# Time evolution of 3+1 Einstein equations via a constrained scheme

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### Free vs. constrained evolution in 3+1 numerical relativity

 $\begin{array}{l} \mbox{Einstein equations split into} \\ \begin{cases} \mbox{dynamical equations} & \frac{\partial}{\partial t} K_{ij} = ... \\ \mbox{Hamiltonian constraint} & R + K^2 - K_{ij} K^{ij} = 16\pi E \\ \mbox{momentum constraint} & D_j K_i^{\ j} - D_i K = 8\pi J_i \end{cases} \end{array}$ 

- 2-D computations (80's and 90's): partially constrained schemes: Bardeen & Piran (1983), Stark & Piran (1985), Evans (1986) fully constrained schemes: Evans (1989), Shapiro & Teukolsky (1992), Abrahams et al. (1994)
- 3-D computations (from mid 90's): almost all based on free evolution schemes: BSSN, symmetric hyperbolic formulations, etc...  $\implies$  problem: exponential growth of constraint violating modes [see talks by C. Gundlach (constraint-preserving BC), A.P. Gentle (constraints as evolution equations) and H. Pfeiffer (constraint projection)]

**Standard issue 1:** the constraints usually involve elliptic equations and 3-D elliptic solvers are CPU-time expensive !

### Cartesian vs. spherical coordinates in 3+1 numerical relativity

- 1-D and 2-D computations: massive usage of spherical coordinates  $(r, \theta, \varphi)$
- 3-D computations: almost all based on Cartesian coordinates (x, y, z), although spherical coordinates are better suited to study objects with spherical topology (black holes, neutron stars). Two exceptions:
  - Nakamura et al. (1987): evolution of pure gravitational wave spacetimes in spherical coordinates (but with Cartesian components of tensor fields)
  - Stark (1989): attempt to compute 3D stellar collapse in spherical coordinates

**Standard issue 2:** spherical coordinates are singular at r = 0 and  $\theta = 0$  or  $\pi$  !

### Standard issues 1 and 2 can be overcome

Standard issues 1 and 2 are neither *mathematical* nor *physical*, but *technical* ones  $\implies$  they can be overcome with appropriate techniques

#### Spectral methods allow for

- an automatic treatment of the singularities of spherical coordinates (issue 2)
- fast 3-D elliptic solvers in spherical coordinates: 3-D Poisson equation reduced to a system of 1-D algebraic equations with banded matrices [Grandclément, Bonazzola, Gourgoulhon & Marck, J. Comp. Phys. 170, 231 (2001)] (issue 1)

[see talks by H. Dimmelmeier, R. Meinel, J. Novak, and H. Pfeiffer for various examples of usage of spectral methods in numerical relativity]

## **Dirac** gauge

As in BSSN formalism, perform a *conformal decomposition* of the metric  $\gamma_{ij}$  of the spacelike hypersurfaces  $\Sigma_t$ :

$$\gamma_{ij} =: \Psi^4 \, ilde{\gamma}_{ij} \qquad ext{with} \qquad ilde{\gamma}^{ij} =: f^{ij} + h^{ij} \, .$$

where  $f_{ij}$  is a flat metric on  $\Sigma_t$ ,  $h^{ij}$  a symmetric tensor and  $\Psi$  a scalar field defined by  $\Psi := \left(\frac{\det \gamma_{ij}}{\det f_{ij}}\right)^{1/12}$ 

**Dirac gauge** (Dirac, 1959) = **divergence-free** condition on  $\tilde{\gamma}^{ij}$ :  $\mathcal{D}_j \tilde{\gamma}^{ij} = \mathcal{D}_j h^{ij} = 0$ where  $\mathcal{D}_j$  denotes the covariant derivative with respect to the flat metric  $f_{ij}$ .

Compare

- minimal distortion (Smarr & York 1978) :  $D_j \left( \partial \tilde{\gamma}^{ij} / \partial t \right) = 0$
- pseudo-minimal distortion (Nakamura 1994) :  $\mathcal{D}^{j} \left( \partial \tilde{\gamma}^{ij} / \partial t \right) = 0$

*Notice:* Dirac gauge  $\iff$  BSSN connection functions vanish:  $\tilde{\Gamma}^i = 0$ 

# Dirac gauge: discussion

• introduced by Dirac (1959) in order to fix the coordinates in some Hamiltonian formulation of general relativity; originally defined for Cartesian coordinates only:  $\frac{\partial}{\partial x^{j}} \left( \gamma^{1/3} \gamma^{ij} \right) = 0$ but trivially, extended by us to more general type of coordinates (e.g., otherical)

but trivially extended by us to more general type of coordinates (e.g. spherical) thanks to the introduction of the flat metric  $f_{ij}$ :  $\mathcal{D}_j\left((\gamma/f)^{1/3}\gamma^{ij}\right) = 0$ 

- fully specifies (up to some boundary conditions) the coordinates in each hypersurface  $\Sigma_t$ , including the initial one  $\Rightarrow$  allows for the search for stationary solutions
- leads asymptotically to transverse-traceless (TT) coordinates (same as minimal distortion gauge). Both gauges are analogous to Coulomb gauge in electrodynamics
- turns the Ricci tensor of conformal metric  $\tilde{\gamma}_{ij}$  into an elliptic operator for  $h^{ij} \Longrightarrow$  the dynamical Einstein equations become a wave equation for  $h^{ij}$
- results in a vector elliptic equation for the shift vector  $\beta^i$

#### 3+1 Einstein equations in maximal slicing + Dirac gauge

[Bonazzola, Gourgoulhon, Grandclément & Novak, gr-qc/0307082 v2]

- 5 elliptic equations (4 constraints + K = 0 condition) ( $\Delta := \mathcal{D}_k \mathcal{D}^k =$ flat Laplacian):
  - $\Delta N = \Psi^4 N \left[ 4\pi (E+S) + A_{kl} A^{kl} \right] h^{kl} \mathcal{D}_k \mathcal{D}_l N 2\tilde{D}_k \ln \Psi \tilde{D}^k N \quad (N = \text{lapse function})$

$$\Delta(\Psi^2 N) = \Psi^6 N \left( 4\pi S + \frac{3}{4} A_{kl} A^{kl} \right) - h^{kl} \mathcal{D}_k \mathcal{D}_l (\Psi^2 N) + \Psi^2 \left[ N \left( \frac{1}{16} \tilde{\gamma}^{kl} \mathcal{D}_k h^{ij} \mathcal{D}_l \tilde{\gamma}_{ij} \right) - \frac{1}{8} \tilde{\gamma}^{kl} \mathcal{D}_k h^{ij} \mathcal{D}_j \tilde{\gamma}_{il} + 2\tilde{D}_k \ln \Psi \tilde{D}^k \ln \Psi \right) + 2\tilde{D}_k \ln \Psi \tilde{D}^k N \right].$$

$$\Delta \beta^{i} + \frac{1}{3} \mathcal{D}^{i} \left( \mathcal{D}_{j} \beta^{j} \right) = 2A^{ij} \mathcal{D}_{j} N + 16\pi N \Psi^{4} J^{i} - 12N A^{ij} \mathcal{D}_{j} \ln \Psi - 2\Delta^{i}_{kl} N A^{kl}$$
$$-h^{kl} \mathcal{D}_{k} \mathcal{D}_{l} \beta^{i} - \frac{1}{3} h^{ik} \mathcal{D}_{k} \mathcal{D}_{l} \beta^{l}$$

# 3+1 equations in maximal slicing + Dirac gauge (cont'd)

• 2 scalar wave equations for two scalar potentials  $\chi$  and  $\mu$  :

$$-\frac{\partial^2 \chi}{\partial t^2} + \Delta \chi = S_{\chi}$$
$$-\frac{\partial^2 \mu}{\partial t^2} + \Delta \mu = S_{\mu}$$

(for expression of  $S_{\chi}$  and  $S_{\mu}$  see [Bonazzola, Gourgoulhon, Grandclément & Novak, gr-qc/0307082 v2])

#### The remaining 3 degrees of freedom are fixed by the **Dirac gauge**:

(i) From the two potentials  $\chi$  and  $\mu$ , construct a TT tensor  $\bar{h}^{ij}$  according to the formulas (components with respect to a spherical **f**-orthonormal frame)

$$\bar{h}^{rr} = \frac{\chi}{r^2}, \quad \bar{h}^{r\theta} = \frac{1}{r} \left( \frac{\partial \eta}{\partial \theta} - \frac{1}{\sin \theta} \frac{\partial \mu}{\partial \varphi} \right), \quad \bar{h}^{r\varphi} = \frac{1}{r} \left( \frac{1}{\sin \theta} \frac{\partial \eta}{\partial \varphi} + \frac{\partial \mu}{\partial \theta} \right), \quad \text{etc...}$$
with  $\Delta_{\theta\varphi} \eta = -\partial \chi / \partial r - \chi / r$ 

# Recovering the conformal metric $\tilde{\gamma}_{ij}$ from the TT tensor $\bar{h}^{ij}$

(ii)  $h^{ij}$  is uniquely determined by the TT tensor  $\bar{h}^{ij}$  as the following divergence-free (Dirac gauge) tensor :

$$h^{ij} = \bar{h}^{ij} + \frac{1}{2} \left( h f^{ij} - \mathcal{D}^i \mathcal{D}^j \phi \right) \tag{1}$$

where  $h := f_{ij}h^{ij}$  is the trace of  $h^{ij}$  with respect to the flat metric and  $\phi$  is the solution of the Poisson equation  $\Delta \phi = h$ . The trace h is determined in order to enforce the condition det  $\tilde{\gamma}_{ij} = \det f_{ij}$  (definition of  $\Psi$ ) by

$$h = -h^{rr}h^{\theta\theta} - h^{rr}h^{\varphi\varphi} - h^{\theta\theta}h^{\varphi\varphi} + (h^{r\theta})^2 + (h^{r\varphi})^2 + (h^{\theta\varphi})^2 - h^{rr}h^{\theta\theta}h^{\varphi\varphi} - 2h^{r\theta}h^{r\varphi}h^{\theta\varphi} + h^{rr}(h^{\theta\varphi})^2 + h^{\theta\theta}(h^{r\varphi})^2 + h^{\varphi\varphi}(h^{r\theta})^2$$

$$(2)$$

Equations (1) and (2) constitute a coupled system which can be solved by iterations (starting from  $h^{ij} = \bar{h}^{ij}$ ), at the price of solving the Poisson equation  $\Delta \phi = h$  at each step. In practise a few iterations are sufficient to reach machine accuracy.

(iii) Finally  $\tilde{\gamma}^{ij} = f^{ij} + h^{ij}$ .

# Numerical implementation

Numerical code based on the C++ library LORENE (http://www.lorene.obspm.fr) with the following main features:

- multidomain spectral methods based on spherical coordinates  $(r, \theta, \varphi)$ , with compactified external domain ( $\implies$  spatial infinity included in the computational domain for elliptic equations)
- very efficient outgoing-wave boundary conditions, ensuring that all modes with spherical harmonics indices  $\ell = 0$ ,  $\ell = 1$  and  $\ell = 2$  are perfectly outgoing

[Novak & Bonazzola, J. Comp. Phys. 197, 186 (2004)]

(*recall:* Sommerfeld boundary condition works only for  $\ell = 0$ , which is too low for gravitational waves)

[see M. Chirvasa's poster for alternative outgoing-wave conditions]

#### Results on a pure gravitational wave spacetime

**Initial data:** similar to [Baumgarte & Shapiro, PRD 59, 024007 (1998)], namely a momentarily static  $(\partial \tilde{\gamma}^{ij}/\partial t = 0)$  Teukolsky wave  $\ell = 2$ , m = 2:

$$\begin{cases} \chi(t=0) &= \frac{\chi_0}{2} r^2 \exp\left(-\frac{r^2}{r_0^2}\right) \sin^2 \theta \sin 2\varphi \\ \mu(t=0) &= 0 \end{cases} \text{ with } \chi_0 = 10^{-3}$$

Preparation of the initial data by means of the conformal thin sandwich procedure



Evolution of  $h^{\varphi\varphi}$  in the plane  $\theta = \frac{\pi}{2}$ 



















#### **Test: conservation of the ADM mass**



Number of coefficients in each domain:  $N_r = 17$ ,  $N_{\theta} = 9$ ,  $N_{\varphi} = 8$ For  $dt = 5 \, 10^{-3} r_0$ , the ADM mass is conserved within a relative error lower than  $10^{-4}$ 



At  $t > 10 r_0$ , the wave has completely left the computation domain Nothing happens until the run is switched off at  $t = 300 r_0 ! \implies \text{long term stability}$ 

# Another test: check of the $\frac{\partial \Psi}{\partial t}$ relation

The relation  $\frac{\partial}{\partial t} \ln \Psi - \beta^k \mathcal{D}_k \ln \Psi = \frac{1}{6} \mathcal{D}_k \beta^k$  (trace of the definition of the extrinsic curvature as the time derivative of the spatial metric) is not enforced in our scheme.  $\implies$  This provides an additional test:



# **Conclusions and future prospects**

- Dirac gauge + maximal slicing reduces the Einstein equations into a system of
  - two scalar elliptic equations (including the Hamiltonian constraint)
  - one vector elliptic equations (the momentum constraint)
  - two scalar wave equations (evolving the two dynamical degrees of freedom of the gravitational field)
- The usage of spherical coordinates and spherical components of tensor fields is crucial in reducing the dynamical Einstein equations to two scalar wave equations
- The unimodular character of the conformal metric  $(\det \tilde{\gamma}_{ij} = \det f_{ij})$  is ensured in our scheme
- First numerical results show that Dirac gauge + maximal slicing seems a promising choice for stable evolutions of 3+1 Einstein equations and gravitational wave extraction
- It remains to be tested on black hole spacetimes !
   [cf. J.L. Jaramillo's talk for boundary conditions on black hole horizons]