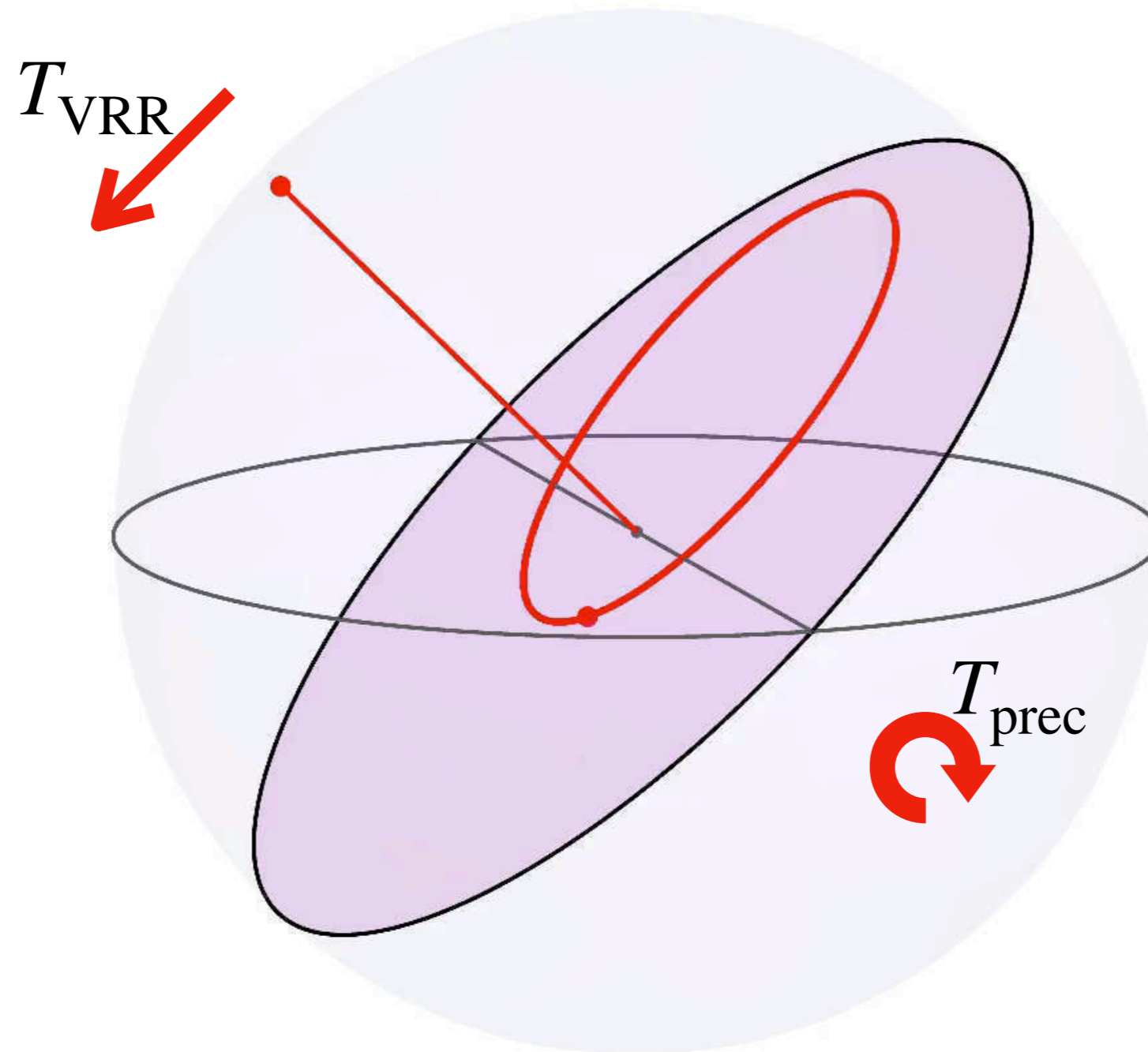
*Local two-body encounters*

$$\frac{da}{dt} = \eta(a, t)$$



# Vector Resonant Relaxation

The dynamics of **Keplerian wires**



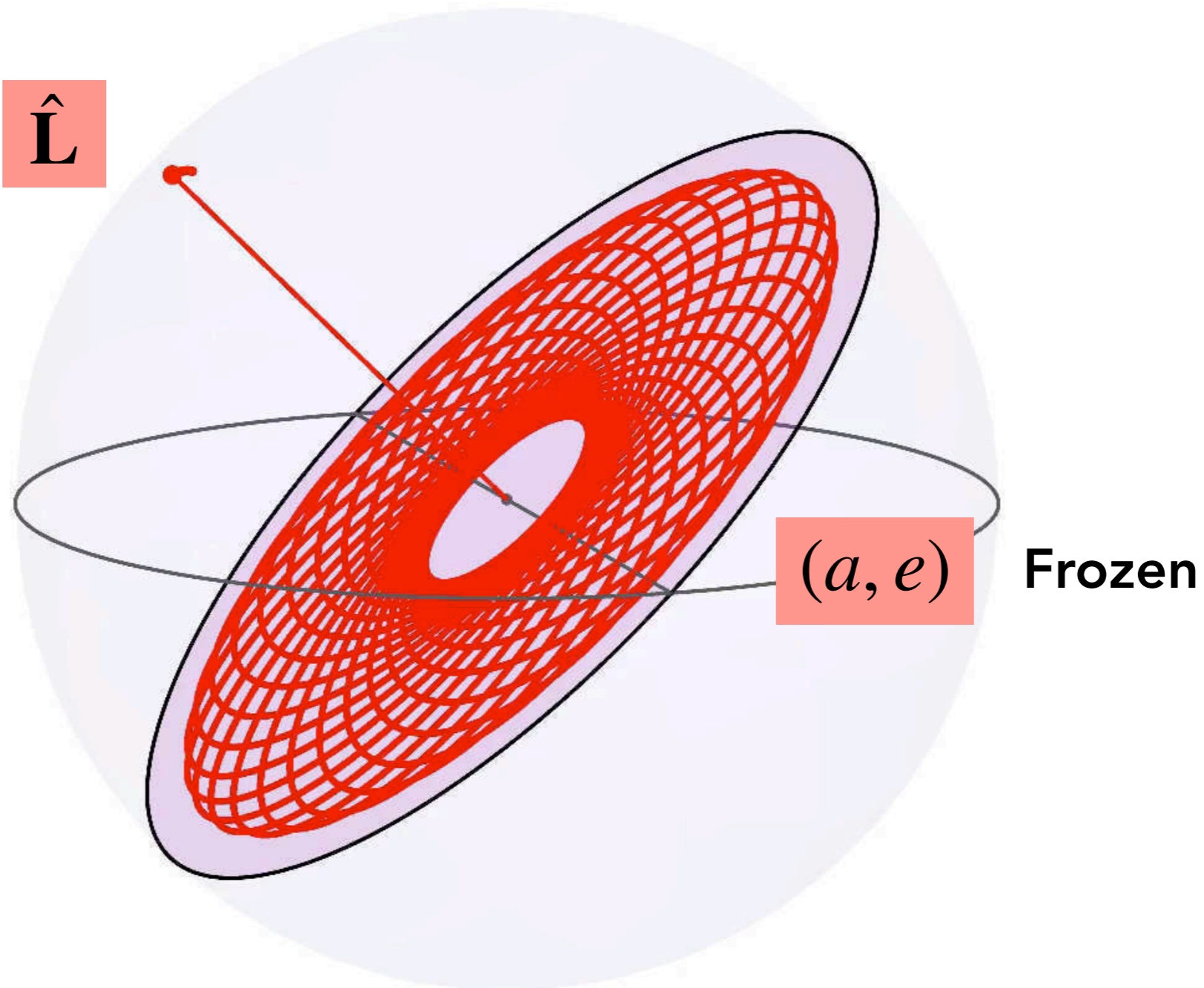
Since  $T_{\text{prec}} \ll T_{\text{VRR}}$ , we can perform a **second orbit-average**

## Vector Resonant Relaxation

Orbit average: Wire  $\Rightarrow$  Annuli

Dynamical

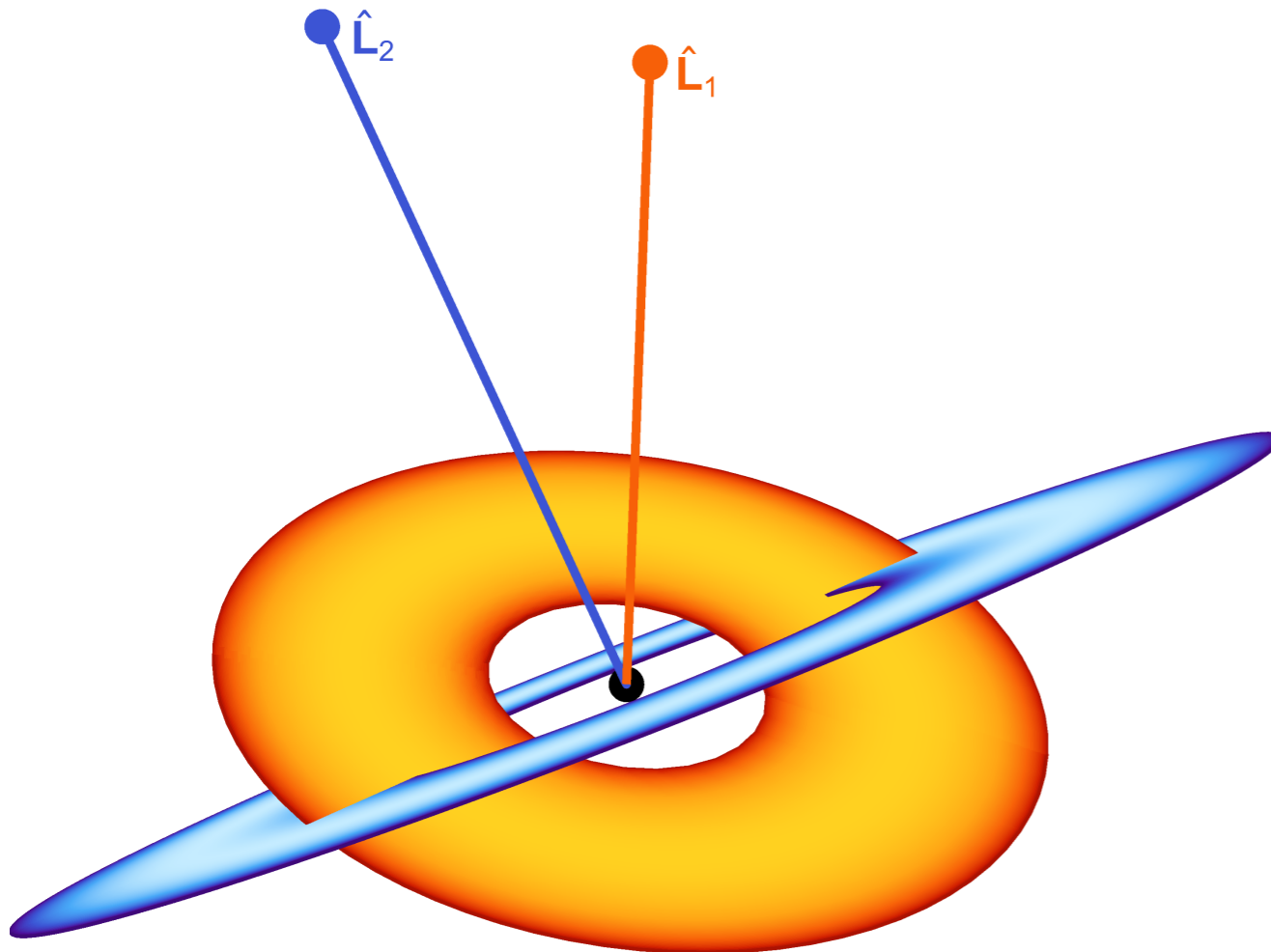
$\hat{\mathbf{L}}$



What is the dynamics of a set of **long-range coupled annuli**?

# Vector Resonant Relaxation

Random walk of the stars' orientations



*Pairwise coupling between two annuli*

+ **Long-range** Hamiltonian system

$$H = \sum_{i < j} A(a_i, e_i, a_j, e_j) U(\hat{\mathbf{L}}_i \cdot \hat{\mathbf{L}}_j)$$

+ Dynamical variables - **orientations**:  $\hat{\mathbf{L}}$

+ Some properties

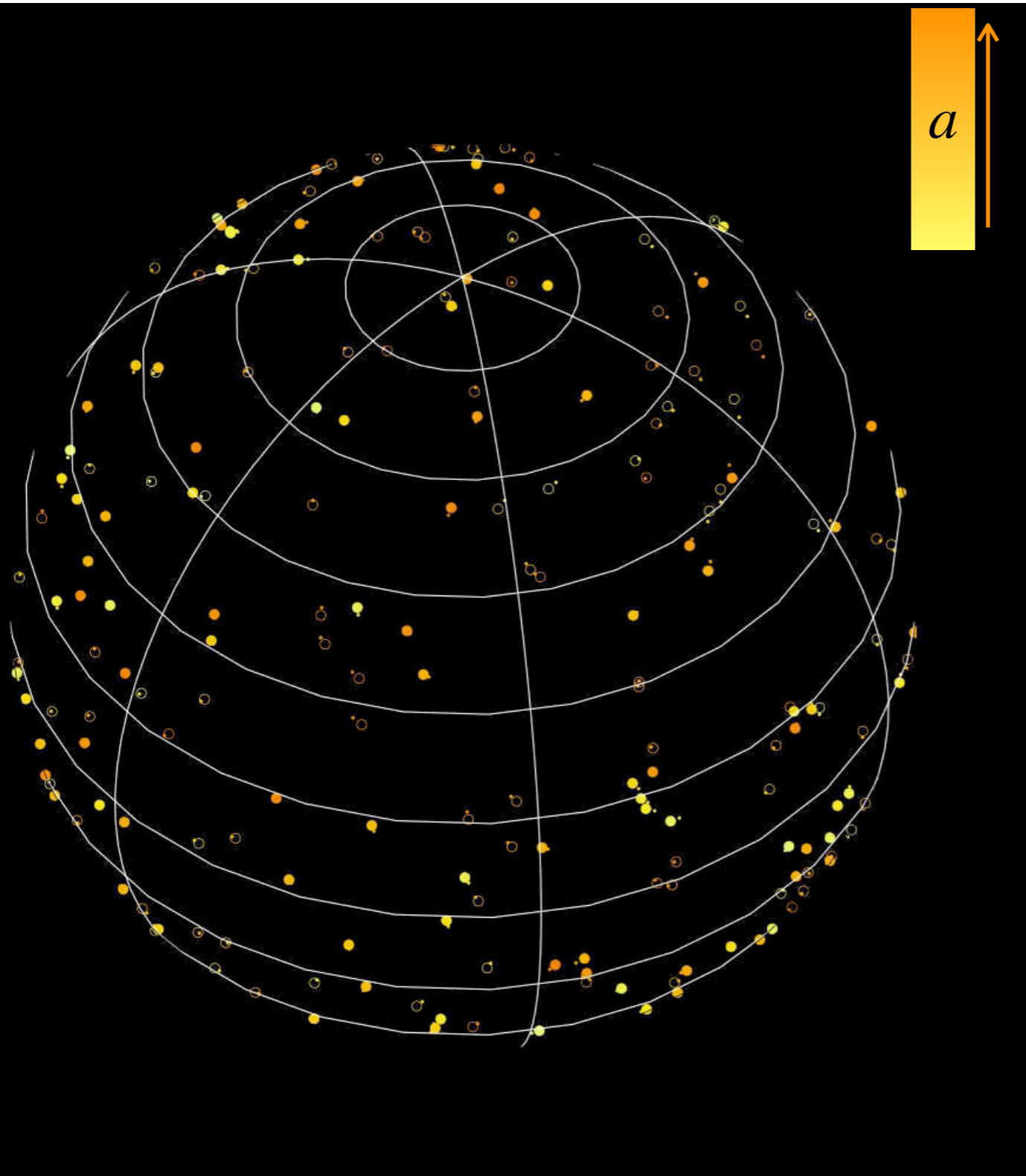
- No **kinetic energy**

- Vanishing **mean field**  $\langle H \rangle = 0$

- Additional "labels"  $(a, e)$

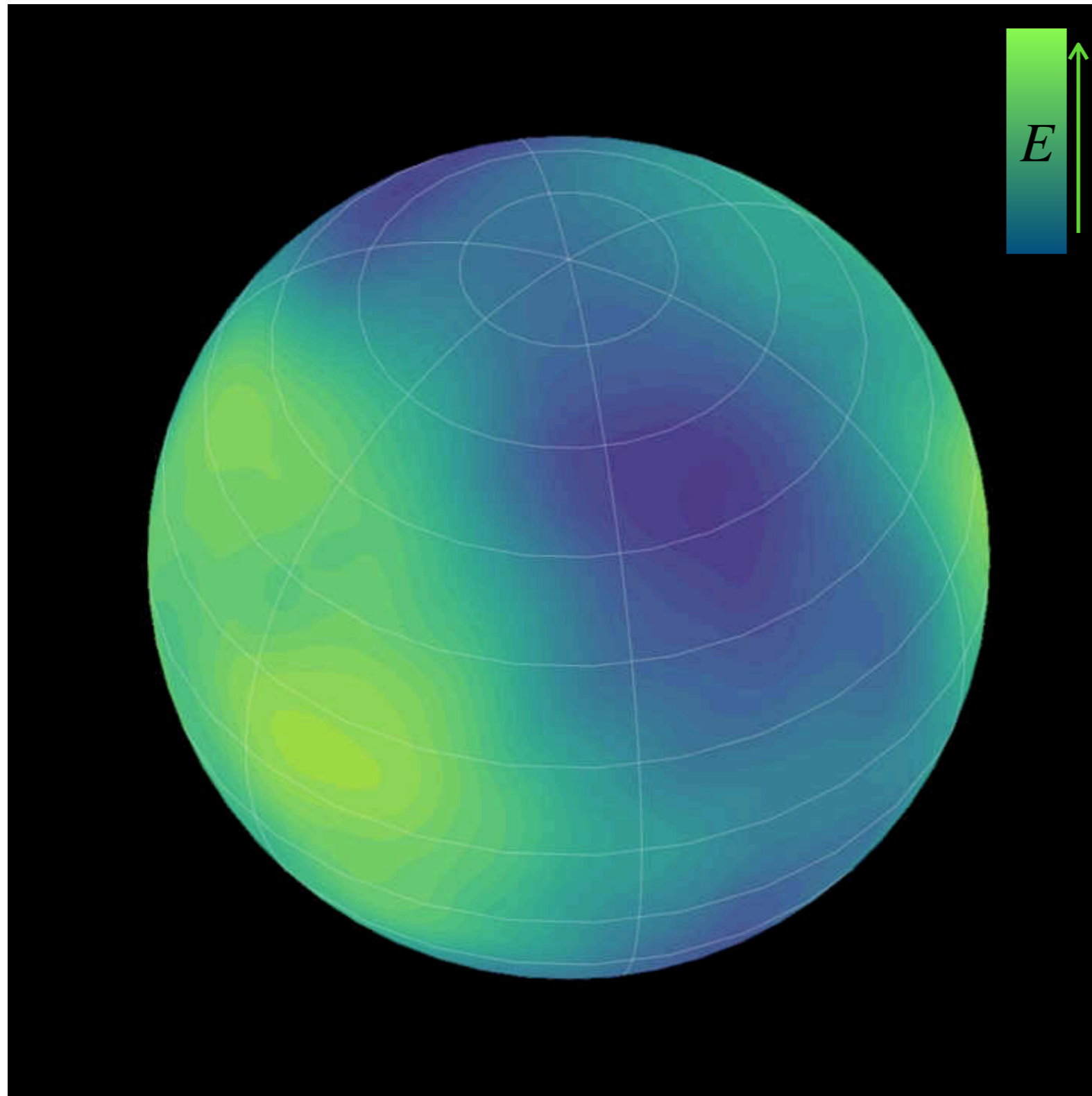
- **Rotational invariance**  $\hat{\mathbf{L}}_i \cdot \hat{\mathbf{L}}_j$

# Vector Resonant Relaxation



- + Motion coherent on large scales
  - **Long-range interacting system**
- + Motion smooth on short times
  - **Time-correlated noise**
- + Particles have "preferred friends"
  - **Parametric coupling  $(a, e)$**
- + System in statistical equilibrium
  - **Time stationarity  $(t - t')$**
  - **Rotation invariance  $(\hat{\mathbf{L}} \cdot \hat{\mathbf{L}}')$**

# Vector Resonant Relaxation

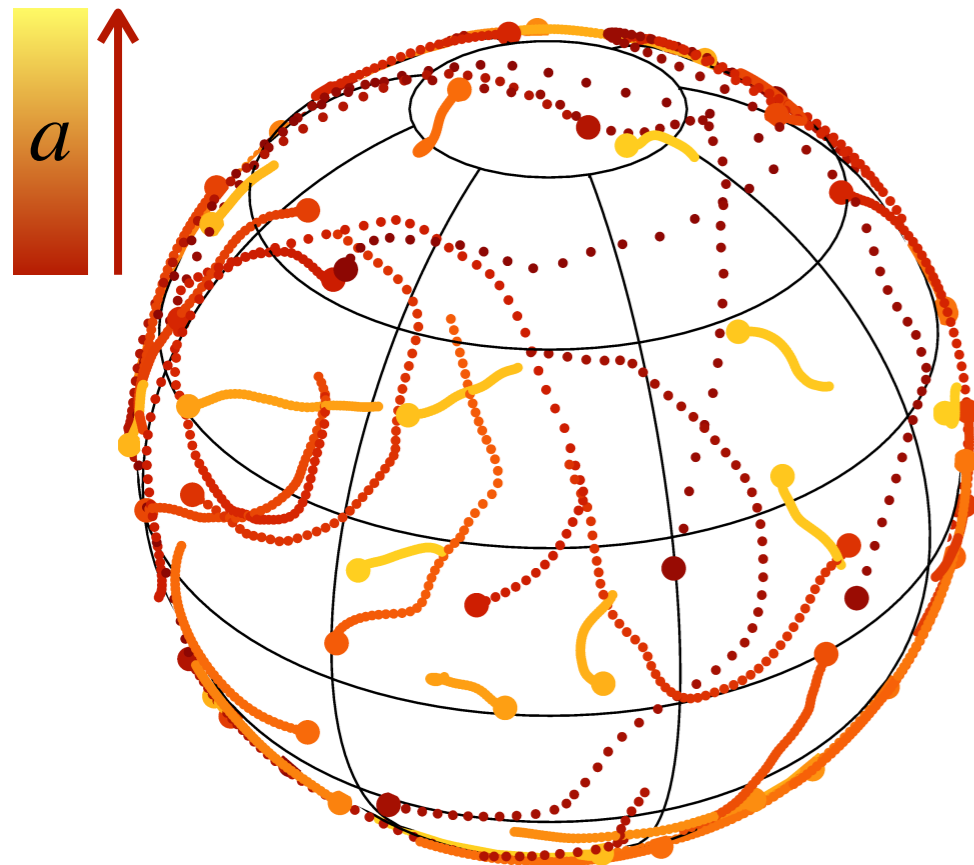


- + Motion coherent on large scales
  - **Long-range interacting system**
- + Motion smooth on short times
  - **Time-correlated noise**
- + Particles have "preferred friends"
  - **Parametric coupling**  $(a, e)$
- + System in statistical equilibrium
  - **Time stationarity**  $(t - t')$
  - **Rotation invariance**  $(\hat{\mathbf{L}} \cdot \hat{\mathbf{L}}')$



# Self-consistency requirement

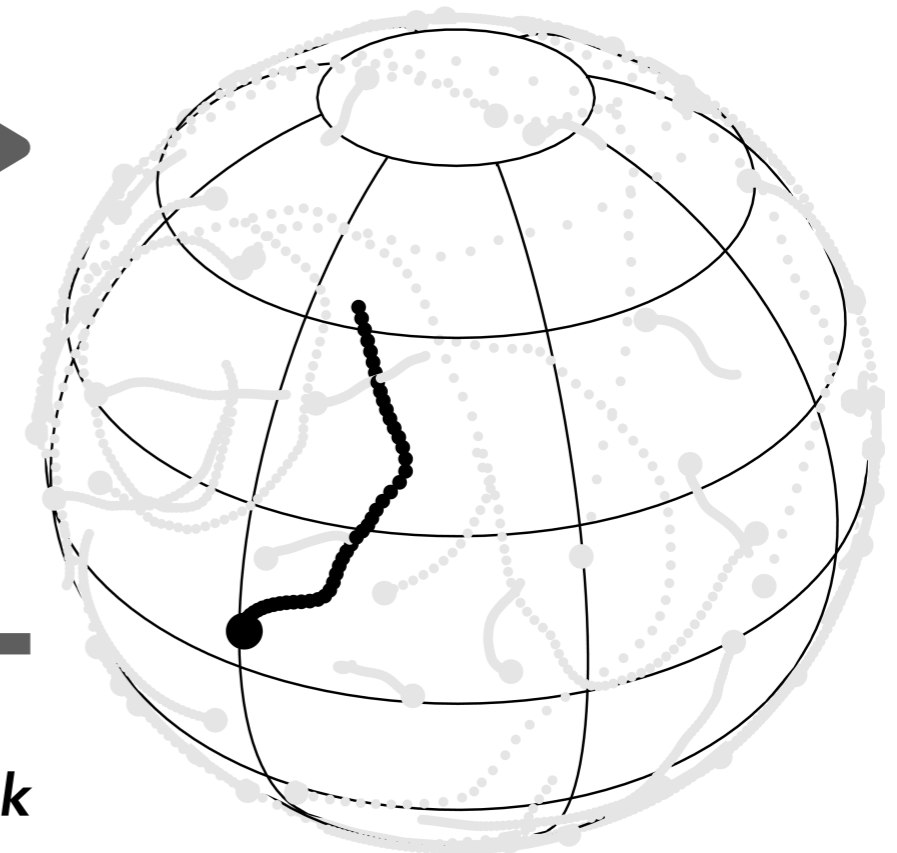
Bath of particles



Imposes a noisy  
(correlated) **potential**



Test particle

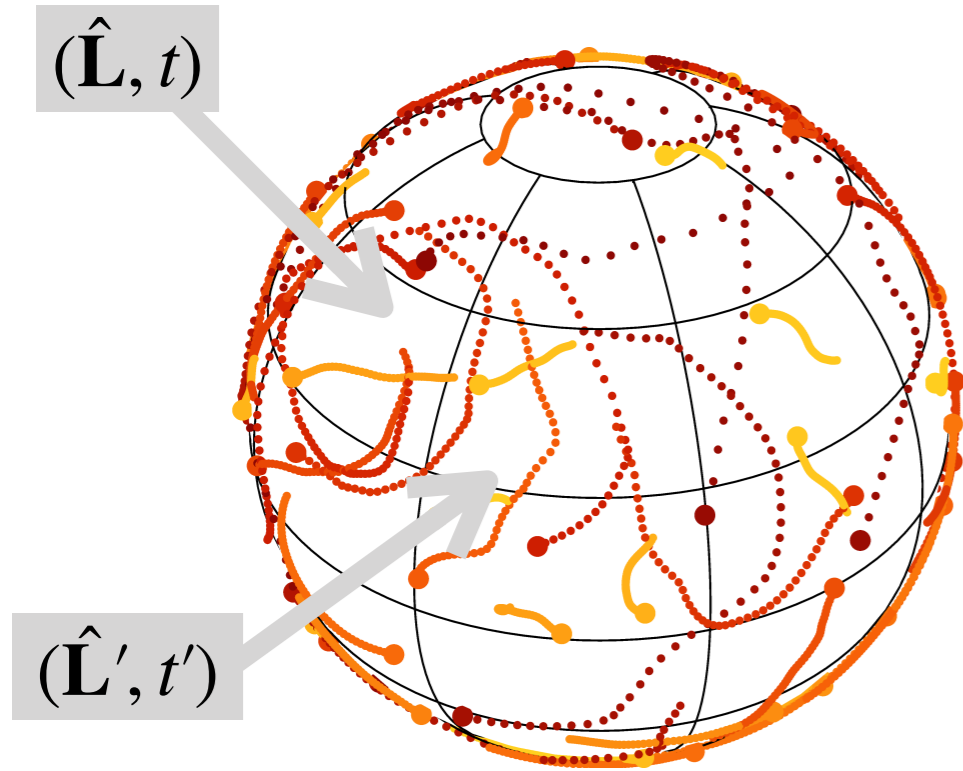


Undergoes a  
(correlated) **random walk**

$$\hat{C}_{\text{bath}} = \left\langle \eta(\hat{\mathbf{L}}, t) \eta(\hat{\mathbf{L}}', t') \right\rangle$$

$$\hat{C}_{\text{test}} = \left\langle \hat{\mathbf{L}}_{\text{test}}(t) \cdot \hat{\mathbf{L}}_{\text{test}}(0) \right\rangle$$

# Characterising the bath noise $\hat{C}_{\text{bath}} = \langle \eta(\hat{\mathbf{L}}, t) \eta(\hat{\mathbf{L}}', t') \rangle$



+ The **state of the bath** is fully characterised by

$$\varphi_{\text{bath}}(\hat{\mathbf{L}}, t) = \frac{1}{N} \sum_{i=1}^N \delta_{\text{D}}(\hat{\mathbf{L}} - \hat{\mathbf{L}}_i(t))$$

+ System's (quadratic) **evolution equation**

$$\frac{\partial \varphi_{\text{bath}}(t)}{\partial t} = Q \varphi_{\text{bath}}(t) \varphi_{\text{bath}}(t)$$

+ Good news

- At  $t=0$ , particles are **statistically decorrelated**
- Very constraining **spherical symmetries**

+ **Initial time statistics**

$$\langle \hat{C}_{\text{bath}}(t=0) \rangle$$

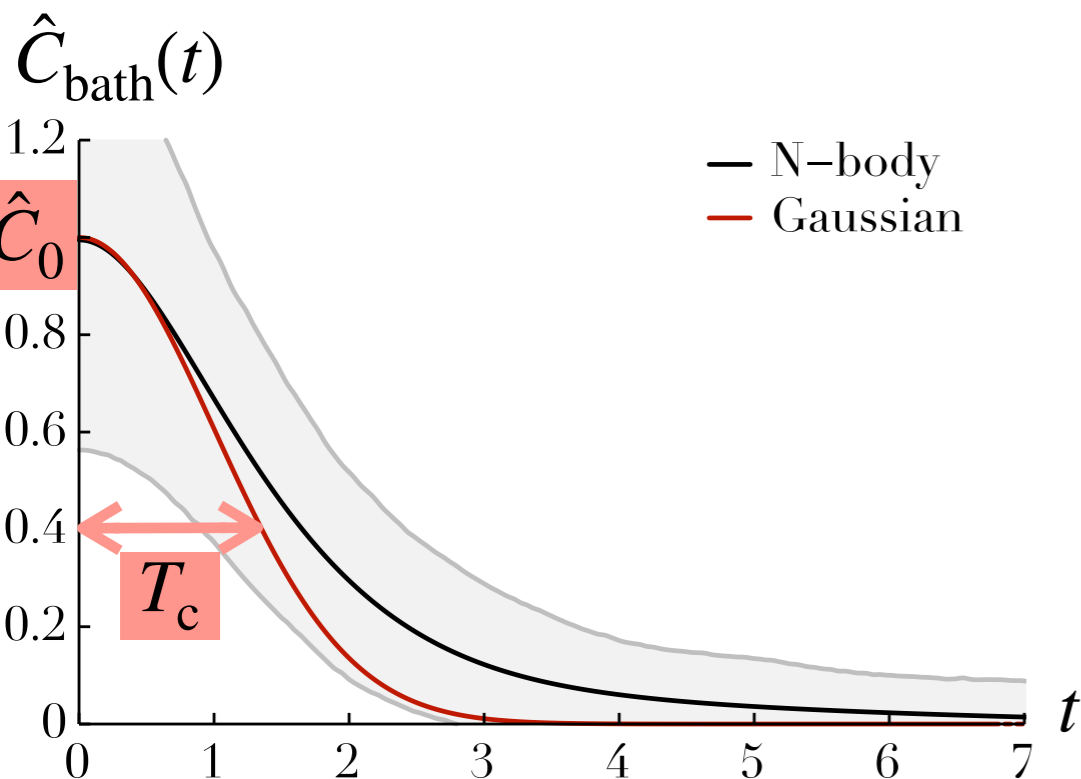
*Initial amplitude*

$$\left\langle \frac{d^2 \hat{C}_{\text{bath}}}{dt^2} \Big|_{t=0} \right\rangle$$

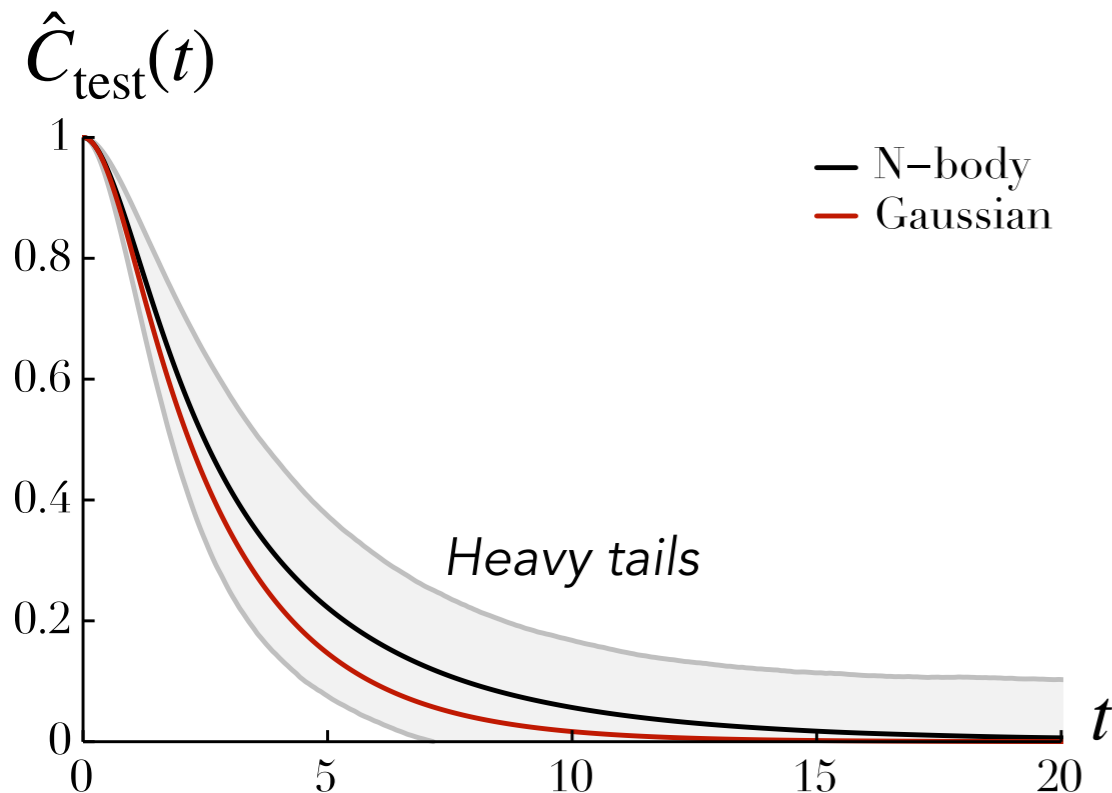
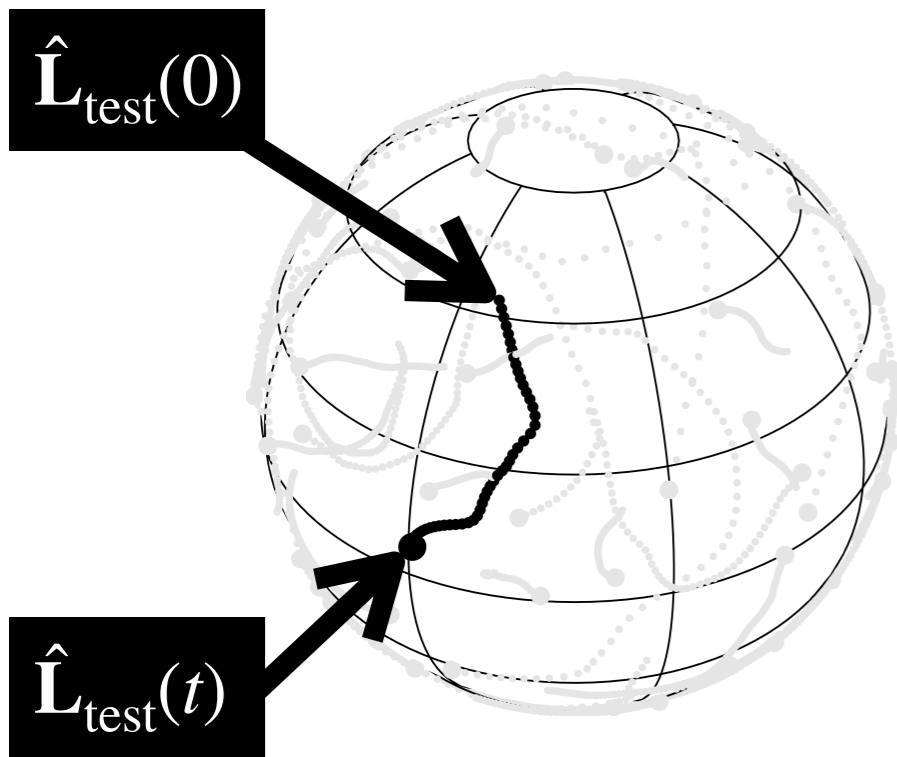
*Coherence time*

+ (Natural) **Gaussian Ansatz**

$$\hat{C}_{\text{bath}}(t) = \hat{C}_0 e^{-(t/T_c)^2}$$



# Characterising the random walk $\hat{C}_{\text{test}} = \langle \hat{\mathbf{L}}_{\text{test}}(t) \cdot \hat{\mathbf{L}}_{\text{test}}(0) \rangle$



+ Location of the **test particle** characterised by

$$\varphi_{\text{test}}(\hat{\mathbf{L}}, t) = \delta_{\text{D}}(\hat{\mathbf{L}} - \hat{\mathbf{L}}_{\text{test}}(t))$$

+ (Linear) **time-dependent** evolution equation

$$\frac{\partial \varphi_{\text{test}}(t)}{\partial t} = \eta_{\text{bath}}(t) \varphi_{\text{test}}(t)$$

+ Good news

- Noise is treated as **external**
- Very constraining **spherical symmetry**

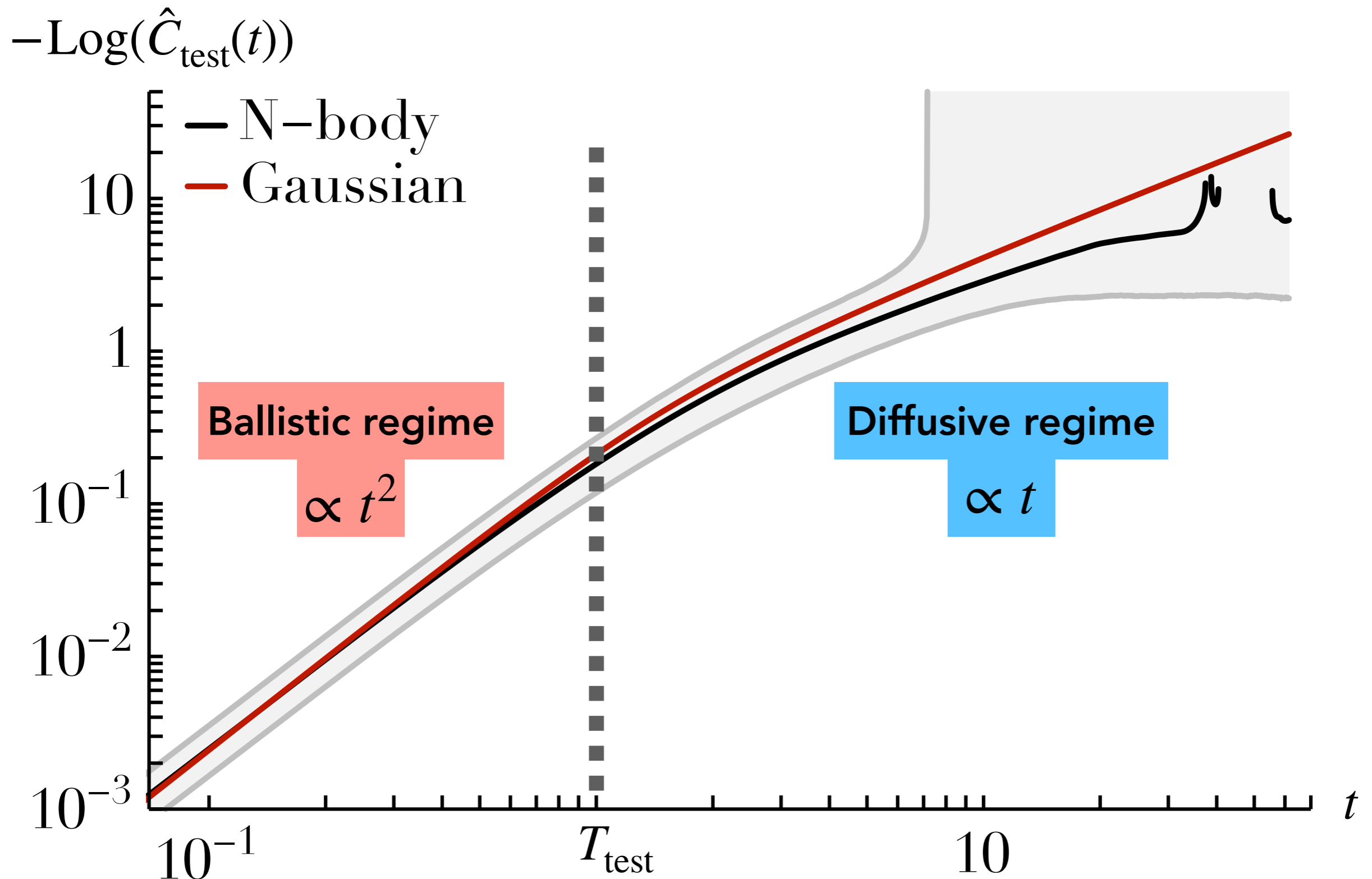
+ Motion solved using **Magnus series**

$$\varphi_{\text{test}}(t) = e^{\Omega(t)} \varphi_{\text{test}}(0) \quad \text{with} \quad \Omega(t) = \int_0^t dt' \eta_{\text{bath}}(t')$$

+ Explicit expression of the **time correlation**

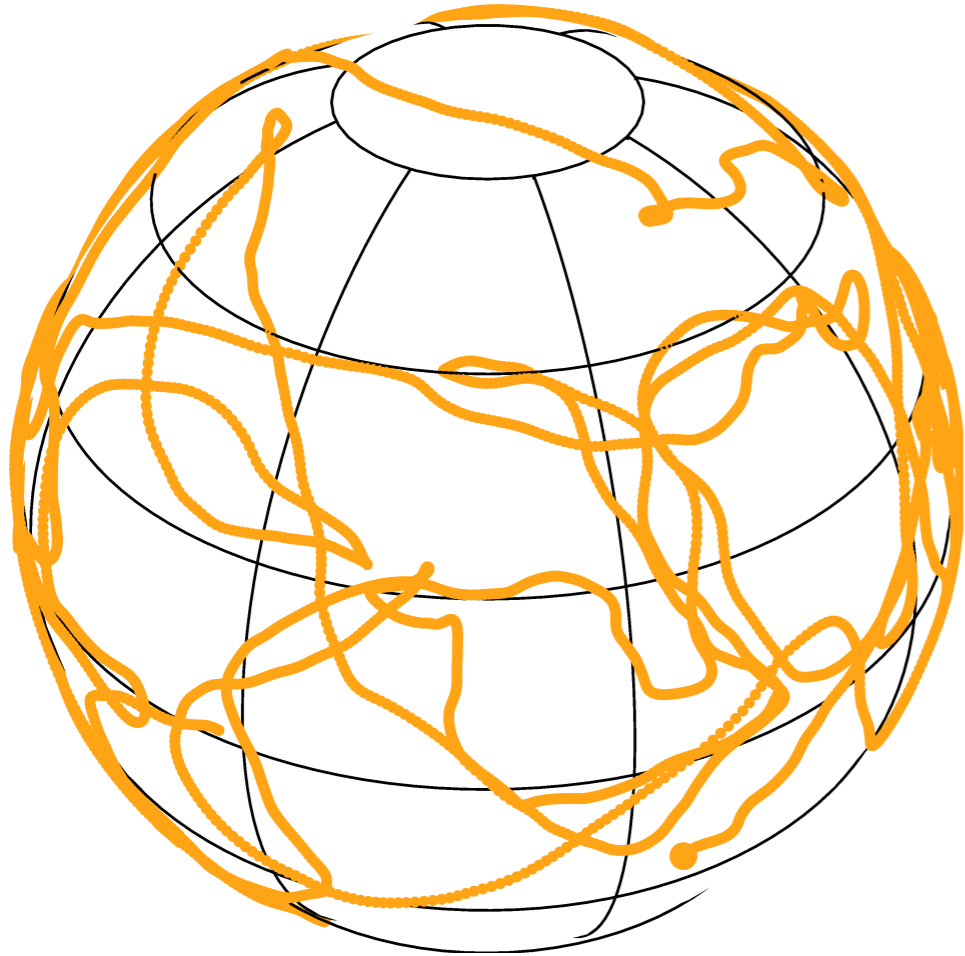
$$\hat{C}_{\text{test}}(t) = \exp \left[ - \int_0^t dt_1 \int_0^{t_1} dt_2 \hat{C}_{\text{bath}}(t_1 - t_2) \right]$$

# Characterising the random walk

$$\hat{C}_{\text{test}} = \left\langle \hat{\mathbf{L}}_{\text{test}}(t) \cdot \hat{\mathbf{L}}_{\text{test}}(0) \right\rangle$$


# Mimicking the random walk

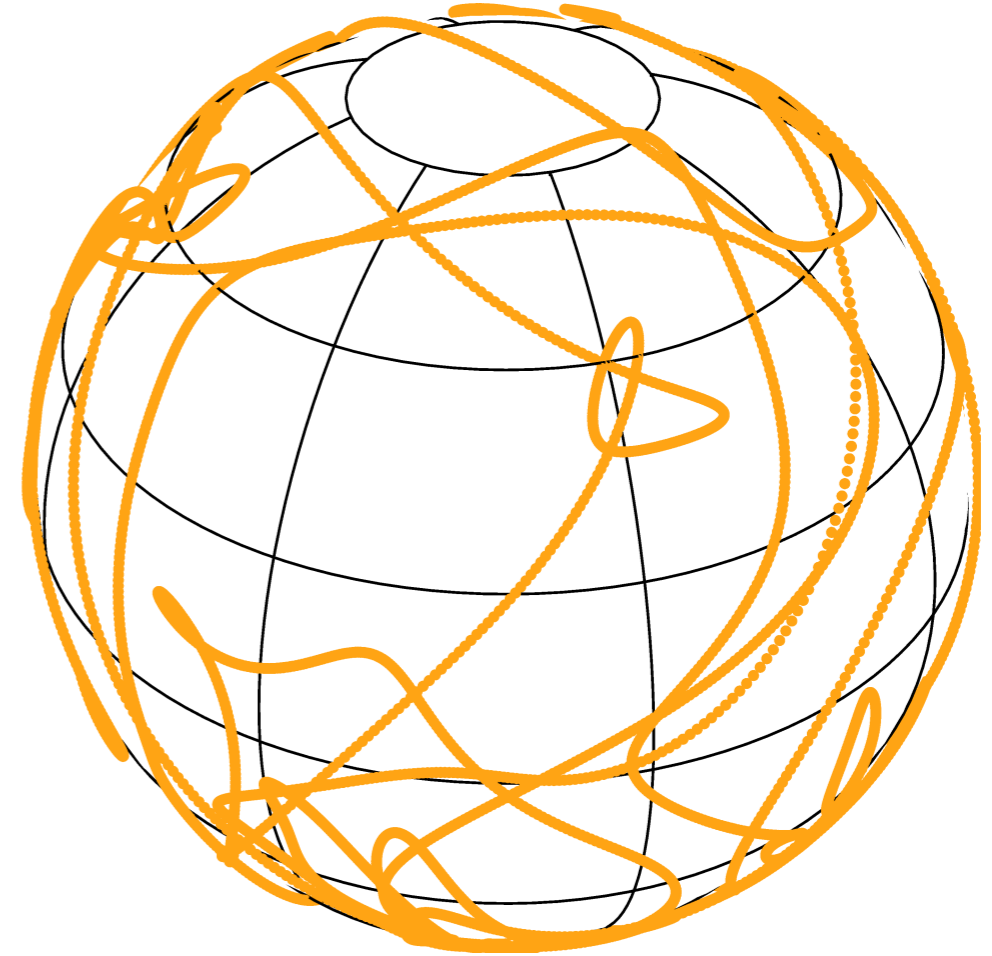
N-body simulations



$$H = \sum_{i < j}^N A_{ij} U(\hat{\mathbf{L}}_i \cdot \hat{\mathbf{L}}_j)$$

Full N-body problem of  $\mathcal{O}(N^2)$  complexity

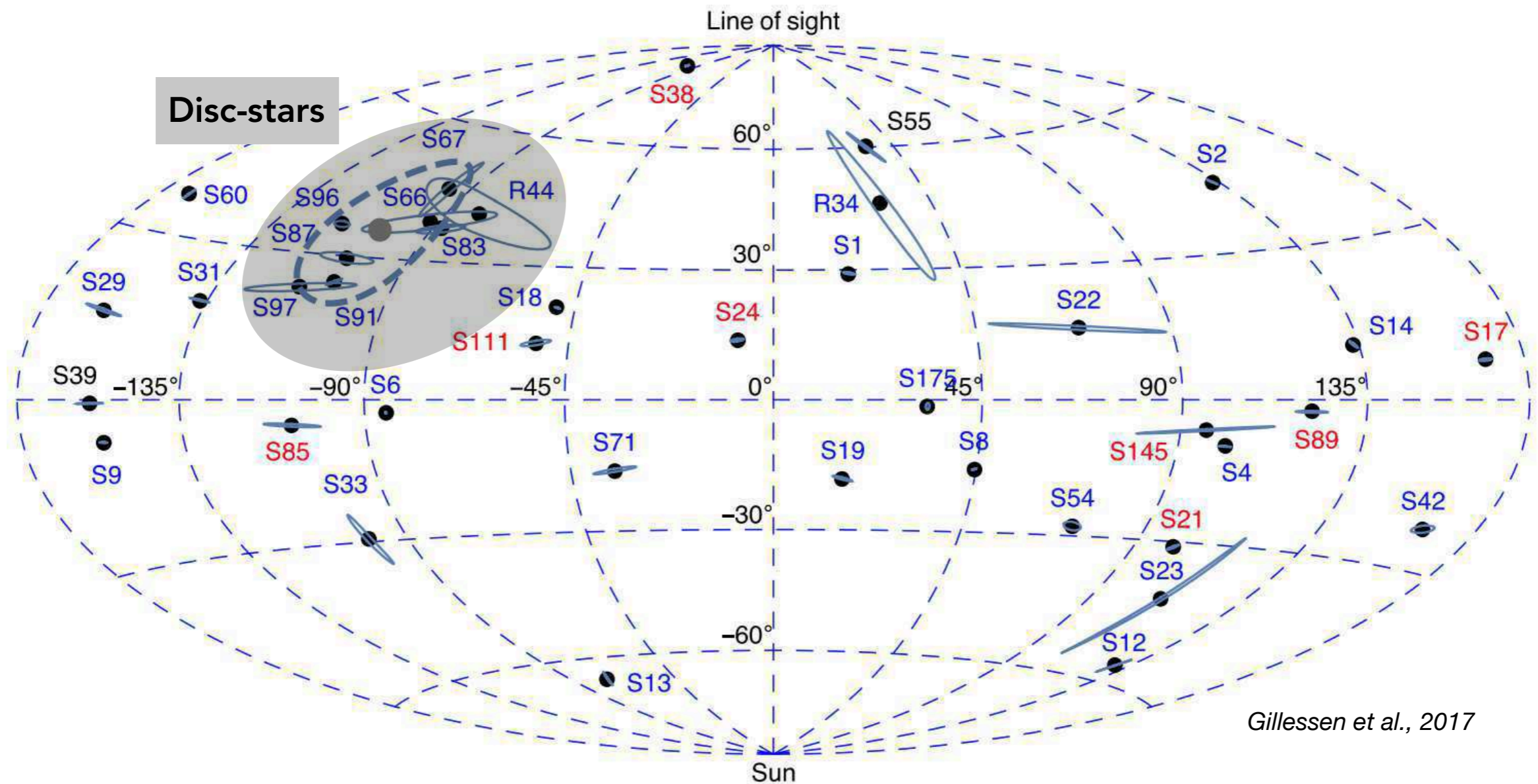
Effective model



$$\frac{d\hat{\mathbf{L}}_{\text{test}}}{dt} = \Gamma_{\text{test}} \boldsymbol{\eta}(t) \times \hat{\mathbf{L}}_{\text{test}}(t)$$

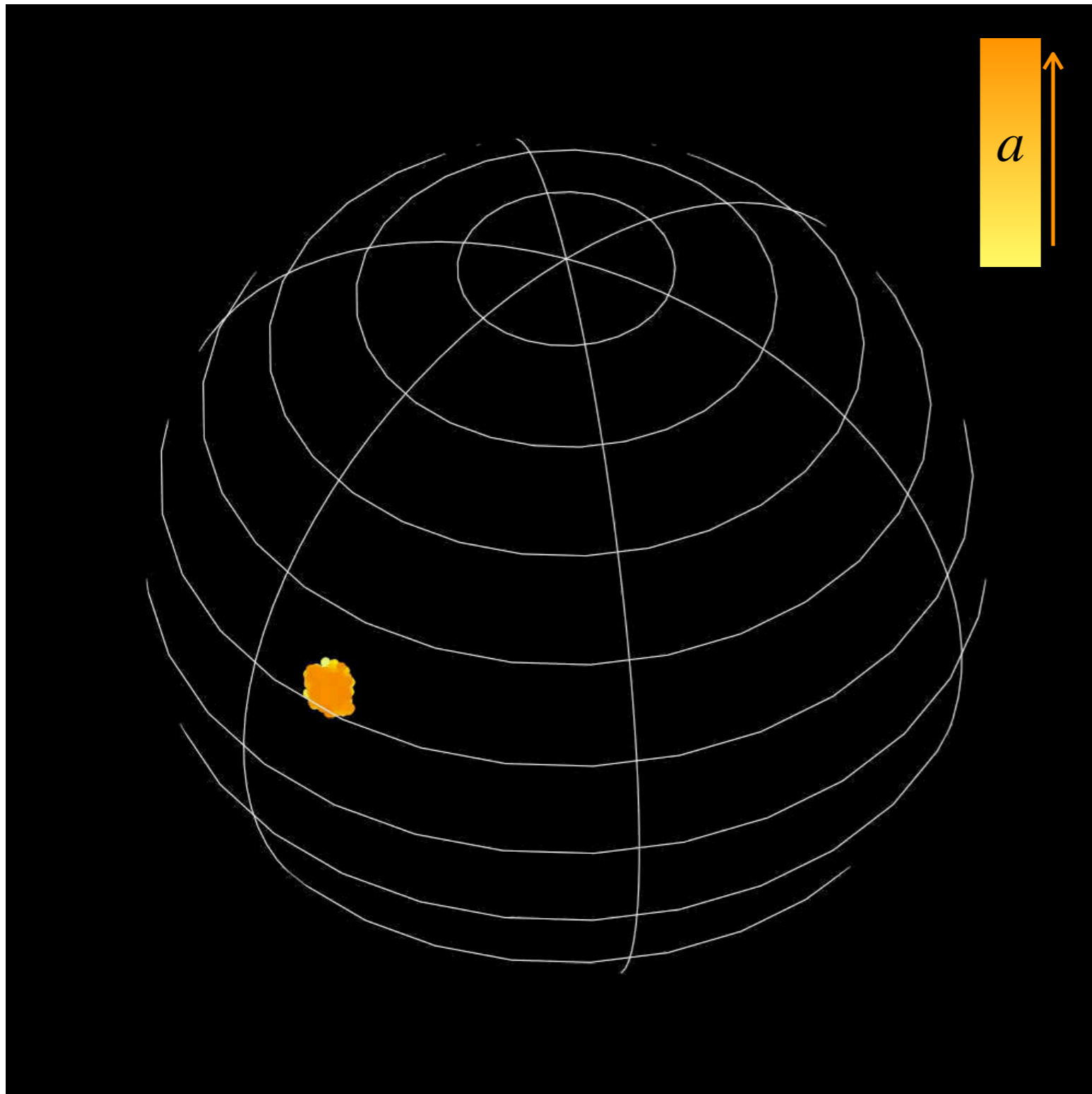
with  $\begin{cases} \Gamma_{\text{test}} & \text{Amplitude} \\ \langle \boldsymbol{\eta}(t) \boldsymbol{\eta}(t') \rangle = e^{-((t-t')/T_{\text{test}})^2} & \text{Coherence time} \end{cases}$

# Vector Resonant Relaxation can affect the disc-stars



How long should these stars stay "neighbors"?  
Are they young enough?

# Vector Resonant Relaxation can randomize disc stars



+ How "neighbors" get separated

$$\frac{d\hat{\mathbf{L}}_i}{dt} = \eta(\hat{\mathbf{L}}_i, t)$$

+ Evolution sourced by a **shared, spatially-extended** and **time-correlated** noise

$$\begin{aligned} & \langle \eta(a_i, \hat{\mathbf{L}}_i, t) \eta(a_j, \hat{\mathbf{L}}_j, t') \rangle \\ & = C(a_i, a_j, \hat{\mathbf{L}}_i \cdot \hat{\mathbf{L}}_j, t - t') \end{aligned}$$

+ Two joint sources of **separation**

- **Parametric** separation

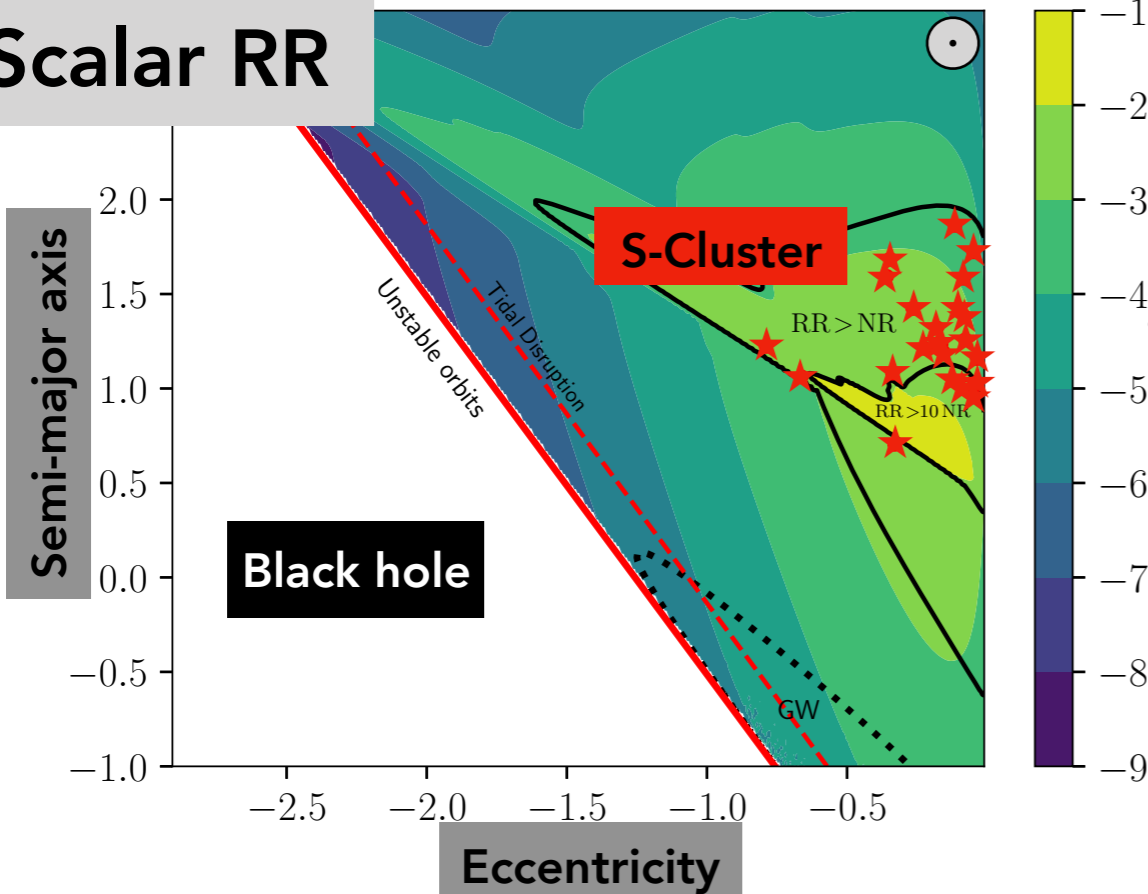
$$a_i \neq a_j$$

- **Angular** separation

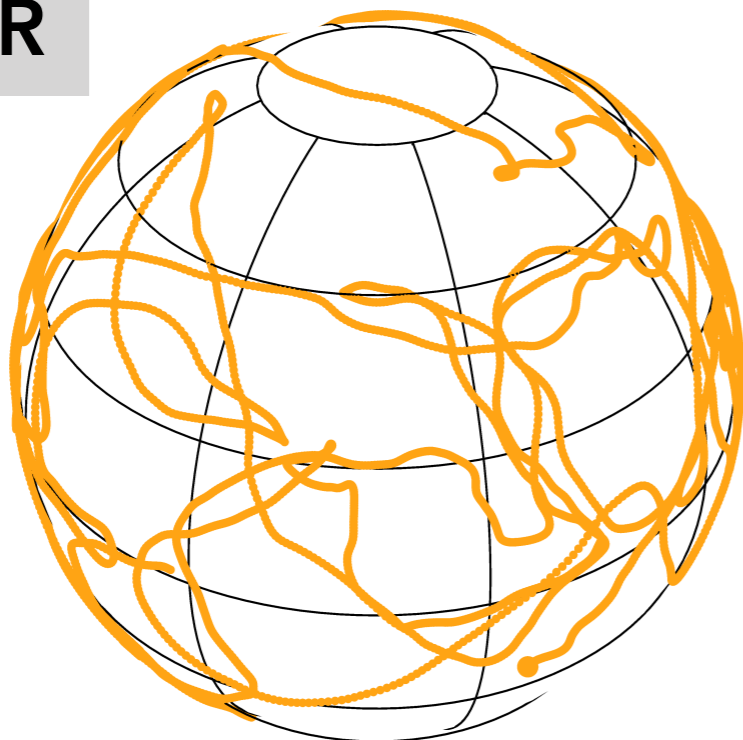
$$\hat{\mathbf{L}}_i \neq \hat{\mathbf{L}}_j$$

# Resonant Relaxation in Galactic Nuclei

## Scalar RR



## Vector RR



### Context

How to aliment a **supermassive black hole**?

**Stellar diffusion** in galactic centers

- + *Origin and structure of SgrA\**
- + *Relaxation in eccentricity, orientation*

Sources of **gravitational waves**

- + *BHs-binary mergers*
- + *TDEs, EMRIs*

### Novelties

- + **New kinetic equations** written and implemented
- + Confronted to **astrophysical observations**
- + Theory in a regime inaccessible to simulations

### Next steps

#### Galactic centers

- Stellar capture rates*
- Gravitational waves sources*

#### Galactic discs

- Galactic Archeology*
- Radial Migration/Thickening*

#### Globular clusters

- Effect of velocity anisotropy*
- Effect of rotation*

#### Dark Matter halo

- Cusp-Core transition*
- Environmental forcing*