

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Formulation

decomposition Equations Strategy

Simulations Gravitationa Waves Neutron star

Summary

Fully-constrained formulation of Einstein's field equations using Dirac gauge

Jérôme Novak

Jerome.Novak(at)obspm.fr

Laboratoire de l'Univers et de ses Théories (LUTH) CNRS / Observatoire de Paris, France

> based on collaboration with Silvano Bonazzola, Philippe Grandclément, Éric Gourgoulhon & Lap-Ming Lin

70th Annual Meeting of the Deutsche Physikalische Gesellschaft, March 24th 2006



OUTLINE

Constrained formulation of Einstein equations

Jérôme Novak

- Introduction Constraints Motivations
- Formulation
- Conformal decomposition Equations Strategy
- Simulations Gravitational Waves Neutron star
- Summary

INTRODUCTION

- Constraints issues in 3+1 formalism
- Motivation for a fully-constrained scheme
- Description of the formulation and strategy
 - Covariant 3+1 conformal decomposition
 - Einstein equations in Dirac gauge and maximal slicing
 - Integration strategy

8 Numerical implementation and results

- Evolution of gravitational wave spacetimes
- Models of rotating neutron stars



3+1 FORMALISM

Constrained formulation of Einstein equations

Jérôme Novak

Introduction

Constraints Motivations

Formulation

Conformal decomposition Equations Strategy

Simulations Gravitational Waves Neutron star

Summary

Decomposition of spacetime and of Einstein equations



EVOLUTION EQUATIONS:

$$\frac{\partial K_{ij}}{\partial t} - \mathcal{L}_{\beta} K_{ij} = -D_i D_j N + N R_{ij} - 2N K_{ik} K_j^k + N [K K_{ij} + 4\pi ((S - E)\gamma_{ij} - 2S_{ij})] K^{ij} = \frac{1}{2N} \left(\frac{\partial \gamma^{ij}}{\partial t} + D^i \beta^j + D^j \beta^i \right).$$

CONSTRAINT EQUATIONS:

 $R + K^{2} - K_{ij}K^{ij} = 16\pi E,$ $D_{j}K^{ij} - D^{i}K = 8\pi J^{i}.$

 $g_{\mu\nu} dx^{\mu} dx^{\nu} = -N^2 dt^2 + \gamma_{ij} \left(dx^i + \beta^i dt \right) \left(dx^j + \beta^j dt \right)$



CONSTRAINT VIOLATION

Constrained formulation of Einstein equations

Jérôme Novak

Introduction

Constraints Motivations

Formulation

Conformal decomposition Equations Strategy

Simulations Gravitational Waves Neutron stars

Summary

If the constraints are verified for initial data, evolution should preserve them. Therefore, one could in principle solve Einstein equations without solving the constraints

Appearance of constraint violating modes

However, some cures have been (are) investigated :

- solving the constraints at (almost) every time-step ...
- studying the influence of time foliation (Frauendiener & Vogel 2005)
- constraints as evolution equations (Gentle et al. 2004)
- constraint-preserving boundary conditions (Lindblom et al. 2004)
- relaxation (Marronetti 2005)
- constraint projection (Holst et al. 2004)



Some reasons not to solve constraints

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Conformal decompositio

Equations Strategy

Simulations Gravitational Waves Neutron stars

Summary

computational cost of usual elliptic solvers ...

few results of well-posedness for mixed systems versus solid mathematical theory for pure-hyperbolic systems

definition of boundary conditions at finite distance and at black hole excision boundary



MOTIVATIONS FOR A FULLY-CONSTRAINED SCHEME

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Conformal decomposition Equations Strategy

Simulations Gravitational Waves Neutron stars

Summary

"Alternate" approach (although most straightforward)

- partially constrained schemes: Bardeen & Piran (1983), Stark & Piran (1985), Evans (1986)
- fully constrained schemes: Evans (1989), Shapiro & Teukolsky (1992), Abrahams *et al.* (1994), Choptuik *et al.* (2003)

 \Rightarrow Rather popular for 2D applications, but disregarded in 3D Still, many advantages:

- constraints are verified!
- elliptic systems have good stability properties
- easy to make link with initial data
- evolution of only two scalar-like fields ...



USUAL CONFORMAL DECOMPOSITION

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Formulation

Conformal decomposition Equations Strategy

Simulations Gravitational Waves Neutron stars

Summary

Standard definition of conformal 3-metric (e.g. Baumgarte-Shapiro-Shibata-Nakamura formalism)

DYNAMICAL DEGREES OF FREEDOM OF THE GRAVITATIONAL FIELD:

York (1972) : they are carried by the conformal "metric"

 $\hat{\gamma}_{ij} := \gamma^{-1/3} \gamma_{ij} \qquad ext{with } \gamma := \det \gamma_{ij}$

PROBLEM

 $\hat{\gamma}_{ij} = tensor \ density$ of weight -2/3not always easy to deal with tensor densities... not *really* covariant!



INTRODUCTION OF A FLAT METRIC

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Formulation

Conformal decomposition Equations Strategy

Simulations Gravitational Waves Neutron stars

Summary

We introduce f_{ij} (with $\frac{\partial f_{ij}}{\partial t} = 0$) as the asymptotic structure of γ_{ij} , and \mathcal{D}_i the associated covariant derivative.

DEFINE:

$$\begin{split} \tilde{\gamma}_{ij} &:= \Psi^{-4} \, \gamma_{ij} \text{ or } \gamma_{ij} =: \Psi^{4} \, \tilde{\gamma}_{ij} \\ & \text{with} \\ \Psi &:= \left(\frac{\gamma}{f}\right)^{1/12} \\ f &:= \det f_{ij} \end{split}$$

 $\tilde{\gamma}_{ij}$ is invariant under any conformal transformation of γ_{ij} and verifies $\det\tilde{\gamma}_{ij}=f$

 \Rightarrow no more tensor densities: only tensors.

Finally,

$$\tilde{\gamma}^{ij} = f^{ij} + h^{ij}$$

is the deviation of the 3-metric from conformal flatness.



GENERALIZED DIRAC GAUGE

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Conformal decomposition Equations

Strategy

Simulations Gravitational Waves Neutron stars

Summary

One can generalize the gauge introduced by Dirac (1959) to any type of coordinates:

DIVERGENCE-FREE CONDITION ON $\tilde{\gamma}^{ij}$

 $\mathcal{D}_{j}\tilde{\gamma}^{ij}=\mathcal{D}_{j}h^{ij}=0$

where \mathcal{D}_j denotes the covariant derivative with respect to the flat metric f_{ij} .

Compare

- minimal distortion (Smarr & York 1978) : $D_j \left(\partial \tilde{\gamma}^{ij} / \partial t \right) = 0$
- pseudo-minimal distortion (Nakamura 1994) : $\mathcal{D}^{j}(\partial \tilde{\gamma}_{ij}/\partial t) = 0$

Notice: Dirac gauge \iff BSSN connection functions vanish: $\tilde{\Gamma}^i = 0$

GENERALIZED DIRAC GAUGE PROPERTIES

Constrained formulation of Einstein equations

Jérôme Novak

- Introduction Constraints Motivations
- Formulation

Conformal decomposition Equations

- Strategy
- Simulations Gravitationa Waves
- Neutron star

Summary

- h^{ij} is transverse
- $\bullet\,$ from the requirement $\det\tilde{\gamma}_{ij}=$ 1, h^{ij} is asymptotically traceless
- ${}^3R_{ij}$ is a simple Laplacian in terms of h^{ij}
- 3R does not contain any second-order derivative of h^{ij}
- with constant mean curvature (K = t) and spatial harmonic coordinates $(\mathcal{D}_j \left[(\gamma/f)^{1/2} \gamma^{ij} \right] = 0)$, Anderson & Moncrief (2003) have shown that the Cauchy problem is *locally strongly well posed*
- the Conformal Flat Condition (CFC) verifies the Dirac gauge ⇒possibility to easily use initial data for binaries now available



EINSTEIN EQUATIONS Dirac gauge and maximal slicing (K = 0)

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Conformal

Equations

Simulations Gravitational Waves Neutron stars

Summary

HAMILTONIAN CONSTRAINT

$$\begin{split} \Delta(\Psi^2 N) &= \Psi^6 N \left(4\pi S + \frac{3}{4} \bar{A}_{kl} A^{kl} \right) - h^{kl} \mathcal{D}_k \mathcal{D}_l (\Psi^2 N) + \Psi^2 \bigg[N \Big(\frac{1}{16} \tilde{\gamma}^{kl} \mathcal{D}_k h^{ij} \mathcal{D}_l \tilde{\gamma}_{ij} \\ &- \frac{1}{8} \tilde{\gamma}^{kl} \mathcal{D}_k h^{ij} \mathcal{D}_j \tilde{\gamma}_{il} + 2 \bar{D}_k \ln \Psi \bar{D}^k \ln \Psi \Big) + 2 \bar{D}_k \ln \Psi \bar{D}^k N \bigg] \end{split}$$

Momentum constraint

$$\begin{split} \Delta\beta^{i} + \frac{1}{3}\mathcal{D}^{i}\left(\mathcal{D}_{j}\beta^{j}\right) &= & 2A^{ij}\mathcal{D}_{j}N + 16\pi N\Psi^{4}J^{i} - 12NA^{ij}\mathcal{D}_{j}\ln\Psi - 2\Delta^{i}{}_{kl}NA^{kl} \\ & -h^{kl}\mathcal{D}_{k}\mathcal{D}_{l}\beta^{i} - \frac{1}{3}h^{ik}\mathcal{D}_{k}\mathcal{D}_{l}\beta^{l} \end{split}$$

TRACE OF DYNAMICAL EQUATIONS

$$\Delta N = \Psi^4 N \left[4\pi (E+S) + \tilde{A}_{kl} A^{kl} \right] - h^{kl} \mathcal{D}_k \mathcal{D}_l N - 2\tilde{D}_k \ln \Psi \tilde{D}^k N$$



EINSTEIN EQUATIONS Dirac gauge and maximal slicing (K = 0)

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Formulation

Conformal decomposition

Equations Strategy

Simulations Gravitational Waves Neutron stars

Summary

EVOLUTION EQUATIONS

$$\frac{\partial^2 h^{ij}}{\partial t^2} - \frac{N^2}{\Psi^4} \Delta h^{ij} - 2\pounds_\beta \frac{\partial h^{ij}}{\partial t} + \pounds_\beta \pounds_\beta h^{ij} = \mathcal{S}^i$$

6 components - 3 Dirac gauge conditions - $(\det \tilde{\gamma}^{ij} = 1)$

DEGREES OF FREEDOM

$$-\frac{\partial^2 W}{\partial t^2} + \Delta W = S_W$$
$$-\frac{\partial^2 X}{\partial t^2} + \Delta X = S_X$$

with W and X two scalar potentials related to $h^{\theta\theta} - h^{\varphi\varphi}$ and $h^{\theta\varphi}$.



INTEGRATION PROCEDURE





NUMERICAL METHODS: 3D MULTI-DOMAIN SPECTRAL METHODS NUMERICAL LIBRARY LORENE (http://www.lorene.obspm.fr)

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations Formulation

Conformal decompositio Equations Strategy

Simulations

Gravitational Waves Neutron stars

Summary



DECOMPOSITION:

Chebyshev polynomials for ξ , Fourier or Y_l^m for the angular part (θ, ϕ) ,

- symmetries and regularity conditions of the fields at the origin and on the axis of spherical coordinate system
- compactified variable for elliptic PDEs ⇒boundary conditions are well imposed
- use of spherical components for tensors

 \Rightarrow development of a "transparent" boundary conditions for the hyperbolic PDEs at finite distance



RESULTS WITH A PURE GRAVITATIONAL WAVE SPACETIME

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Conformal decomposition Equations Strategy

Simulation

Gravitational Waves Neutron stars

Summary

Similar to Baumgarte & Shapiro (1999), namely a momentarily static $(\partial \tilde{\gamma}^{ij}/\partial t = 0)$ Teukolsky (1982) wave $\ell = 2$, m = 2:

$$\begin{array}{rcl} W(t=0) &=& \frac{W_0}{2} \, r^2 \exp\left(-\frac{r^2}{r_0^2}\right) \, \sin^2\theta \, \sin 2\varphi & \\ X(t=0) &=& 0 \end{array} \end{array} \text{ with } W_0 = 10^{-3} \\ \end{array}$$

Preparation of the initial data by means of the *conformal thin sandwich* procedure



Evolution of $h^{\phi\phi}$ in the plane $\theta = \frac{\pi}{2}$



How well are equations solved?

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Formulation

Conformal decomposition Equations Strategy

Simulations

Gravitational Waves Neutron stars

Summary

Constraints

 Imposed numerically at every time-step!

- depends on spectral resolution & number of iterations
- keep the error below 10^{-6}

EVOLUTION EQUATIONS

- only two out of six are solved
- check on the others: equation for Ψ

$$\frac{\partial \Psi}{\partial t} = \beta^k \mathcal{D}_k \Psi + \frac{\Psi}{6} \mathcal{D}_k \beta^k$$





EVOLUTION OF ADM MASS Are the boundary conditions efficient?



• the wave is let out at better than 10^{-4}

Long-term stability : run for several grid-crossing times !!



Physical model of rotating neutron stars

Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations

Conformal decomposition Equations Strategy

Simulations Gravitationa Waves

Neutron stars

Summary

Code developed for

- self-gravitating perfect fluid in general relativity
- two Killing vector fields (axisymmetry + stationarity)
- Dirac gauge
- equilibrium between matter and gravitational field
- equation of state of a relativistic polytrope $\Gamma = 2$

CONSIDERED MODEL HERE:

- central density $\rho_{\rm c} = 2.9 \rho_{\rm nuc}$
- ullet rotation frequency $f=641.47~{\rm Hz}~\simeq f_{\rm Mass~shedding}$
- gravitational mass $M_g\simeq 1.51 M_\odot$
- baryon mass $M_b\simeq 1.60 M_\odot$

Equations are the same as in the dynamical case, replacing time derivative terms by zero



Constrained formulation of Einstein equations

Jérôme Novak

Introduction Constraints Motivations Formulation

Conformal decompositio Equations Strategy

Simulations Gravitation

Neutron stars

Summary

Other code using quasi-isotropic gauge has been used for a long time and successfully compared to other codes in Nozawa *et al.* (1998).

GLOBAL QUANTITIES

Quantity	q-isotropic	Dirac	rel. diff.
N(r=0)	0.727515	0.727522	10 ⁻⁵
$M_q [M_\odot]$	1.60142	1.60121	10 ⁻⁴
$M_b [M_\odot]$	1.50870	1.50852	10 ⁻⁴
$R_{\sf circ}$ [km]	23.1675	23.1585	$4 imes 10^{-4}$
$J \left[G M_{\odot}^2 / c \right]$	1.61077	1.61032	$3 imes 10^{-4}$
Virial 2D	$1.4 imes10^{-4}$	$1.5 imes10^{-4}$	
Virial 3D	$2.5 imes 10^{-4}$	$2.1 imes10^{-4}$	

Virial identities (2 & 3D) are covariant relations that should be fulfilled by any stationary spacetime; they are not imposed numerically.



STATIONARY AXISYMMETRIC MODELS Deviation from conformal flatness





SUMMARY

Constrained formulation of Einstein equations

Jérôme Novak

- Introduction Constraints Motivations
- Formulation
- Conformal decomposition Equations Strategy
- Simulations Gravitational Waves Neutron stars

Summary

- We have developed and implemented a fully-constrained evolution scheme for solving Einstein equations, using a generalization of Dirac gauge and maximal slicing
- Easy to extract gravitational radiation (asymptotical TT gauge + spherical grid)
- Well tested in the quasi-linear regime and for rotating compact stars (*i.e.* most astrophysical scenarios without a black hole)
- Ongoing work and outlook
 - Improve the accuracy to study the full non-linear regime (*e.g.* collapse of a GW to a black hole)
 - Develop boundary conditions on the for black holes (on the apparent horizon) in this formulation
 - Already possible applications to core collapse ("Mariage Des Maillages" project) or study of oscillations of relativistic stars
 - Compatible with no-radiation approximations: *e.g.* Schäfer & Gopakumar (2004); useful for slow evolution studies of inspiralling compact binaries



REFERENCES

Constrained formulation of Einstein equations

Jérôme Novak

Appendix References Definition of \ and X



- J. Novak and S. Bonazzola, J. Comput. Phys. 197, 186 (2004).
- L.M. Lin and J. Novak gr-qc/0603048 submitted.
- L. Andersson and V. Moncrief, Ann. Henri Poincaré 4, 1 (2003).
- M.W. Choptuik, E.W. Hirschmann, S.L. Liebling, and F. Pretorius, Class. Quantum Grav. 20, 1857 (2003).
- J. Fauendiener and T. Vogel, Class. Quantum Grav. 22, 1769 (2005).
- A
 - A.P. Gentle, N.D. George, A. Khevfets, and W.A. Miller, Class, Quantum Grav. 21, 83 (2004).
- L. Lindblom, M.A. Scheel, L.E. Kidder, H.P. Pfeiffer, D. Shoemaker, and S.A. Teukolsky, Phys. Rev. D 69, 124025 (2004).
- P.Marronetti, Class. Quantum Grav. 22, 2433 (2005).
- T. Nozawa, N. Stergioulas, E. Gourgoulhon and Y. Eriguchi, Astron. Astrophys. Suppl. 132, 431 (1998).
- G. Schäfer and A. Gopakumar, Phys. Rev. D 69 021501 (2004).



Definition of W and X potentials

Constrained formulation of Einstein equations

Jérôme Novak

Appendix References Definition of W and X

$$P = \frac{\partial^2 W}{\partial \theta^2} - \frac{1}{\tan \theta} \frac{\partial W}{\partial \theta} - \frac{1}{\sin^2 \theta} \frac{\partial^2 W}{\partial \varphi^2} - 2 \frac{\partial}{\partial \theta} \left(\frac{1}{\sin \theta} \frac{\partial X}{\partial \varphi} \right)$$
$$h^{\theta \varphi} = \frac{\partial^2 X}{\partial \theta^2} - \frac{1}{\tan \theta} \frac{\partial X}{\partial \theta} - \frac{1}{\sin^2 \theta} \frac{\partial^2 X}{\partial \varphi^2} + 2 \frac{\partial}{\partial \theta} \left(\frac{1}{\sin \theta} \frac{\partial W}{\partial \varphi} \right)$$

WITH $P = (h^{\theta\theta} - h^{\varphi\varphi})/2$

INVERSE RELATIONS

$$\begin{split} \Delta_{\theta\varphi} \left(\Delta_{\theta\varphi} + 2 \right) W &= \quad \frac{\partial^2 P}{\partial \theta^2} + \frac{3}{\tan \theta} \frac{\partial P}{\partial \theta} - \frac{1}{\sin^2 \theta} \frac{\partial^2 P}{\partial \varphi^2} - 2P + \frac{2}{\sin \theta} \frac{\partial}{\partial \varphi} \left(\frac{\partial h^{\theta\varphi}}{\partial \theta} + \frac{h^{\theta\varphi}}{\tan \theta} \right) \\ \Delta_{\theta\varphi} \left(\Delta_{\theta\varphi} + 2 \right) X &= \quad \frac{\partial^2 h^{\theta\varphi}}{\partial \theta^2} + \frac{3}{\tan \theta} \frac{\partial h^{\theta\varphi}}{\partial \theta} - \frac{1}{\sin^2 \theta} \frac{\partial^2 h^{\theta\varphi}}{\partial \varphi^2} - 2h^{\theta\varphi} - \frac{2}{\sin \theta} \frac{\partial}{\partial \varphi} \left(\frac{\partial P}{\partial \theta} + \frac{P}{\tan \theta} \right) \end{split}$$